

# POLARIZATION OPTIMALITY OF EQUALLY SPACED POINTS ON THE CIRCLE FOR DISCRETE POTENTIALS

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ABSTRACT. We prove a conjecture of Ambrus, Ball and Erdélyi that equally spaced points maximize the minimum of discrete potentials on the unit circle whenever the potential is of the form

$$\sum_{k=1}^n f(d(z, z_k)),$$

where  $f : [0, \pi] \rightarrow [0, \infty]$  is non-increasing and convex and  $d(z, w)$  denotes the geodesic distance between  $z$  and  $w$  on the circle.

## 1. INTRODUCTION AND MAIN RESULTS

Let  $\mathbb{S}^1 := \{z = x + iy \mid x, y \in \mathbb{R}, x^2 + y^2 = 1\}$  denote the unit circle in the complex plane  $\mathbb{C}$ . For  $z, w \in \mathbb{S}^1$ , we denote by  $d(z, w)$  the geodesic (shortest arclength) distance between  $z$  and  $w$ . Let  $f : [0, \pi] \rightarrow [0, \infty]$  be non-increasing and convex on  $(0, \pi]$  with  $f(0) = \lim_{\theta \rightarrow 0^+} f(\theta)$ . It then follows that  $f$  is a continuous extended real-valued function on  $[0, \pi]$ . For a list of  $n$  points (not necessarily distinct)  $\omega_n = (z_1, \dots, z_n) \in (\mathbb{S}^1)^n$ , we consider the  $f$ -potential of  $\omega_n$ ,

$$(1) \quad U^f(\omega_n; z) := \sum_{k=1}^n f(d(z, z_k)) \quad (z \in \mathbb{S}^1),$$

and the  $f$ -polarization of  $\omega_n$ ,

$$(2) \quad M^f(\omega_n; \mathbb{S}^1) := \min_{z \in \mathbb{S}^1} U^f(\omega_n; z).$$

In this note, we are chiefly concerned with the  $n$ -point  $f$ -polarization of  $\mathbb{S}^1$  (also called the  $n$ th  $f$ -Chebyshev constant of  $\mathbb{S}^1$ ),

$$(3) \quad M_n^f(\mathbb{S}^1) := \sup_{\omega_n \in (\mathbb{S}^1)^n} M^f(\omega_n; \mathbb{S}^1),$$

which has been the subject of several recent papers (e.g., [1], [2], [5], [6]).

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In the case (relating to Euclidean distance) when

$$(4) \quad f(\theta) = f_s(\theta) := |e^{i\theta} - 1|^{-s} = (2 \sin |\theta/2|)^{-s}, \quad s > 0,$$

we abbreviate the notation for the above quantities by writing

$$(5) \quad \begin{aligned} U^s(\omega_n; z) &:= \sum_{k=1}^n f_s(d(z, z_k)) = \sum_{k=1}^n \frac{1}{|z - z_k|^s}, \\ M^s(\omega_n; \mathbb{S}^1) &:= \min_{z \in \mathbb{S}^1} \sum_{k=1}^n \frac{1}{|z - z_k|^s}, \\ M_n^s(\mathbb{S}^1) &:= \sup_{\omega_n \in (\mathbb{S}^1)^n} M^s(\omega_n; \mathbb{S}^1). \end{aligned}$$

The main result of this note is the following theorem conjectured by G. Ambrus et al [2]. Its proof is given in the next section.

**Theorem 1.** *Let  $f : [0, \pi] \rightarrow [0, \infty]$  be non-increasing and convex on  $(0, \pi]$  with  $f(0) = \lim_{\theta \rightarrow 0^+} f(\theta)$ . If  $\omega_n$  is any configuration of  $n$  distinct equally spaced points on  $\mathbb{S}^1$ , then  $M^f(\omega_n; \mathbb{S}^1) = M_n^f(\mathbb{S}^1)$ . Moreover, if the convexity condition is replaced by strict convexity, then such configurations are the only ones that achieve this equality.*

Applying this theorem to the case of  $f_s$  given in (4) we immediately obtain the following.

