

The Distribution of Extreme Points in Best Complex Polynomial Approximation

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Abstract. Let K be a compact point set in the complex plane having positive logarithmic capacity and connected complement. For any f continuous on K and analytic in the interior of K we investigate the distribution of the extreme points for the error in best uniform approximation to f on K by polynomials. More precisely, if

$$A_n(f) := \{z \in K : |f(z) - p_n^*(f; z)| = \|f - p_n^*(f)\|_K\},$$

where $p_n^*(f)$ is the polynomial of degree $\leq n$ of best uniform approximation to f on K , we show that there is a subsequence $\{n_k\}$ with the property that the sequence of $(n_k + 2)$ -point Fekete subsets of A_{n_k} has limiting distribution (as $k \rightarrow \infty$) equal to the equilibrium distribution for K . Analogues for weighted approximation are also given.

1. Introduction

Let K be a compact set in the complex plane \mathbb{C} and let $C_A(K)$ be the set of continuous complex-valued functions on K that are analytic in the interior of K endowed with the supremum norm $\|\cdot\|_K$. For $f \in C_A(K)$ we denote by $p_n^*(f)$ its best approximant out of Π_n , the set of polynomials of degree at most n , and we set

$$(1.1) \quad A_n(f) := \{z \in K : |f(z) - p_n^*(f; z)| = \|f - p_n^*(f)\|_K\}.$$

We investigate the distribution of the points in $A_n(f)$ as $n \rightarrow \infty$.

Kadec [4] established that if $f \in C[-1, 1]$ is real-valued on $K = [-1, 1]$, then any $(n_k + 2)$ -point alternating subset of $A_{n_k}(f)$ has the arcsine distribution for a suitable subsequence $\{n_k\}$ of the natural numbers, but Lorentz [8] showed that this is not necessarily true for every subsequence. Kadec even proved that the rate of this convergence is $O(n_k^{-1/2+\varepsilon})$ for every $\varepsilon > 0$, which was subsequently sharpened by Blatt and Lorentz [1] to $O(\sqrt{(\log n_k)/n_k})$. All these results are based on the fact that consecutive points in a maximal alternation set and the

Date received: December 2, 1987. Date revised: May 27, 1988. Communicated by Dieter Gaier.

AMS classification: 41A50, 30E10.

Key words and phrases: Polynomial approximation, Best polynomial approximants, Extreme points, Equilibrium distribution, Weighted approximation.

zeros of $p_n^*(f) - p_{n-1}^*(f)$ interlace; a fact that has no analogue for complex-valued functions. Thus, the asymptotic behavior of some subset of $A_n(f)$ (in the spirit of Kadeč's result) has been open even for $K = [-1, 1]$ when f is complex-valued. The only known result for the general complex case belongs to Kroó and Saff [6] who verified, for regular sets K , the denseness of $\{A_{n_k}(f)\}$ on the boundary ∂K of K for some subsequence $\{n_k\}$.

In this paper we show that the Fekete points of $A_{n_k}(f)$ are distributed, for a suitable $\{n_k\}$, like the equilibrium distribution of K . The same is true for the "maximal value" points of the Chebyshev polynomials for K . Furthermore, for the case when K is bounded by a smooth Jordan curve Γ , we establish that for some $\{n_k\}$ the relative density of the Fekete points of $A_{n_k}(f)$ in any subarc of Γ converges to the equilibrium measure of the subarc with rate $O((\log n)/\sqrt{n})$. Finally, we indicate how our results can be extended to weighted approximation. A surprising corollary is that if w is a nonnegative continuous weight on the closed unit disk K , with w positive on the open unit disk, then every point of the boundary $|z|=1$ is a limit point of extreme points for best polynomial approximation of any $f \in C_A(K)$ with weight w , even if w vanishes identically on $|z|=1$.

