On Approximation in the L^{ρ} -Norm by Reciprocals of Polynomials

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1. Introduction

Recently the second and third authors have considered the question of approximating a real-valued continuous function f on [-1,1] by reciprocals of polynomials having real or complex coefficients. While no restrictions on f are necessary for the approximation by reciprocals of complex polynomials, it is obvious that if we limit ourselves to reciprocals of real polynomials we must assume that f does not change sign in the interval. Under this assumption it was shown in [3] that one can approximate $f(\not\equiv 0)$ by reciprocals of real polynomials at the rate $\omega(f, 1/n)$, where $\omega(f, \cdot)$ is the usual modulus of continuity of f. The purpose of this note is to improve the above estimates by replacing $\omega(f, 1/n)$ by the Ditzian-Totik modulus of continuity $\omega_{\varphi}(f, 1/n)$ and also to obtain estimates on the rate of approximation by reciprocals of polynomials in the L^p -norm, $1 \leq p < \infty$.

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Here, unfortunately, we have to assume $f \in L^{p+1}[-1, 1]$ and give the estimates in terms of $\omega_{\varphi}(f, 1/n)_{p+1}$. The last section is devoted to some estimates on shape-preserving approximation by reciprocals of polynomials in the various norms.

2. Approximation in C[-1, 1]

Let
$$\varphi(x) := \sqrt{1 - x^2}$$
 and set

$$\Delta_{h\varphi} f(x)$$
:

$$=\begin{cases} f(x+(h/2)\,\varphi(x))-f(x-(h/2)\,\varphi(x)), & x\pm(h/2)\,\varphi(x)\in[-1,\,1]\\ 0, & \text{otherwise}. \end{cases}$$

Following Ditzian and Totik [2], define

$$\omega_{\varphi}(f, t) := \sup_{0 < h \leqslant t} \|\Delta_{h\varphi} f\|_{\infty},$$

where $\|\cdot\|_{\infty}$ denotes the sup norm over [-1, 1]. Then it is readily seen that, for any $f \in C[-1, 1]$,

$$\omega_{\varphi}(f, t) \leq \omega(f, t)$$

while, for instance, for $f(x) = \sqrt{1+x}$ we have

$$\omega_{\alpha}(f, t) = O(t)$$
 and $\omega(f, t) \sim t^{1/2}$.

Ditzian and Totik [2] proved that $\omega_{\varphi}(f, t)$ is equivalent to the modified Peetre kernel

$$K_{\varphi}(f, t) := \inf\{\|f - g\|_{\infty} + t \|\varphi g'\|_{\infty} + t^2 \|g'\|_{\infty}\}.$$

where the infimum is taken over all g that are absolutely continuous in [-1, 1] and such that $g' \in L^{\infty}[-1, 1]$. Our first main result is

THEOREM 1. Let $f \in C[-1, 1]$ be nonconstant and nonnegative. Then there exists a sequence of polynomials $\{p_n\}_{1}^{\infty}$, with $p_n \in \mathcal{P}_n$, such that

$$\left\| f - \frac{1}{p_n} \right\|_{\infty} \le C\omega_{\varphi} \left(f, \frac{1}{n} \right), \qquad n = 1, 2, \dots$$
 (1)

Here and throughout this paper, C is an absolute constant independent of f and n whose value may be different from line to line and \mathcal{P}_n denotes the collection of all real polynomials of degree at most n.

Remark. Obviously, a nonzero constant function f is approximable at the rate (1), while $f \equiv 0$ is not.

In the proof of Theorem 1 we shall need the following.

LEMMA 2. Let $f \in C[-1, 1]$ and define $g \in C[-\pi, \pi]$ by $g(\theta) := f(\cos \theta)$. Let $K_n(t)$ be the Jackson kernel that satisfies

$$\int_{-\pi}^{\pi} K_n(t) dt = 1, \qquad \int_{-\pi}^{\pi} |t|^k K_n(t) dt \sim n^{-k}, \qquad k = 1, 2, 3, 4.$$
 (2)

Then, for $-\pi \leqslant \theta \leqslant \pi$,

$$\int_{-\pi}^{\pi} |g(\theta+t) - g(\theta)|^k K_n(t) dt \leqslant C \left[\omega_{\varphi} \left(f, \frac{1}{n} \right) \right]^k, \qquad k = 1, 2.$$

