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C. Bruce Hughes

1. Introduction

Let X denote a continuum (i.e., a compact, connected, non-void, metric space). The hyperspace of subcontinua of X, denoted C(X), is the space of all subcontinua of X endowed with the Hausdorff metric (e.g., [4]). A Whitney map on C(X) is a continuous function $\mu:C(X) \rightarrow [0,1]$ satisfying the following properties:

- (i) $\mu(\{x\}) = 0$ for each $x \in X$,
- (ii) $\mu(X) = 1$, and
- (iii) if $A \subset B$ and $A \neq B$, then $\mu(A) < \mu(B)$.

Whitney [13] has shown that such functions always exist. Throughout this paper, μ will stand for an arbitrary Whitney map on C(X). It is known [2] that μ is monotone; that is, $\mu^{-1}(t)$ is a subcontinuum of C(X) for each t. The continua $\mu^{-1}(t)$ are called the Whitney continua of X.

In Section 2 we characterize the separating points of $\mu^{-1}(t)$ in terms of their separating properties as subcontinua of X. The rest of the paper contains applications of this result. In Section 3 we obtain some information about the Whitney continua of arc-like and circle-like continua. Section 4 establishes classes of continua which have the property that $\mu^{-1}(t)$ is an arc for t sufficiently close to 1.

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2. Separating points in $\mu^{-1}(t)$

If G_1, G_2, \dots, G_n are open subsets of X, then $N(G_1, \dots, G_n)$

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denotes the set of all points A in C(X) such that A \subseteq U $\{G_i: i=1,2,\ldots,n\}$ and A \bigcap $G_i \neq \emptyset$ for each $i \leq n$. Recall that the collection of all such subsets of C(X) forms a basis for the Vietoris finite topology on C(X). It is well known that the Hausdorff metric and the Vietoris finite topology agree on C(X) (e.g., [8]).

If $t \in [0,1]$ and $x \in X$, then let $C_X^t = \{A \in \mu^{-1}(t) : x \in A\}$. Rogers [10, Theorem 4.2] has shown that C_X^t is an arcwise connected subcontinuum of C(X).

Theorem 2.1. Let A be an element of C(X) with $\mu(A)=t$. Then A separates $\mu^{-1}(t)$ if and only if there exists a separation $X-A=X_1$ U X_2 such that for any $B\in \mu^{-1}(t)$ either $B\subseteq X_1$ U A or $B\subseteq X_2$ U A.

Proof. (only if) Let $\mu^{-1}(t) - \{A\} = S_1 \cup S_2$ be a separation. Let

$$x_1 = U \{B \in \mu^{-1}(t) : B \in \delta_1\} - A \text{ and }$$

 $x_2 = U \{B \in \mu^{-1}(t) : B \in \delta_2\} - A.$

For each $p \in X$ there exists $P \in \mu^{-1}(t)$ with $p \in P$, thus $X-A = X_1 \cup X_2$. To show $X_1 \cap X_2 = \phi$ suppose on the contrary that $x \in X_1 \cap X_2$. Because $x \notin A$, it follows that $C_x^t \subseteq \mathcal{S}_1 \cup \mathcal{S}_2$. Since $x \in X_1 \cap X_2$, there exists $B_1 \in \mathcal{S}_1$ and $B_2 \in \mathcal{S}_2$ such that $x \in B_1$ and $x \in B_2$. The fact that B_1 and B_2 are in C_x^t implies $C_x^t \cap \mathcal{S}_1 \neq \phi \neq C_x^t \cap \mathcal{S}_2$. This contradicts the fact that \mathcal{S}_1 and \mathcal{S}_2 are separated because C_x^t is a continuum. To show that X_1 and X_2 are separated, by symmetry it suffices to show that no convergent sequence of points in X_1 converges to a point in X_2 . To this end suppose $\{p_n\}$ is a sequence of points in X_1 which converges to some $p \in X$. For each p_1 , choose $p_1 \in \mathcal{S}_1$ such that $p_1 \in P_1$. If p_1 denotes the limit of a convergent subsequence of $\{p_n\}$, then $p_1 \in P_2$. Since $p_1 \in P_1$ is a subcontinuum of $p_1 \in P_2$. Hence,