2. Results

To state our results we introduce some concepts and notations from potential theory. If $K \subseteq \mathbb{C}$ is compact, then $\text{cap}(K)$ denotes the *logarithmic capacity* of K (see [10]). We will always assume that the complement of K is connected and $\text{cap}(K) > 0$. It is well known that

$$\text{cap}(K) = \exp(-I(\mu_K)),$$

where $I(\mu_K)$ is the smallest value of the energy integral

$$I(\mu) := \iint \log|z-t|^{-1} d\mu(t) d\mu(z),$$

where μ runs through all unit measures supported on K . There is a unique measure μ_K , called the *equilibrium measure* of K , for which this minimum is attained.

If E is any compact set, we denote by $\mathcal{F}_n(E)$ any n -point subset S of E for which the Vandermonde expression

$$(2.1) \quad V(S) := \left[\prod_{\substack{z, t \in S \\ z \neq t}} |z-t| \right]^{1/2}$$

is as large as possible. The points in $\mathcal{F}_n(E)$ are called *Fekete points* of E . Their relation to the capacity is given by

$$\lim_{n \rightarrow \infty} V(\mathcal{F}_n(K))^{2/n(n-1)} = \text{cap}(K).$$

With any finite point set S we associate ν_S , the counting measure on S ; that is,

$$\nu_S(E) := \text{number of points of } S \cap E.$$

Finally, the weak-star convergence of measures will be denoted by \rightharpoonup .

Our main result is

Theorem 1. *Let K be a compact subset of \mathbb{C} with connected complement and positive capacity, $f \in C_A(K)$, and let $A_n(f)$ be the set of extreme points defined in (1.1). Then, for some subsequence \mathcal{N} of the natural numbers,*

$$(2.2) \quad \frac{1}{n+1} \nu_{\mathcal{F}_{n+1}(A_{n-1}(f))} \xrightarrow{*} \mu_K, \quad n \in \mathcal{N}, \quad n \rightarrow \infty,$$

where μ_K is the equilibrium measure for K .

We note that the counterexample given in [6] implies that (2.2) need not be true for every \mathcal{N} . Note also that if $A_{n-1}(f)$ happens to be an $(n+1)$ -point set for $n \in \mathcal{N}$, then (2.2) gives the limiting distribution of these sets $A_{n-1}(f)$. However, $A_{n-1}(f)$ can actually coincide with ∂K for every n ; as a nontrivial example, consider $K := \{z: |z| \leq 1\} \cup \{2\}$ and

$$f(z) := \begin{cases} 0 & \text{if } |z| \leq 1, \\ 1 & \text{if } z = 2. \end{cases}$$

Our second result concerns the points where the Chebyshev polynomials assume their maximum absolute value. For any compact set $K \subset \mathbb{C}$, we set

$$(2.3) \quad t_n(K) := \inf_{p_{n-1} \in \Pi_{n-1}} \|z^n - p_{n-1}\|_K = \inf_{z^n + \dots \in \Pi_n} \|z^n + \dots\|_K.$$

As is well known (see [10]), the constants $t_n(K)$ are related to the capacity of K via the formula

$$(2.4) \quad \lim_{n \rightarrow \infty} t_n(K)^{1/n} = \text{cap}(K).$$

If K contains at least n points, there is a unique monic polynomial $T_n^* = T_n^*(K; z) = z^n + \dots \in \Pi_n$ such that

$$t_n(K) = \|T_n^*\|_K.$$

We call T_n^* the *Chebyshev polynomial* of degree n associated with K .

Our next result concerns the extremal point sets

$$A_n^*(K) := \{z \in K: |T_n^*(K; z)| = t_n(K)\}.$$

We shall prove

Theorem 2. *Let K be compact with $\text{cap}(K) > 0$. Then*

$$\frac{1}{n+1} \nu_{\mathcal{F}_{n+1}(A_n^*(K))} \xrightarrow{*} \mu_K \quad \text{as } n \rightarrow \infty.$$

We emphasize that, unlike Theorem 1, the weak-star convergence in Theorem 2 holds for the full sequence of nonnegative integers.