Proof. By virtue of the equivalence between $\omega_{\varphi}(f, t)$ and $K_{\varphi}(f, t)$, given $f \in C[-1, 1]$, for each n = 1, 2, ..., there exists an f_n absolutely continuous on [-1, 1] such that

$$\|f - f_n\|_{\infty} \leq C\omega_{\varphi}\left(f, \frac{1}{n}\right),$$

$$\|\varphi f'_n\|_{\infty} \leq Cn\omega_{\varphi}\left(f, \frac{1}{n}\right),$$

$$\|f'_n\|_{\infty} \leq Cn^2\omega_{\varphi}\left(f, \frac{1}{n}\right).$$
(3)

Setting $g_n(\theta) := f_n(\cos \theta)$, then by (2) and (3) we have for k = 1, 2,

$$\int_{-\pi}^{\pi} |g(\theta+t) - g(\theta)|^k K_n(t) dt$$

$$\leq C \left[\omega_{\varphi} \left(f, \frac{1}{n} \right) \right]^k + \int_{-\pi}^{\pi} |g_n(\theta+t) - g_n(\theta)|^k K_n(t) dt. \tag{4}$$

Now, for each u between $\cos \theta$ and $\cos(\theta + t)$ we have

$$\begin{split} 1 &= -\frac{2\sin(\theta + t/2)\sin(t/2)}{\cos(\theta + t) - \cos\theta} \\ &= -\frac{2\varphi(u)\sin(t/2)}{\cos(\theta + t) - \cos\theta} + \frac{2[\varphi(u) - \sin(\theta + t/2)]\sin(t/2)}{\cos(\theta + t) - \cos\theta}. \end{split}$$

Hence

$$\int_{-\pi}^{\pi} |g_{n}(\theta+t) - g_{n}(\theta)|^{k} K_{n}(t) dt
= \int_{-\pi}^{\pi} \left| \int_{\cos \theta}^{\cos(\theta+t)} f'_{n}(u) du \right|^{k} K_{n}(t) dt
\leq C \int_{-\pi}^{\pi} \left| \frac{1}{\cos(\theta+t) - \cos \theta} \int_{\cos \theta}^{\cos(\theta+t)} |f'_{n}(u)| \varphi(u) du \right|^{k} |t|^{k} K_{n}(t) dt
+ C \int_{-\pi}^{\pi} \left| \frac{1}{\cos(\theta+t) - \cos \theta} \int_{\cos \theta}^{\cos(\theta+t)} |f'_{n}(u)| du \right|^{k} |t|^{2k} K_{n}(t) dt
\leq C \|f'_{n} \varphi\|_{\infty}^{k} \int_{-\pi}^{\pi} |t|^{k} K_{n}(t) dt + C \|f'_{n}\|_{\infty}^{k} \int_{-\pi}^{\pi} |t|^{2k} K_{n}(t) dt.$$
(5)

Thus, from (2) and (3), we conclude that for k = 1, 2,

$$\int_{-\pi}^{\pi} |g_n(\theta+t) - g_n(\theta)|^k K_n(t) dt \leq C \{ \|f'_n \varphi\|_{\infty}^k n^{-k} + \|f'_n\|_{\infty}^k n^{-2k} \}$$

$$\leq C \left[\omega_{\varphi} \left(f, \frac{1}{n} \right) \right]^k.$$

Combining this last inequality with (4) proves Lemma 2.

We now turn to the proof of Theorem 1. Although we basically follow the ideas of the proof in [3] (except that Lemma 2 provides sharper estimates), there is one major difference. In preparation for the L^p case we do not wish to use the pointwise value of f in order to get a lower estimate on the product fp_n and thereby prove that p_n does not vanish in [-1, 1]. In fact, in the L^p case, it makes no sense to look for such a lower estimate. Nevertheless we prove that p_n does not vanish in [-1, 1].