 $\begin{array}{l} \mathtt{p} \in \mathtt{P} \subseteq \mathtt{X}_1 \ \mathsf{U} \ \mathtt{A} \ \mathsf{and} \ \mathtt{X} - \mathtt{A} = \mathtt{X}_1 \ \mathsf{U} \ \mathtt{X}_2 \ \mathsf{is} \ \mathsf{a} \ \mathsf{separation}. \quad \mathsf{Finally,} \\ \mathsf{suppose} \ \mathtt{B} \in \ \mu^{-1}(\mathtt{t}) \ \mathsf{and} \ \mathsf{that} \ \mathtt{B} \in \mathfrak{S}_1. \quad \mathsf{Then} \ \mathtt{B} \subseteq \ \mathsf{U} \ \{\mathtt{M} \in \ \mu^{-1}(\mathtt{t}) : \mathtt{M} \\ \in \ \mathfrak{S}_1 \} \subseteq \mathtt{X}_1 \ \mathsf{U} \ \mathtt{A}. \quad \mathsf{Hence, for any} \ \mathtt{B} \in \ \mu^{-1}(\mathtt{t}) \ \mathsf{either} \ \mathtt{B} \subseteq \mathtt{X}_1 \ \mathsf{U} \ \mathtt{A} \ \mathsf{or} \\ \mathtt{B} \subseteq \mathtt{X}_2 \ \mathsf{U} \ \mathtt{A}. \end{array}$

(if) Let
$$\mathcal{F}_1=\{B\in\mu^{-1}(t):B\subseteq X_1\cup A,\ B\neq A\}$$
 and
$$\mathcal{F}_2=\{B\in\mu^{-1}(t):B\subseteq X_2\cup A,\ B\neq A\}$$
 To see that $\mu^{-1}(t)-\{A\}=\mathcal{F}_1\cup\mathcal{F}_2$ is a separation, note that $N(X_1,X)$ and $N(X_2,X)$ are open subsets of $C(X)$ such that
$$\mathcal{F}_1=N(X_1,X)\cap\mu^{-1}(t) \text{ and } \mathcal{F}_2=N(X_2,X)\cap\mu^{-1}(t).$$

Using Theorem 2.1 we obtain a simple proof of the following well known result originally due to Krasinkiewicz [5] (see also [9], [10]).

Corollary 2.2. If X is an arc, then $\mu^{-1}(t)$ is an arc for each t < 1.

Proof. Let p and q be the non-separating points of X. If t<1, then it is easily seen that there exist exactly one subcontinuum P of X and one subcontinuum Q of X such that $p \in P$ and $q \in Q$ and $P,Q \in \mu^{-1}(t)$. If $A \in \mu^{-1}(t)$ such that $P \neq A \neq Q$, then A separates X in the way required by Theorem 2.1. Thus, A separates $\mu^{-1}(t)$ and $\mu^{-1}(t)$ has exactly two non-separating points. It follows that $\mu^{-1}(t)$ is an arc.

Example 2.3. Let X be a simple triod (i.e., a continuum homeomorphic to the capital letter T). Let Y be a proper subcontinuum of X which is also a simple triod and which separates X. Let $\mu(Y) = t$. Then Y does not separate X in the way required by Theorem 2.1 and thus Y does not separate $\mu^{-1}(t)$.

3. Whitney continua of arc-like and circle-like continua

In this section we give sufficient conditions on $\mu^{-1}(t)$ to insure that X be decomposable. Information about the Whitney continua of arc-like and circle-like continua is obtained in

the corollaries. Corollary 3.2 answers a question of J. T. Rogers, Jr. [10]. The proofs of Corollaries 3.3 and 3.4 were pointed out to the author by G. R. Gordh, Jr.

Theorem 3.1. If $\mu^{-1}(t)$ is irreducible and decomposable for some t < 1, then X is decomposable.