For the case when the boundary of K is sufficiently smooth, we shall prove a result concerning the rate of convergence: let K be a closed region bounded by a Jordan curve Γ , where Γ has a continuous tangent. Moreover, assume that the angle of inclination $\theta(s)$ of the tangent to Γ as a function of the arclength s along Γ satisfies a Hölder condition; that is,

$$(2.5) \quad |\theta(s) - \theta(s')| \leq L|s - s'|^\alpha,$$

where L, α are constants and $0 < \alpha \leq 1$. Then we say that Γ belongs to the class $C^{1,H}$.

As in [5], we define, for any signed measure σ on Γ , the number

$$(2.6) \quad [\sigma] := \sup |\sigma(\gamma)|,$$

where the supremum is taken over all subarcs γ of the boundary Γ . Then the rate of convergence of

$$(2.7) \quad \mu_n := \frac{1}{n+1} \nu_{\mathcal{F}_{n+1}(A_{n-1}(f))}$$

to the equilibrium measure μ_K can be estimated as follows.

Theorem 3. *Let K be a closed Jordan region with boundary $\Gamma \in C^{1,H}$, and let $f \in C_A(K)$. Then there is a constant c (depending on Γ) and a subsequence \mathcal{N} of natural numbers such that*

$$(2.8) \quad [\mu_K - \mu_n] \leq c \frac{\log n}{\sqrt{n}},$$

whenever $n \in \mathcal{N}$.

This means that when K is a sufficiently smooth Jordan region, the weak-star convergence established in Theorem 1 can be quantified and the rate of convergence is at least $(\log n)/\sqrt{n}$. It remains open to determine the best possible rate of convergence.

If K is a compact subset of the real line, a quantified version of Theorem 1 can also be given. For example, it is possible to show that when K is the finite union of compact intervals, there exists a constant c and a subsequence \mathcal{N} of natural numbers such that, for any interval $[a, b]$,

$$(2.9) \quad |(\mu_K - \mu_n)[a, b]| \leq c \left(\frac{\log n}{n} \right)^{1/3},$$

whenever $n \in \mathcal{N}$. Since the proof of (2.9) depends substantially on generalizations of the Erdős-Turán inequalities [3], we leave the verification of (2.9) for another occasion.

Finally, we mention that our results hold for weighted approximation. Let $w \geq 0$ be a continuous weight function on K and set

$$A_n(f, w) := \{z \in K : w(z) |f(z) - p_n^*(f; z)_w| = \|w(f - p_n^*(f)_w)\|_K\},$$

where $p_n^*(f)_w$ denotes the best weighted approximation of f out of Π_n with weight w . If E is a compact subset of K , then $\mathcal{F}_n(E)_w$ denotes an n -point subset $\{z_0, \dots, z_{n-1}\}$ of E maximizing the absolute value of the determinant

$$|w(z_j)z_j^i|_{0 \leq i \leq n-1, 0 \leq j \leq n-1}.$$

With these notations we can prove the following generalization of Theorem 1.

Theorem 4. *Let K be compact with $\text{cap}(K) > 0$ and connected complement, and assume that $f \in C_A(K)$. Furthermore, let w be a nonnegative continuous weight function on K such that the (inner logarithmic) capacity of the set $\{z \in K : w(z) > 0\}$ equals the capacity of K . Then, for some subsequence \mathcal{N} of the natural numbers,*

$$(2.10) \quad \frac{1}{n+1} \nu_{S_{n+1}} \xrightarrow{*} \mu_K \quad \text{as } n \rightarrow \infty, \quad n \in \mathcal{N},$$

where $S_{n+1} := \mathcal{F}_{n+1}(A_{n-1}(f, w))_w$. In particular, this holds if w vanishes only on a set of capacity zero.

We also mention that the weighted analogue of Theorem 2 holds as well.