Proof of Theorem 1. Given a nonconstant $f \in C[-1, 1]$, $f \ge 0$, and $\varepsilon > 0$, let $f_{\varepsilon}(x) := f(x) + \varepsilon$ and let $g_{\varepsilon}(\theta) := f_{\varepsilon}(\cos \theta)$, $\theta \in [-\pi, \pi]$. Then $|1/g_{\varepsilon}| \le 1/\varepsilon$ and we can define the algebraic polynomials

$$p_n(x) := \int_{-\pi}^{\pi} \frac{1}{g_{\varepsilon}(\theta + t)} K_n(t) dt, \qquad n = 1, 2, ...,$$
 (6)

where $x = \cos \theta$ and $K_n(t)$ is the Jackson kernel of Lemma 2.

By Hölder's inequality,

$$1 = \left(\int_{-\pi}^{\pi} K_n(t) dt\right)^2$$

$$\leq \int_{-\pi}^{\pi} g_{\varepsilon}(\theta + t) K_n(t) dt \cdot \int_{-\pi}^{\pi} \frac{1}{g_{\varepsilon}(\theta + t)} K_n(t) dt$$

$$= p_n(x) \cdot \int_{-\pi}^{\pi} g_{\varepsilon}(\theta + t) K_n(t) dt.$$

Thus p_n does not vanish in [-1, 1] and

$$\frac{1}{p_n(x)} \leqslant \int_{-\pi}^{\pi} g_e(\theta + t) K_n(t) dt. \tag{7}$$

Let $E := \{x: (1/p_n(x)) > f_{\varepsilon}(x)\}$. Then, by (7) and Lemma 2, we have for $x \in E$

$$0 < \frac{1}{p_n(x)} - f_{\varepsilon}(x) \le \int_{-\pi}^{\pi} \left[g_{\varepsilon}(\theta + t) - g_{\varepsilon}(\theta) \right] K_n(t) dt$$

$$\le C\omega_{\varphi} \left(f_{\varepsilon}, \frac{1}{n} \right) = C\omega_{\varphi} \left(f, \frac{1}{n} \right). \tag{8}$$

For x in the complement of E we have

$$\frac{1}{p_{\nu}(x)} \leqslant f_{\varepsilon}(x).$$

Hence

$$0 \leq f_{\varepsilon}(x) - \frac{1}{p_{n}(x)} = \int_{-\pi}^{\pi} \left[\frac{1}{g_{\varepsilon}(\theta + t)} - \frac{1}{g_{\varepsilon}(\theta)} \right] \frac{g_{\varepsilon}(\theta)}{p_{n}(\cos \theta)} K_{n}(t) dt$$

$$\leq \int_{-\pi}^{\pi} \frac{|g_{\varepsilon}(\theta) - g_{\varepsilon}(\theta + t)|}{g_{\varepsilon}(\theta) g_{\varepsilon}(\theta + t)} \frac{g_{\varepsilon}(\theta)}{p_{n}(\cos \theta)} K_{n}(t) dt$$

$$\leq \int_{-\pi}^{\pi} \frac{|g_{\varepsilon}(\theta) - g_{\varepsilon}(\theta + t)|}{g_{\varepsilon}(\theta + t)} g_{\varepsilon}(\theta) K_{n}(t) dt$$

$$\leq \int_{-\pi}^{\pi} |g_{\varepsilon}(\theta) - g_{\varepsilon}(\theta + t)| K_{n}(t) dt + \frac{1}{\varepsilon} \int_{-\pi}^{\pi} |g_{\varepsilon}(\theta) - g_{\varepsilon}(\theta + t)|^{2} K_{n}(t) dt,$$

where for the last inequality we used the fact that $1/g_{\varepsilon} \le 1/\varepsilon$. By virtue of Lemma 2, we have for $x \notin E$

$$0 \leqslant f_{\varepsilon}(x) - \frac{1}{p_{n}(x)} \leqslant C\omega_{\varphi}\left(f, \frac{1}{n}\right) + C \frac{1}{\varepsilon} \left[\omega_{\varphi}\left(f, \frac{1}{n}\right)\right]^{2}$$

(since $\omega_{\varphi}(f_{\varepsilon}, t) \equiv \omega_{\varphi}(f, t)$). Choosing $\varepsilon = \omega_{\varphi}(f, 1/n)$, which is not zero since $f \not\equiv \text{const}$, yields

$$0 \le f_{\varepsilon}(x) - \frac{1}{p_n(x)} \le C\omega_{\varphi}\left(f, \frac{1}{n}\right), \quad \text{for } x \notin E.$$