Proof. Let A and B be points in $\mu^{-1}(t)$ such that $\mu^{-1}(t)$ is irreducible from A to B. Let S and T be proper subcontinua of $\mu^{-1}(t)$ with $A \in S$ and $B \in T$ such that $\mu^{-1}(t) = S \cup T$. From [4, Lemma 1.1] it follows that US and US are subcontinua of X. It is clear that $X = (US) \cup (US)$, so if US and US are proper subcontinua of X, then the theorem is proved. Assume for the purpose of this proof that X = US. Then $A \subseteq US$ so there exists $M \in S$ such that $A \cap M \neq \emptyset$. This implies ([9] or [10]) that there is an arc S in $\mu^{-1}(t)$ with endpoints A and M. By the irreducibility of $\mu^{-1}(t)$, we have $S - S \subseteq S$. It follows that a point N in $\mu^{-1}(t)$ can be choosen in S - S such that N is different from A and N separates $\mu^{-1}(t)$. From Theorem 2.1, N is a subcontinuum of X which separates X and hence, X must be decomposable.

A continuum X is said to be arc-like if for each positive number ϵ , there is an ϵ -map (i.e., a map having point-inverses of diameter less than ϵ) of X onto an arc. Circle-like continua are defined in the same manner.

Corollary 3.2. If X is indecomposable and arc-like, then $\mu^{-1}(t)$ is indecomposable and arc-like for each $t < 1\,.$

Proof. Krasinkiewicz [5] has shown that $\mu^{-1}(t)$ must be arc-like for each t<1. Since arc-like continua are unicoherent and are not triods, it follows from [11] that $\mu^{-1}(t)$ is irreducible for each t<1. If $\mu^{-1}(t)$ were decomposable for some t<1, then by Theorem 3.1 X would be decomposable also. Thus, $\mu^{-1}(t)$

is indecomposable and arc-like for each t < 1.

Corollary 3.3. Let X be arc-like and circle-like. Then $\mu^{-1}(t)$ is arc-like and circle-like for each t<1 if and only if X is indecomposable.

Proof. (only if) Suppose X is arc-like, circle-like and decomposable. Rogers [10, Theorem 5.1] has shown that there exists t <1 such that $\mu^{-1}(t)$ is not circle-like. This is a contradiction.

(if) Since X is indecomposable and arc-like, it follows from Corollary 3.2 that $\mu^{-1}(t)$ is indecomposable and arc-like for each t < 1. Burgess [1] has shown that such continua must also be circle-like.

Corollary 3.4. Let X be circle-like. Then $\mu^{-1}(t)$ is circle-like for each t < 1 if and only if X is indecomposable or X is not arc-like.

Proof. (only if) Suppose X is decomposable and arc-like. Since X is decomposable, arc-like, and circle-like, it follows from [10, Theorem 5.1] that $\mu^{-1}(t)$ is not circle-like for some t < 1. This is a contradiction.

(if) If X is circle-like and not arc-like, then $\mu^{-1}(t)$ is circle-like for each t < 1 by [10, Theorem 4.7]. If X is indecomposable and arc-like, then by Corollary 3.2 $\mu^{-1}(t)$ is indecomposable and arc-like for each t < 1. Burgess [1] proved that such continua are circle-like.

4. Whitney continua of certain irreducible continua

In this section we establish two classes of irreducible continua which have the property that $\mu^{-1}(t)$ is an arc for t sufficiently close to 1. It is also shown that when $\mu^{-1}(t)$ is an arc, $\mu^{-1}([t,1])$ is actually homeomorphic to the cone over an arc.

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Let X be irreducible between a pair of points a and b. A decomposition $\mathfrak D$ of X is said to be admissible if each element of $\mathfrak D$ is a nonvoid proper subcontinuum of X, and each element of $\mathfrak D$ which does not contain a or b separates X. It is known [3] that $X/\mathfrak D$ is an arc whenever $\mathfrak D$ is an admissible decomposition of X.