Corollary. *With the assumptions of Theorem 4, every point in the support of μ_K is a limit point of the sets $A_n(f, w)$.*

We remark that the support of μ_K lies on the boundary ∂K of K , and so the corollary means that most of the points of ∂K (i.e., except for a set of capacity zero) attract extremal points. This is fairly surprising since w can vanish on a large part of ∂K ; consider, for example,

$$w(z) = 1 - |z|$$

on the unit disk $\{z : |z| \leq 1\}$.

3. Proofs

Our proofs are based on two simple lemmas.

Lemma 1. *Suppose $f \in C_A(K)$ and the complement of K is connected. Then there exists a subsequence \mathcal{N} of the natural numbers with the property*

$$t_n(A_{n-1}(f)) \geq \frac{1}{n^2} t_n(K) \quad \text{for } n \in \mathcal{N},$$

where t_n is defined in (2.3).

For the proof see Lemma 2.3 and (2.18) of [6].

Lemma 2. *For any compact set E containing at least $n + 1$ points,*

$$t_n(\mathcal{F}_{n+1}(E)) \geq \frac{1}{n+1} t_n(E).$$

For the proof see Section 7.9, Lemma, p. 177, of [11].

Combining Lemmas 1 and 2 we see that

$$(3.1) \quad t_n(\mathcal{F}_{n+1}(A_{n-1}(f))) \geq (n+1)^{-3} t_n(K), \quad n \in \mathcal{N}.$$

Let $\mathcal{F}_{n+1}(A_{n-1}(f)) = \{z_{0,n}, \dots, z_{n,n}\}$. Since this is an $(n+1)$ -point set, we can easily compute its n th Chebyshev polynomial T_n^* and Chebyshev number $t_n(\mathcal{F}_{n+1}(A_{n-1}(f))) =: t_n$. In fact, T_n^* must have the same absolute value, t_n , at every $z_{k,n}$, and must have leading coefficient 1, which easily yields

$$T_n^*(z) = \left(\sum_{k=0}^n \frac{\omega_{n+1}(z) \operatorname{sgn} \omega'_{n+1}(z_{k,n})}{\omega'_{n+1}(z_{k,n})(z - z_{k,n})} \right) \left(\sum_{k=0}^n \frac{1}{|\omega'_{n+1}(z_{k,n})|} \right)^{-1},$$

where

$$(3.2) \quad \omega_{n+1}(z) := \prod_{k=0}^n (z - z_{k,n})$$

and

$$t_n = \left(\sum_{k=0}^n \frac{1}{|\omega'_{n+1}(z_{k,n})|} \right)^{-1}.$$

Indeed, if T_n is any n th degree polynomial with

$$T_n(z_{k,n}) = \varepsilon_{k,n} t, \quad 0 \leq k \leq n,$$

with $t > 0$ and $|\varepsilon_{k,n}| = 1$ that has leading coefficient 1, then, by the Lagrange interpolation formula,

$$T_n(z) = \sum_{k=0}^n \frac{\omega_{n+1}(z)}{\omega'_{n+1}(z_{k,n})(z - z_{k,n})} \varepsilon_{k,n} t$$

and

$$t \sum_{k=0}^n \frac{\varepsilon_{k,n}}{\omega'_{n+1}(z_{k,n})} = 1,$$

which implies

$$t \geq \left(\sum_{k=0}^n \frac{1}{|\omega'_{n+1}(z_{k,n})|} \right)^{-1}.$$

The expression for t_n and (3.1) show that

$$(3.3) \quad |\omega'_{n+1}(z_{k,n})| = \prod_{\substack{j=0 \\ j \neq k}}^n |z_{k,n} - z_{j,n}| \geq (n+1)^{-3} t_n(K)$$

for every $0 \leq k \leq n$ and $n \in \mathcal{N}$.

Proof of Theorem 1. We keep the above notations and give two proofs, a complex function theoretical one and a real variable argument.