Combining with (8) we have

$$\left\| f_{\varepsilon} - \frac{1}{p_n} \right\|_{\infty} \le C\omega_{\varphi} \left(f, \frac{1}{n} \right).$$

Thus

$$\begin{split} \left\| f - \frac{1}{p_n} \right\|_{\infty} &\leq \| f - f_{\varepsilon} \|_{\infty} + \left\| f_{\varepsilon} - \frac{1}{p_n} \right\|_{\infty} \\ &\leq \varepsilon + C \omega_{\varphi} \left(f, \frac{1}{n} \right) \\ &\leq C \omega_{\varphi} \left(f, \frac{1}{n} \right). \end{split}$$

This completes the proof.

Remark. If we work with C[0, 1] instead of C[-1, 1], then φ takes the form $\varphi(x) = \sqrt{x(1-x)}$ and for x^{α} , $0 < \alpha < 1$, we have $\omega_{\varphi}(x^{\alpha}, t) = O(t^{2\alpha})$. Hence the error in approximating x^{α} , $0 < \alpha < 1$, on [0, 1] by reciprocals of polynomials can be estimated by $Cn^{-2\alpha}$, where C is an absolute constant. This fact was also proved in [3] where a special construction is used. Note, however, that our present proof is valid only for $0 < \alpha < 1$, while in [3] a similar estimate is established for all $\alpha > 0$ with $C = C(\alpha)$ increasing to infinity as $\alpha \to \infty$.

3. Approximation in $L^p[-1, 1]$

Here again we follow Ditzian and Totik [2] as we denote

$$\omega_{\varphi}(f, t)_p := \sup_{0 \le h \le t} \|\Delta_{h\varphi} f\|_p.$$

It was shown in [2] that $\omega_{\varphi}(f,t)_p$ is equivalent to the Peetre kernel

$$K_{\omega}(f, t)_{p} := \inf\{\|f - g\|_{p} + t \|\varphi g'\|_{p} + t^{2} \|g'\|_{p}\},\$$

where the infimum is taken over all $g \in L^p[-1, 1]$ that are absolutely continuous in [-1, 1] and such that $g' \in L^p[-1, 1]$.

Our result in this case is not as satisfactory as in C[-1, 1]. We will prove

THEOREM 3. Let $f \in L^{p+1}[-1, 1]$, $1 \le p < \infty$, be nonconstant and nonnegative. Then there exists a sequence of polynomials $\{p_n\}_1^{\infty}$, with $p_n \in \mathscr{P}_n$, such that

$$\left\| f - \frac{1}{p_n} \right\|_p \le C\omega_{\varphi} \left(f, \frac{1}{n} \right)_{p+1}, \quad n = 1, 2, \dots$$
 (9)

Remark. Obviously $L^{p+1}[-1, 1]$ is a proper subset of $L^p[-1, 1]$ and we have the inequality

$$\omega_{\varphi}(f, t)_{p} \leq \omega_{\varphi}(f, t)_{p+1},$$

but we are not able to replace the right-hand side of (9) by $C\omega_{\varphi}(f, 1/n)_p$. We do not know if this gap is indeed necessary or is due to the limitations of our method of proof.

Proof of Theorem 3. It follows from the equivalence of $\omega_{\varphi}(f,\cdot)_{p+1}$ and $K_{\varphi}(f,\cdot)_{p+1}$ that, for each n, there exists an absolutely continuous function $f_n \in L^{p+1}[-1,1]$ such that

$$||f - f_n||_p \leqslant C ||f - f_n||_{p+1} \leqslant C\omega_{\varphi} \left(f, \frac{1}{n} \right)_{p+1},$$

$$||\varphi f'_n||_{p+1} \leqslant Cn\omega_{\varphi} \left(f, \frac{1}{n} \right)_{p+1},$$

$$||f'_n||_{p+1} \leqslant Cn^2 \omega_{\varphi} \left(f, \frac{1}{n} \right)_{p+1}.$$
(10)

Moreover, a close look at the proof of Ditzian and Totik [2, Sect. 3.1] reveals that f_n is nonnegative if $f \ge 0$. Thus it suffices to approximate f_n at the proper rate and this together with (10) will yield (9).