X is of type A provided that X is irreducible and has an admissible decomposition; X is of type A' if X is of type A and has an admissible decomposition each of whose elements has void interior. X is said to be hereditarily of type A' if every nondegenerate subcontinuum of X is of type A'. The reader is referred to [3] and [12] for general results concerning continua of type A. For example, an irreducible continuum X is of type A' if and only if each subcontinuum of X with nonvoid interior is decomposable ([3, Theorem 2.7] or [12, Theorem 10, p. 15]). It is also known that X is hereditarily of type A' if and only if X is arc-like and hereditarily decomposable [12, Theorem 13, pg. 50].

Theorem 4.1. If X is hereditarily of type A', then there exists $t_0 \le 1$ such that $\mu^{-1}(t)$ is an arc whenever $t_0 \le t \le 1$.

Proof. Let a and b be points in X such that X is irreducible between a and b, and let $\mathfrak{D}=\{D(x)\}$ be an admissible decomposition of X each of whose elements has void interior. Let $t_0=\mathrm{lub}\{\mu(D(x)):D(x)\in\mathfrak{D}\}$. Clearly, $t_0<1$. It follows from [3, Theorem 2.5] that $D(a)=\{x\in X:X \text{ is irreducible between } x \text{ and } b\}$ and $D(b)=\{x\in X:X \text{ is irreducible between } a \text{ and } x\}$. If $t_0\leq t<1$, it will be shown that there exists a unique $A\in\mu^{-1}(t)$ such that $D(a)\cap A\neq \emptyset$. It is easy to see that there exists some $A\in\mu^{-1}(t)$ such that $D(a)\cap A\neq \emptyset$. To prove uniqueness, suppose there exists $P\in\mu^{-1}(t)$ with $D(a)\cap P\neq \emptyset$ and $A\neq P$. Since $D(a)=\{x\in X:X \text{ is irreducible between } x \text{ and } b\}$,

it follows that $D(a) \subseteq A$ and $D(a) \subseteq P$. Since $A \neq P$, pick $x \in A-P$ and $y \in P-A$. It follows that $x,y \notin D(a)$. Thus, let A' be a proper subcontinuum of X containing both x and b, and let P' be a proper subcontinuum of X containing both y and b. Since $A' \cup P'$ is a subcontinuum of X containing x and y but not a, A contains a and x but not y, and P contains a and y but not x, it follows that a,x,y are three points no one of which cuts between the other two. This is a contradiction to [3, Theorem [3, 3]. Hence, [3, 3] is unique and in a similar way there exists a unique [3, 3] is unique [3, 3].

It will now be shown that if M $\in \mu^{-1}(t)$ with A \neq M \neq B, then M separates $\mu^{-1}(t)$. To apply Theorem 2.1 we must first show that M separates X. To this end it will be shown that there exists $x_0 \in X$ such that $D(x_0) \subseteq M$, and it will then follow that M separates X since a,b ∉ M. Suppose on the contrary that for each $x \in X$, $D(x) \not\subset M$. Since $\mu(M) \ge t_0$, there exist $x_1, x_2 \in M$ such that $D(x_1)$ and $D(x_2)$ are distinct elements of \mathfrak{D} . It now follows from [3, Theorem 2.3] that there exists $x_0 \in M$ such that $D(x_0) \subseteq M$. Since M separates X, let $\text{X-M} = \, \text{X}_1 \, \, \text{U} \, \, \text{X}_2 \, \, \text{be a separation and suppose there exists} \, \, \text{N} \, \in \, \mu^{-1} \, (\text{t})$ such that $N \not\subseteq X_1 \cup M$ and $N \not\subseteq X_2 \cup M$. Pick $x \in X_1 \cap N$, $y \in X_2 \cap N$, and $z \in M-N$. It can be seen that no one of x,y,z cuts between the other two which contradicts [3, Theorem 5.3]. Therefore, M separates X in the way required by Theorem 2.1 and thus M separates $\mu^{-1}(t)$. It has been shown that $\mu^{-1}(t)$ contains at most two non-separating points A and B, and hence, $\mu^{-1}(t)$ is an arc.