Let $\mathcal{F}_{n+1}(K) = \{y_{0,n+1}, \dots, y_{n,n+1}\}$ be a set of $(n+1)$ th Fekete points of K . The polynomials

$$\tau_{n+1}(z) = \tau_{n+1}(K, z) := \prod_{k=0}^n (z - y_{k,n+1})$$

are called *Fekete polynomials* of K . By the Lagrange interpolation formula, we have for the polynomials ω_{n+1} of (3.2)

$$\tau_{n+1}(z) - \omega_{n+1}(z) = \sum_{k=0}^n (\tau_{n+1}(z_{k,n}) - \omega_{n+1}(z_{k,n})) \frac{\omega_{n+1}(z)}{\omega'_{n+1}(z_{k,n})(z - z_{k,n})}$$

(note that $\tau_{n+1} - \omega_{n+1}$ is of degree at most n). Since $\omega_{n+1}(z_{k,n}) = 0$ for every k and

$$|\tau_{n+1}(z_{k,n})| \leq \|\tau_{n+1}\|_K =: d_{n+1}(K),$$

we see that for the n 's satisfying (3.3), i.e., for $n \in \mathcal{N}$,

$$|\tau_{n+1}(z) - \omega_{n+1}(z)| \leq (n+1)^3 \frac{d_{n+1}(K)}{t_n(K)} |\omega_{n+1}(z)| \sum_{k=0}^n \frac{1}{|z - z_{k,n}|},$$

$$\left| \frac{\tau_{n+1}(z)}{\omega_{n+1}(z)} \right| \leq (n+1)^3 \frac{d_{n+1}(K)}{t_n(K)} \sum_{k=0}^n \frac{1}{|z - z_{k,n}|} + 1,$$

which implies that, for $n \in \mathcal{N}$,

$$(3.4) \quad \frac{1}{n+1} \log \left| \frac{\tau_{n+1}(z)}{\omega_{n+1}(z)} \right| \leq C_H \varepsilon_{n+1}, \quad z \in H,$$

where

$$\varepsilon_{n+1} := \frac{\log(n+1)}{n+1} + \frac{1}{n+1} \log^+ \frac{d_{n+1}(K)}{t_n(K)},$$

uniformly on every closed subset H of $\bar{C} \setminus K$, the complement of K with respect to the closed Riemann sphere. By Lemma 11.2 of [9]

$$\lim_{n \rightarrow \infty} d_n(K)^{1/n} = \text{cap}(K)$$

which, together with (2.4), imply that $\varepsilon_n \rightarrow 0$ as $n \rightarrow \infty$. It is known (see Theorem 11.1 of [9] and Chapters I and III of [10]) that, for $z \in C \setminus K$,

$$(3.5) \quad \lim_{n \rightarrow \infty} \frac{1}{n+1} \log |\tau_{n+1}(z)| = g(z) + \log[\text{cap}(K)],$$

where g is Green's function for $\bar{C} \setminus K$ with the pole at infinity.

Since all the zeros of ω_{n+1} and τ_{n+1} lie in K , the functions

$$\frac{1}{n+1} \log \left| \frac{\tau_{n+1}(z)}{\omega_{n+1}(z)} \right|, \quad n \in \mathcal{N},$$

are harmonic in $\bar{C} \setminus K$, vanish at ∞ , and have the upper bound $C_H \varepsilon_{n+1}$ on every closed subset H of $\bar{C} \setminus K$ (cf. (3.4)). Thus we can apply Harnack's inequality and get that, uniformly on closed subsets H' of the interior of H ,

$$\left| \frac{1}{n+1} \log \left| \frac{\tau_{n+1}(z)}{\omega_{n+1}(z)} \right| \right| \leq C'_{H'} \varepsilon_{n+1}, \quad n \in \mathcal{N}.$$

Making use of (3.5) we finally obtain that, uniformly on compact subsets of $\mathbb{C} \setminus K$,

$$(3.6) \quad \lim_{\substack{n \rightarrow \infty \\ n \in \mathcal{N}}} \frac{1}{n+1} \log |\omega_{n+1}(z)| = g(z) + \log[\text{cap}(K)].$$