**Corollary 2.** *Let  $s > 0$  and  $\omega_n^* := \{e^{i2\pi k/n} : k = 1, 2, \dots, n\}$ . If  $(z_1, \dots, z_n) \in (\mathbb{S}^1)^n$ , then*

$$(6) \quad \min_{z \in \mathbb{S}^1} \sum_{k=1}^n \frac{1}{|z - z_k|^s} \leq M^s(\omega_n^*; \mathbb{S}^1) = M_n^s(\mathbb{S}^1),$$

*with equality if and only if  $(z_1, \dots, z_n)$  consists of distinct equally spaced points.*

The following representation of  $M^s(\omega_n^*; \mathbb{S}^1)$  in terms of *Riesz  $s$ -energy* was observed in [2]:

$$M^s(\omega_n^*; \mathbb{S}^1) = \frac{\mathcal{E}_s(\mathbb{S}^1; 2n)}{2n} - \frac{\mathcal{E}_s(\mathbb{S}^1; n)}{n},$$

where

$$\mathcal{E}_s(\mathbb{S}^1; n) := \inf_{\omega_n \in (\mathbb{S}^1)^n} \sum_{j=1}^n \sum_{\substack{k=1 \\ k \neq j}}^n \frac{1}{|z_j - z_k|^s}.$$

Thus, applying the asymptotic formulas for  $\mathcal{E}_s(\mathbb{S}^1; n)$  given in [3], we obtain the dominant term of  $M_n^s(\mathbb{S}^1)$  as  $n \rightarrow \infty$ :

$$M_n^s(\mathbb{S}^1) \sim \begin{cases} \frac{2\zeta(s)}{(2\pi)^s} (2^s - 1)n^s, & s > 1, \\ (1/\pi) n \log n, & s = 1, \\ \frac{2^{-s}}{\sqrt{\pi}} \frac{\Gamma(\frac{1-s}{2})}{\Gamma(1 - \frac{s}{2})} n, & s \in [0, 1), \end{cases}$$

where  $\zeta(s)$  denotes the classical Riemann zeta function and  $a_n \sim b_n$  means that  $\lim_{n \rightarrow \infty} a_n/b_n = 1$ . These asymptotics, but for  $M^s(\omega_n^*, \mathbb{S}^1)$ , were stated in [2]<sup>1</sup>.

For  $s$  an even integer, say  $s = 2m$ , the precise value of  $M_n^{2m}(\mathbb{S}^1) = M^{2m}(\omega_n^*; \mathbb{S}^1)$  can be expressed in finite terms, as can be seen from formula (1.20) in [3].

**Corollary 3.** *We have*

$$M_n^{2m}(\mathbb{S}^1) = \frac{2}{(2\pi)^{2m}} \sum_{k=1}^m n^{2k} \zeta(2k) \alpha_{m-k}(2m) (2^{2k} - 1), \quad m \in \mathbb{N},$$

where  $\alpha_j(s)$  is defined via the power series for  $\text{sinc } z = (\sin \pi z)/(\pi z)$ :

$$(\text{sinc } z)^{-s} = \sum_{j=0}^{\infty} \alpha_j(s) z^{2j}, \quad \alpha_0(s) = 1.$$

In particular,

$$M_n^2(\mathbb{S}^1) = \frac{2}{(2\pi)^2} n^2 \zeta(2) = \frac{n^2}{4},$$

$$M_n^4(\mathbb{S}^1) = \frac{2}{(2\pi)^4} [n^2 \zeta(2) \alpha_1(4) (2^2 - 1) + n^4 \zeta(4) (2^4 - 1)] = \frac{n^2}{24} + \frac{n^4}{48},$$

$$\begin{aligned} M_n^6(\mathbb{S}^1) &= \frac{2}{(2\pi)^6} [n^2 \zeta(2) \alpha_2(6) (2^2 - 1) + n^4 \zeta(4) \alpha_1(6) (2^4 - 1) + n^6 \zeta(6) (2^6 - 1)] \\ &= \frac{n^2}{120} + \frac{n^4}{192} + \frac{n^6}{480}, \end{aligned}$$

The case  $s = 2$  of the above corollary was first proved in [1],[2] and the case  $s = 4$  was first proved in [5]. We remark that an alternative formula for  $\alpha_j(s)$  is

$$\alpha_j(s) = \frac{(-1)^j B_{2j}^{(s)}(s/2)}{(2j)!} (2\pi)^{2j}, \quad j = 0, 1, 2, \dots,$$

<sup>1</sup>We remark that there is a factor of  $2/(2\pi)^p$  missing in the asymptotics given in [2] for the case  $p := s > 1$ .

where  $B_j^{(\alpha)}(x)$  denotes the generalized Bernoulli polynomial. Asymptotic formulas for  $M_n^f(\mathbb{S}^1)$  for certain other functions  $f$  can be obtained from the asymptotic formulas given in [4].