We proceed as in the proof of Theorem 1. Let $F_n(x) := f_n(x) + \varepsilon$ and let $g_{\varepsilon}(\theta) := g_{\varepsilon,n}(\theta) := F_n(\cos \theta), -\pi \leq \theta \leq \pi$. Let $K_n(t)$ be a suitable Jackson kernel, i.e., such that

$$\int_{-\pi}^{\pi} K_n(t) dt = 1, \qquad \int_{-\pi}^{\pi} |t|^k K_n(t) dt \sim n^{-k}, \qquad k = 1, 2, ..., [2p+3]. \quad (11)$$

Then again $g_{\varepsilon}^{-1} \leq 1/\varepsilon$ and we can define the polynomial p_n by (6). We still have the estimate (7), although the right-hand side of (7) may be infinite for $f \in L^{p+1}[-1, 1]$. That this is not so for a differentiable f follows from (14) and (15) later in our proof.

Let

$$E_1 := \left\{ x : \frac{1}{p_n(x)} > F_n(x) \right\}.$$

Then, by (7) and Minkowski's inequality,

$$\left[\int_{E_{1}} \left| \frac{1}{p_{n}(x)} - F_{n}(x) \right|^{p} dx \right]^{1/p} \\
\leq \left[\int_{E_{1}} \left| \int_{-\pi}^{\pi} \left[g_{\varepsilon}(\theta + t) - g_{\varepsilon}(\theta) \right] K_{n}(t) dt \right|^{p} dx \right]^{1/p} \\
\leq \int_{-\pi}^{\pi} K_{n}(t) \left[\int_{E_{1}} \left| g_{\varepsilon}(\theta + t) - g_{\varepsilon}(\theta) \right|^{p} dx \right]^{1/p} dt.$$
(12)

Next, for any $x \in [-1, 1]$.

$$\left| \frac{1}{p_n(x)} - F_n(x) \right| = \frac{|1 - p_n(x) F_n(x)|}{p_n(x)}$$

$$\leq \frac{1}{p_n(x)} \int_{-\pi}^{\pi} \frac{|g_e(\theta + t) - g_e(\theta)|}{g_n(\theta + t)} K_n(t) dt.$$

and so using the integral representation (6) and Hölder's inequality we get

$$\left|\frac{1}{p_n(x)} - F_n(x)\right| \leq \left[\frac{1}{p_n(x)} \int_{-\pi}^{\pi} \frac{|g_{\varepsilon}(\theta + t) - g_{\varepsilon}(\theta)|^p}{g_{\varepsilon}(\theta + t)} K_n(t) dt\right]^{1/p}.$$

Now for $x \in E_2 := [-1, 1] \setminus E_1$, we have

$$\frac{1}{p_n(x)} \leqslant F_n(x),$$

and so it follows that for $x \in E_2$

$$\left|\frac{1}{p_n(x)} - F_n(x)\right|^p \leqslant \int_{-\pi}^{\pi} \frac{|g_{\varepsilon}(\theta + t) - g_{\varepsilon}(\theta)|^p}{g_{\varepsilon}(\theta + t)} g_{\varepsilon}(\theta) K_n(t) dt.$$

Hence

$$\int_{E_{2}} \left| \frac{1}{p_{n}(x)} - F_{n}(x) \right|^{p} dx$$

$$\leq \int_{E_{2}} \int_{-\pi}^{\pi} |g_{\varepsilon}(\theta + t) - g_{\varepsilon}(\theta)|^{p} K_{n}(t) dt dx$$

$$+ \frac{1}{\varepsilon} \int_{E_{2}} \int_{-\pi}^{\pi} |g_{\varepsilon}(\theta + t) - g_{\varepsilon}(\theta)|^{p+1} K_{n}(t) dt dx, \tag{13}$$

where we used the inequality $g_{\varepsilon}^{-1} \leq 1/\varepsilon$.

It remains to estimate the integrals on the right-hand sides of (12) and (13). They are similar and we use the method of proof of Lemma 2 in order to estimate each of them. What we get is

$$\int_{-\pi}^{\pi} K_n(t) \left[\int_{E_1} |g_{\varepsilon}(\theta + t) - g_{\varepsilon}(\theta)|^p dx \right]^{1/p} dt \leq C\omega_{\varphi} \left(f, \frac{1}{n} \right)_{p+1}, \tag{14}$$

$$\int_{-\pi}^{\pi} K_n(t) \int_{E_2} |g_{\varepsilon}(\theta + t) - g_{\varepsilon}(\theta)|^q dx dt \leq C \left[\omega_{\varphi} \left(f, \frac{1}{n} \right)_{p+1} \right]^q, (15)$$

for q = p or q = p + 1.