Denoting the potential corresponding to a measure μ by $U(\mu; z)$; that is

$$U(\mu; z) := \int \log|z - t|^{-1} d\mu(t),$$

then (3.6) can be written in the equivalent form

$$(3.7) \quad \lim_{\substack{n \rightarrow \infty \\ n \in \mathcal{N}}} U(\mu_n; z) = U(\mu_K; z), \quad z \in \mathbb{C} \setminus K,$$

where

$$\mu_n := \frac{1}{n+1} \nu_{\mathcal{F}_{n+1}(A_{n-1}(f))}.$$

Proceeding as in the proof of Theorem 2.1 of [2], we let μ be a weak-star limit of $\{\mu_n\}_{n \in \mathcal{N}}$; that is, there exists $\mathcal{N}_1 \subseteq \mathcal{N}$ such that

$$\mu_n \xrightarrow{*} \mu \quad \text{as } n \rightarrow \infty, \quad n \in \mathcal{N}_1.$$

Note that since the Fekete points of $A_{n-1}(f)$ lie on the boundary ∂K of K , then $\text{supp}(\mu) \subseteq \partial K$ and $\mu(\partial K) = 1$. From (3.7) we get

$$(3.8) \quad U(\mu; z) = U(\mu_K; z), \quad z \in \mathbb{C} \setminus K.$$

Further, since $U(\mu_K; z) \leq I(\mu_K)$ for all $z \in \mathbb{C}$ (see Theorem III.12 of [10]) and since $U(\mu; z)$ is lower semicontinuous, we obtain from (3.8) that

$$U(\mu; z) \leq I(\mu_K), \quad z \in \partial K.$$

Integrating this inequality with respect to $d\mu$ over ∂K we see that the energy $I(\mu)$ satisfies $I(\mu) \leq I(\mu_K)$. Thus, by the unicity of μ_K , we get $\mu = \mu_K$ and so the whole sequence $\{\mu_n\}_{n \in \mathcal{N}}$ converges to μ_K in the weak-star topology.

Our second proof also starts with (3.3). The inequality implies that

$$(3.9) \quad V(\mathcal{F}_{n+1}(A_{n-1}(f))) = \left(\prod_{k=0}^n \prod_{\substack{j=0 \\ j \neq k}}^n |z_{k,n} - z_{j,n}| \right)^{1/2} \\ \geq (n+1)^{-3(n+1)/2} (t_n(K))^{(n+1)/2},$$

and so taking into account (2.4), all we have to prove is the following proposition which is interesting in itself.

Proposition. *Assume K is compact with $\text{cap}(K) > 0$ and, for every $n \in \mathcal{N}$, where \mathcal{N} is a subsequence of the natural numbers, let*

$$Z_n := \{z_{1,n}, \dots, z_{n,n}\}$$

be an n -point subset of K . If

$$(3.10) \quad \liminf_{\substack{n \rightarrow \infty \\ n \in \mathcal{N}}} V(Z_n)^{2/n(n-1)} \geq \text{cap}(K),$$

then

$$\frac{1}{n} \nu_{Z_n} \xrightarrow{*} \mu_K \quad \text{as } n \rightarrow \infty, \quad n \in \mathcal{N}.$$

Recall that $V(Z_n)$ was defined in (2.1).

Proof. Let $\lambda_n := (1/n)\nu_{Z_n}$ and let λ be a weak-star limit point of $\{\lambda_n\}_{n \in \mathcal{N}}$, say

$$(3.11) \quad \lambda_n \xrightarrow{*} \lambda \quad \text{as } n \rightarrow \infty, \quad n \in \mathcal{N}_1 \subseteq \mathcal{N}.$$

We have to show that $\lambda = \mu_K$. First we mention that λ does not have point masses. In fact, if we have $\lambda(\{z_0\}) = c > 0$, then for every $\delta > 0$ and large $n \in \mathcal{N}_1$ there would be at least $(c/2)n$ points from Z_n in a δ neighborhood of z_0 and so we would have

$$V(Z_n) \leq \delta^{((c/2)n-1)^{2/2}}(1 + \text{diam } K)^{n^2}.$$

But, for small δ , this contradicts (3.10).