As other consequences of Theorem 1, we immediately deduce that equally spaced points are optimal for the following problems

$$(7) \quad \min_{\omega_n \in (\mathbb{S}^1)^n} \max_{z \in \mathbb{S}^1} \sum_{k=1}^n |z - z_k|^\alpha, \quad (0 < \alpha \leq 1),$$

and

$$(8) \quad \max_{\omega_n \in (\mathbb{S}^1)^n} \min_{z \in \mathbb{S}^1} \sum_{k=1}^n \log \frac{1}{|z - z_k|},$$

with the solution to (8) being well-known. Furthermore, various generalizations of the polarization problem for Riesz potentials for configurations on  $\mathbb{S}^1$  are worthy of consideration, such as minimizing the potential on circles concentric with  $\mathbb{S}^1$ .

## 2. PROOF OF THEOREM 1

For distinct points  $z_1, z_2 \in \mathbb{S}^1$ , we let  $\widehat{z_1 z_2}$  denote the closed subarc of  $\mathbb{S}^1$  from  $z_1$  to  $z_2$  traversed in the counterclockwise direction. We further let  $\gamma(\widehat{z_1 z_2})$  denote the length of  $\widehat{z_1 z_2}$  (thus,  $\gamma(\widehat{z_1 z_2})$  equals either  $d(z_1, z_2)$  or  $2\pi - d(z_1, z_2)$ ). Observe that the points  $z_1$  and  $z_2$  partition  $\mathbb{S}^1$  into two subarcs:  $\widehat{z_1 z_2}$  and  $\widehat{z_2 z_1}$ . The following lemma (see proof of Lemma 1 in [2]) is a simple consequence of the convexity and monotonicity of the function  $f$  and is used to show that any  $n$ -point configuration  $\omega_n \subset \mathbb{S}^1$  such that  $M^f(\omega_n) = M_n^f(\mathbb{S}^1)$  must have the property that any local minimum of  $U^f(\omega_n; \cdot)$  is a global minimum of this function.

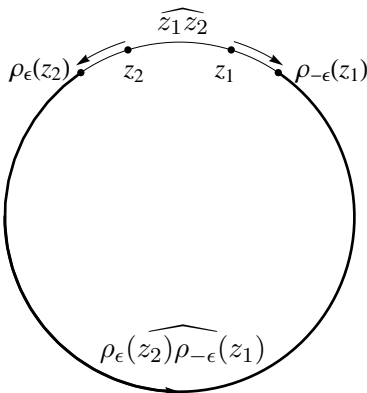


FIGURE 1. The points  $z_1, z_2, \rho_{-\epsilon}(z_1), \rho_\epsilon(z_2)$  in Lemma 4. The potential increases at every point in the subarc  $\widehat{\rho_\epsilon(z_2) \rho_{-\epsilon}(z_1)}$  when  $(z_1, z_2) \rightarrow (\rho_{-\epsilon}(z_1), \rho_\epsilon(z_2))$ ; see (9).

For  $\phi \in \mathbb{R}$  and  $z \in \mathbb{S}^1$ , we let  $\rho_\phi(z) := e^{i\phi}z$  denote the counterclockwise rotation of  $z$  by the angle  $\phi$ .

**Lemma 4** ([2]). *Let  $z_1, z_2 \in \mathbb{S}^1$  and  $0 < \epsilon < \gamma(\widehat{z_2 z_1})/2$ . Then with  $f$  as in Theorem 1,*

$$(9) \quad U^f((z_1, z_2); z) \leq U^f((\rho_{-\epsilon}(z_1), \rho_\epsilon(z_2)); z),$$

for  $z$  in the subarc  $\widehat{\rho_\epsilon(z_2)\rho_{-\epsilon}(z_1)}$ , while the reverse inequality holds for  $z$  in the subarc  $\widehat{z_1 z_2}$ . If  $f$  is strictly convex on  $(0, \pi]$ , then these inequalities are strict. If  $z_1 = z_2$ , then we set  $\widehat{z_1 z_2} = \{z_1\}$  and  $\widehat{z_2 z_1} = \mathbb{S}^1$ .