Notation. Let X be a continuum of type A and let $\mathfrak{D} = \{D(x)\}$ be an admissible decomposition of X. The following definitions of t_0 , t_1 , and t_2 will be used in Theorem 4.2:

$$t_{O} = lub\{\mu(D(x)) : D(x) \in \mathcal{D}\},$$

 $t_1 = \text{lub}\{\mu(Y): Y \in C(X) \text{ and there exists } D(x) \in \mathfrak{D} \text{ such}$ that $D(x) \not\subseteq Y \text{ and } Y \cap D(x) \neq \phi \neq Y \cap (X-D(x))\};$ and.

 $t_2 = \max\{t_0, t_1\}.$

Note that t_2 might not be less than 1. The continuum pictured in Figure 1 is a continuum of type A' such that t_2 is not less than 1. This continuum also has the property that for all t, $\mu^{-1}(t)$ is not an arc. If this continuum is modified in the obvious way so that it contains only finitely many circles, then it would be a continuum of type A' such that $t_2 < 1$. Neither of these continua is hereditarily of type A'. Another example of a continuum of type A' such that $t_2 < 1$ is a simple triod with a half ray spiraling down on it.

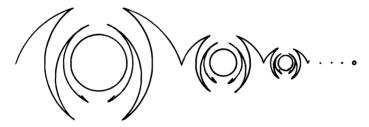


Figure 1

Theorem 4.2. If X is a continuum of type A and $t_2 < t < 1$, then $\mu^{-1}(t)$ is an arc.

Proof. Let a and b be points in X such that X is irreducible between a and b, let $\mathfrak{D}=\{\mathtt{D}(\mathtt{x})\}$ be an admissible decomposition of X, and let t be such that $\mathtt{t}_2<\mathtt{t}<1$. It will first be shown that there exists a unique $\mathtt{A}\in\mu^{-1}(\mathtt{t})$ such that $\mathtt{a}\in\mathtt{A}.$ It is easy to see that there exists some $\mathtt{A}\in\mu^{-1}(\mathtt{t})$ such that $\mathtt{a}\in\mathtt{A}.$ To prove uniqueness, suppose there exists $\mathtt{P}\in\mu^{-1}(\mathtt{t})$ with $\mathtt{a}\in\mathtt{P}$ and $\mathtt{A}\ne\mathtt{P}.$ Since $\mathtt{A}\not\subseteq\mathtt{P}$ and $\mathtt{P}\not\subseteq\mathtt{A}$, there exist $\mathtt{x}\in\mathtt{A}-\mathtt{P}$ and $\mathtt{y}\in\mathtt{P}-\mathtt{A}.$ Since $\mathtt{t}_2<\mathtt{t},\ \mathtt{D}(\mathtt{x})\subseteq\mathtt{A}-\mathtt{P}.$ Let $\mathtt{X}-\mathtt{D}(\mathtt{x})=\mathtt{S}$ U T be a separation and assume $\mathtt{P}\subseteq\mathtt{S}.$ Since $\mathtt{a}\in\mathtt{P},\ \mathtt{a}\in\mathtt{S}$ and $\mathtt{b}\in\mathtt{T}.$ Because $\mathtt{D}(\mathtt{x})$ U T

is a continuum, so is A U T. But a,b \in A U T and y \in X-(A U T) which contradicts the fact that X is irreducible between a and b. Thus A is unique, and similarly there exists a unique $B \in \mu^{-1}(t)$ such that $b \in B$. It will now be shown that if $M \in \mu^{-1}(t)$ such that $A \neq M \neq B$, then M separates $\mu^{-1}(t)$. Pick $x \in M$. Then since a,b $\notin M$, $D(x) \subset M$, and D(x) separates X, it follows that M separates X. Let $X-M = X_1 \cup X_2$ be a separation with $a \in X_1$ and $b \in X_2$. To apply Theorem 2.1 we must show that if N \in $\mu^{-1}(t)$, then either N \subseteq X₁ U M or N \subseteq X₂ U M. Suppose on the contrary that there exists $N \in \mu^{-1}(t)$ such that $N \not\subseteq X_1 \cup M$ and $N \not\subseteq X_2 \cup M$. It follows that $X_1 \cap N \neq \emptyset \neq X_2 \cap N$ and M-($X_1 \cup N \cup X_2$) $\neq \phi$. Pick $x_1 \in X_1 \cap N$ and $x_2 \in X_2 \cap N$ such that $D(x_1)$ and $D(x_2)$ separate X. Let $X-D(x_1) = S_1 \cup T_1$ and $X-D(x_2) = S_2 \cup T_2$ be separations with $a \in S_1 \cap S_2$ and $b \in T_1 \cap T_2$. It follows that $S_1 \cup D(x_1) \cup D(x_2) \cup T_2$ is a proper subcontinuum of X containing a and b, which contradicts the fact that X is irreducible between a and b. It has been shown that $\mu^{-1}(t)$ contains at most two non-separating points A and B, and hence, $\mu^{-1}(t)$ is an arc.