We shall only prove (15) ((14) being similar). Consider

$$\int_{-\pi}^{\pi} K_{n}(t) \int_{E_{2}} |g_{\varepsilon}(\theta+t) - g_{\varepsilon}(\theta)|^{q} dx dt$$

$$= \int_{-\pi}^{\pi} K_{n}(t) \int_{E_{2}} \left| \int_{\cos \theta}^{\cos(\theta+t)} F'_{n}(u) du \right|^{q} dx dt$$

$$\leq C \int_{-\pi}^{\pi} \left| \frac{1}{\cos(\theta+t) - \cos \theta} \int_{\cos \theta}^{\cos(\theta+t)} |F'_{n}(u)| \varphi(u) du \right|^{q} |t|^{q} K_{n}(t) dx dt$$

$$+ C \int_{-\pi}^{\pi} \left| \frac{1}{\cos(\theta+t) - \cos \theta} \int_{\cos \theta}^{\cos(\theta+t)} |F'_{n}(u)| du \right|^{q} |t|^{2q} K_{n}(t) dx dt$$

as in the proof of Lemma 2. Denote by $M_F(x)$ the Hardy maximal function of F, i.e.,

$$M_F(x) := \sup_{x \in I} \frac{1}{|I|} \left| \int_I F(s) \, ds \right|.$$

Then it follows that for $x = \cos \theta$

$$\begin{split} \int_{-\pi}^{\pi} K_{n}(t) \int_{E_{2}} |g_{\varepsilon}(\theta+t) - g_{\varepsilon}(\theta)|^{q} dx dt \\ & \leq C \int_{-\pi}^{\pi} |t|^{q} K_{n}(t) \int_{E_{2}} |M_{|F_{n}'| \varphi}(x)|^{q} dx dt \\ & + C \int_{-\pi}^{\pi} |t|^{2q} K_{n}(t) \int_{E_{2}} |M_{|F_{n}'|}(x)|^{q} dx dt \\ & \leq C n^{-q} \|M_{|F_{n}'| \varphi}\|_{q}^{q} + C n^{-2q} \|M_{|F_{n}'|}\|_{q}^{q} \\ & \leq C n^{-q} \|M_{|F_{n}'| \varphi}\|_{p+1}^{q} + C n^{-2q} \|M_{|F_{n}'|}\|_{p+1}^{q} \\ & \leq C n^{-q} \|F_{n}' \varphi\|_{p+1}^{q} + C n^{-2q} \|F_{n}'\|_{p+1}^{q}, \end{split}$$

by virtue of the inequality (see [4, p. 58])

$$||M_F||_p \leqslant C_p ||F||_p, \qquad 1$$

The proof of (15) now follows from (10).

Finally, we choose $\varepsilon = \omega_{\varphi}(f, 1/n)_{p+1}$. Then (12) through (15) yield

$$\left\| F_n - \frac{1}{p_n} \right\|_p \leqslant C\omega_{\varphi} \left(f, \frac{1}{n} \right)_{p+1},$$

which together with (10) proves (9).

4. Shape-Preserving Approximation

Returning to continuous functions we will show that a monotone increasing $f \in C[-1, 1]$ is approximable by reciprocals of monotone decreasing polynomials p_n (so that $1/p_n$ is monotone increasing) at the same rate (1). To this end we observe that Beatson [1] proved the existence of a Jackson-type kernel satisfying (2) and such that it takes increasing functions into increasing functions. Using this kernel in the proof of Theorem 1, we see that whenever f is increasing so is f_{ε} and hence f_{ε}^{-1} is decreasing. Therefore the polynomials p_n defined by (6) are decreasing. We summarize these observations in

THEOREM 4. Let $f \in C[-1, 1]$ be nonnegative and increasing. Then for each n there is a decreasing $p_n \in \mathcal{P}_n$ such that (1) holds.

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