Thus, by Tonelli's theorem, we get that

$$I(\lambda) = \iint \log \frac{1}{|z-t|} d\lambda(z) d\lambda(t) = \iint_{z \neq t} \log \frac{1}{|z-t|} d\lambda(z) d\lambda(t).$$

Set

$$g_\varepsilon(t) := \begin{cases} \log \frac{1}{t} & \text{if } t > 2\varepsilon, \\ 0 & \text{if } t < \varepsilon, \\ \frac{1}{\varepsilon}(t-\varepsilon) \log \frac{1}{2\varepsilon} & \text{if } \varepsilon \leq t \leq 2\varepsilon. \end{cases}$$

Then g_ε is continuous and, as $\varepsilon \rightarrow 0+$, tends monotonically to $\log(1/t)$ for $t > 0$. Thus, by the monotone convergence theorem, (3.10), and (3.11), we have for the energy integral

$$\begin{aligned} I(\lambda) &= \lim_{\varepsilon \rightarrow 0+} \iint_{z \neq t} g_\varepsilon(|z-t|) d\lambda(z) d\lambda(t) \\ &= \lim_{\varepsilon \rightarrow 0+} \lim_{n \rightarrow \infty} \iint_{z \neq t} g_\varepsilon(|z-t|) d\lambda_n(z) d\lambda_n(t), \quad n \in \mathcal{N}_1, \\ &\leq \lim_{\varepsilon \rightarrow 0+} \lim_{n \rightarrow \infty} \iint_{z \neq t} \log \frac{1}{|z-t|} d\lambda_n(z) d\lambda_n(t) \\ &= \lim_{\varepsilon \rightarrow 0+} \lim_{n \rightarrow \infty} \frac{1}{n^2} \log \frac{1}{V(Z_n)^2} \leq \log \frac{1}{\text{cap}(K)} = I(\mu_K). \end{aligned}$$

Each $\lambda_n, n \in \mathcal{N}_1$, is supported on K and $\lambda_n(K) = 1$. Therefore, the weak-star limit λ also has these properties, and so $\lambda = \mu_K$ follows from the unicity of μ_K . ■

Proof of Theorem 2. It is well known and easy to see that $t_n(A_n^*(K)) = t_n(K)$. Thus, by Lemma 2,

$$t_n(\mathcal{F}_{n+1}(A_n^*(K))) \geq (n+1)^{-1} t_n(K), \quad n = 0, 1, \dots$$

Since the preceding proofs used only the estimate (3.1), they can be applied in the present situation as well and we are done. ■

Proof of Theorem 3. Let $z_{0,n}, z_{1,n}, \dots, z_{n,n}$ be an $(n+1)$ -point Fekete set of $A_{n-1}(f)$ and denote by μ_n the discrete unit measure with mass $1/(n+1)$ at each point $z_{i,n}$ (cf. (2.7)).

We follow a technique of Kleiner [5] and consider the circles $K_{j,n} = K(z_{j,n}, 1/(n+1)^3)$ with center at $z_{j,n}$ and radius $1/(n+1)^3$. We denote by μ_n^* the unit measure that has constant density $(n+1)^2/2\pi$ on $\bigcup_{j=0}^n K_{j,n}$.

Furthermore, we measure the angle between two lines in the absolute sense; that is, such an angle is between 0 and $\pi/2$. We say that a subarc γ of Γ is inclined to the line l with angle $\geq \varepsilon$ if all tangents to γ have this property. Now, if $z_0 \in \Gamma$, there exists a real number $r = r(z_0) > 0$ such that the subarc

$$\Gamma \cap \{z: |z - z_0| \leq r\}$$

is inclined to the normal line l_0 of Γ in z_0 with an angle $\geq \pi/3$.