In [4] Kelley defined the function $\sigma: C(C(X)) \to C(X)$ by $\sigma(\mathfrak{M}) = U(\mathfrak{M})$ for each subcontinuum \mathfrak{M} of C(X). He showed that σ is a continuous function. The restriction of σ to $C(\mu^{-1}(t))$, is denoted σ_t . Krasinkiewicz [6] showed that σ_t is a function from $C(\mu^{-1}(t))$ onto $\mu^{-1}([t,1])$. In the next theorem it is shown that σ_t is also one-to-one whenever $\mu^{-1}(t)$ is an arc; hence in this case $\mu^{-1}([t,1])$ is a two cell.

Theorem 4.3. If $\mu^{-1}(t)$ is an arc, then σ_t is one-to-one and hence, $\mu^{-1}([t,1])$ is homeomorphic to the cone over an arc.

Proof. Let $\mathcal H$ and $\mathcal H$ be distinct subcontinua of $\mu^{-1}(t)$. Assume there exists $A\in\mathcal H$ - $\mathcal H$. Then there exists a separating point M of $\mu^{-1}(t)$ such that $A\neq M$ and M separates A from $\mathcal H$ in

 $\mu^{-1}(t)\,.\quad \text{Let }\mu^{-1}(t)-\{\mathbf{M}\}\ =\ \delta_1\ \cup\ \delta_2\ \text{ be a separation with }\mathbf{A}\in\ \delta_1$ and $\mathcal{K}\subseteq\delta_2\,.\quad \text{Let}$

$$x_1 = U \{ N \in \mu^{-1}(t) : N \in \delta_1 \} - M \text{ and}$$

 $x_2 = U \{ N \in \mu^{-1}(t) : N \in \delta_2 \} - M.$

From the proof of Theorem 2.1, it follows that $\mathbf{X}_1 \cup \mathbf{X}_2$ is a separation of X-M. Clearly, $U(\mathcal{K}) \subseteq \mathbf{X}_2 \cup \mathbf{M}$ and $\mathbf{A} \cap \mathbf{X}_1 \neq \emptyset$, so $U(\mathcal{K}) \neq U(\mathcal{K})$ and $\sigma(\mathcal{K}) \neq \sigma(\mathcal{K})$. Hence, $\sigma_{\mathbf{t}}$ is a homeomorphism of $C(\mu^{-1}(\mathbf{t}))$ onto $\mu^{-1}([\mathbf{t},1])$. Since $\mu^{-1}(\mathbf{t})$ is an arc, $C(\mu^{-1}(\mathbf{t}))$ is homeomorphic to the cone over an arc and thus, $\mu^{-1}([\mathbf{t},1])$ is homeomorphic to the cone over an arc.

Corollary 4.4. If X is arc-like and hereditarily decomposable, then for some t < 1, $\mu^{-1}([t,1])$ is a two cell.

Remark. In a recent preprint [7] J. Krasinkiewicz and Sam B. Nadler, Jr. have proven Corollary 3.2 and have shown that if X is arc-like and decomposable, then there exists $\mathbf{t_0} < 1$ such that $\mu^{-1}(\mathbf{t})$ is an arc whenever $\mathbf{t_0} \le \mathbf{t} < 1$. Since continua hereditarily of type A' are arc-like and hereditarily decomposable, Theorem 4.1 follows immediately from their results.

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