Let γ be a subarc of Γ with endpoints a, b . Define A (resp. B) as the set of points ξ on the normal line in a (resp. b) such that $|\xi - a| \leq r(a)$ (resp. $|\xi - b| \leq r(b)$). Then, for n large, the point set

$$(3.12) \quad \Gamma^* := \Gamma \cup K_{0,n} \cup K_{1,n} \cup \dots \cup K_{n,n}$$

is separated by $A \cup B$ into two connected components, one of which, say γ^* , contains the subarc γ .

Now, for any (bounded) signed measure σ on Γ^* we define

$$(3.13) \quad [\sigma] := \sup_{\gamma \in \Gamma} |\sigma(\gamma^*)|,$$

which coincides with the definition in (2.6) if $\text{supp}(\sigma) \subset \Gamma$.

To avoid minor difficulties, we assume hereafter that the length of Γ is less than one. Then, again following Kleiner [5, p. 133], we obtain

$$(3.14) \quad |[\mu_K - \mu_n] - [\mu_K - \mu_n^*]| \leq c_1/n^3,$$

for some constant c_1 , and

$$(3.15) \quad \|\mu_K\|^2 \geq \|\mu_n^*\|^2 \geq \|\mu_K\|^2 - \frac{1}{(n+1)^2 \text{cap}(K)},$$

where μ^* is the equilibrium measure for Γ^* (cf. (3.12)), and where, for a signed measure on Γ^* , we use the energy norm

$$\|\sigma\| := \left[\iint \log|z-t|^{-1} d\sigma(z) d\sigma(t) \right]^{1/2}$$

(see p. 82 of [7]). Now since

$$\begin{aligned} \langle \mu^*, \mu^* - \mu_n^* \rangle &:= \iint \log|z-t|^{-1} d\mu^*(z) d(\mu^* - \mu_n^*)(t) \\ &= \int U(\mu^*; t) d(\mu^* - \mu_n^*)(t), \end{aligned}$$

where $U(\mu^*; t)$ is the logarithmic potential of μ^* (so that $U(\mu^*; t) = \|\mu^*\|^2$ for all $t \in \Gamma^*$), we obtain

$$\langle \mu^*, \mu^* - \mu_n^* \rangle = 0$$

and consequently

$$(3.16) \quad \|\mu^* - \mu_n^*\|^2 = \|\mu_n^*\|^2 - \|\mu^*\|^2.$$

Similarly, we obtain

$$\|\mu_K - \mu^*\|^2 = \|\mu_K\|^2 - \|\mu^*\|^2,$$

and by (3.15) we get

$$(3.17) \quad \|\mu_K - \mu^*\|^2 \leq \frac{1}{(n+1)^2 \text{cap}(K)}.$$

Now,

$$\begin{aligned} \|\mu_n^*\|^2 &= \iint \log|z-t|^{-1} d\mu_n^*(z) d\mu_n^*(t) \\ &= \sum_{j=0}^n \int_{K_{j,n}} \left(\sum_{i=0}^n \int_{K_{i,n}} \log|z-t|^{-1} d\mu_n^*(z) \right) d\mu_n^*(t). \end{aligned}$$

Since, for fixed t , the function $\log|z-t|^{-1}$ is superharmonic in z , we have

$$\begin{aligned} \int_{K_{i,n}} \log|z-t|^{-1} d\mu_n^*(z) &= \frac{(n+1)^2}{2\pi} \int_{K_{i,n}} \log|z-t|^{-1} |dz| \\ &\leq \frac{1}{n+1} \log|t-z_{i,n}|^{-1}. \end{aligned}$$

Hence

$$\|\mu_n^*\|^2 \leq \frac{1}{n+1} \sum_{i,j=0}^n \int_{K_{j,n}} \log|t-z_{i,n}|^{-1} d\mu_n^*(t),$$

and since $\log|t-z_{i,n}|^{-1}$ is superharmonic in t it follows that

$$\int_{K_{j,n}} \log|t-z_{i,n}|^{-1} d\mu_n^*(t) \leq \frac{1}{n+1} \log|z_{j,n}-z_{i,n}|^{-1}, \quad \text{for } i \neq j,$$

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