We now assume that  $\omega_n = (z_1, \dots, z_n)$  is ordered in a counterclockwise manner and also that the indexing is extended periodically so that  $z_{k+n} = z_k$  for  $k \in \mathbb{Z}$ . For  $1 \leq k \leq n$  and  $\Delta \in \mathbb{R}$ , we define  $\tau_{k,\Delta} : (\mathbb{S}^1)^n \rightarrow (\mathbb{S}^1)^n$  by

$$\tau_{k,\Delta}(z_1, \dots, z_k, z_{k+1}, \dots, z_n) := (z_1, \dots, \rho_{-\Delta}(z_k), \rho_\Delta(z_{k+1}), \dots, z_n).$$

If  $z_{k-1} \neq z_k$  and  $z_{k+1} \neq z_{k+2}$ , then  $\tau_{k,\Delta}(\omega_n)$  retains the ordering of  $\omega_n$  for  $\Delta$  positive and sufficiently small. Given  $\mathbf{\Delta} := (\Delta_1, \dots, \Delta_n)^T \in \mathbb{R}^n$ , let  $\tau_{\mathbf{\Delta}} := \tau_{n,\Delta_n} \circ \dots \circ \tau_{2,\Delta_2} \circ \tau_{1,\Delta_1}$  and  $\omega'_n := \tau_{\mathbf{\Delta}}(\omega_n)$ . Letting  $\alpha_k := \gamma(\widehat{z_k z_{k+1}})$  and  $\alpha'_k := \gamma(\widehat{z'_k z'_{k+1}})$  for  $k = 1, \dots, n$ , we obtain the system of  $n$  linear equations:

$$(10) \quad \alpha'_k = \alpha_k - \Delta_{k-1} + 2\Delta_k - \Delta_{k+1}, \quad (1 \leq k \leq n),$$

which is satisfied as long as  $\sum_{k=1}^n \alpha'_k = 2\pi$  or, equivalently, if  $\omega'_n$  is ordered counterclockwise. Let

$$\text{sep}(\omega_n) := \min_{1 \leq \ell \leq n} \alpha_\ell.$$

Then (10) holds if

$$(11) \quad \max_{1 \leq k \leq n} |\Delta_k| \leq (1/4)\text{sep}(\omega_n),$$

in which case, the configurations

$$(12) \quad \omega_{n,\mathbf{\Delta}}^{(\ell)} := \tau_{n,\Delta_\ell} \circ \dots \circ \tau_{2,\Delta_2} \circ \tau_{1,\Delta_1}(\omega_n), \quad (\ell = 1, \dots, n)$$

are all ordered counterclockwise. If the components of  $\mathbf{\Delta}$  are nonnegative, then we may replace the '(1/4)' in (11) with '(1/2)'

**Lemma 5.** *Suppose  $\omega_n = (z_1, \dots, z_n)$  and  $\omega'_n = (z'_1, \dots, z'_n)$  are  $n$ -point configurations on  $\mathbb{S}^1$  ordered in a counterclockwise manner. Then there is a unique  $\mathbf{\Delta}^* = (\Delta_1^*, \dots, \Delta_n^*) \in \mathbb{R}^n$  so that*

- (a)  $\Delta_k^* \geq 0$ ,  $k = 1, \dots, n$ ,
- (b)  $\Delta_j^* = 0$  for some  $j \in \{1, \dots, n\}$ , and
- (c)  $\tau_{\mathbf{\Delta}^*}(\omega_n)$  is a rotation of  $\omega'_n$ .

*Proof.* The system (10) can be expressed in the form

$$(13) \quad A\mathbf{\Delta} = \mathbf{\beta},$$

where

$$A := \begin{pmatrix} 2 & -1 & 0 & 0 & \cdots & -1 \\ -1 & 2 & -1 & 0 & \cdots & 0 \\ \vdots & & & & & \vdots \\ 0 & 0 & \cdots & -1 & 2 & -1 \\ -1 & 0 & \cdots & 0 & -1 & 2 \end{pmatrix}, \quad \Delta := \begin{pmatrix} \Delta_1 \\ \Delta_2 \\ \vdots \\ \Delta_n \end{pmatrix}, \quad \text{and } \beta := \begin{pmatrix} \alpha'_1 - \alpha_1 \\ \alpha'_2 - \alpha_2 \\ \vdots \\ \alpha'_n - \alpha_n \end{pmatrix}.$$

It is elementary to verify that  $\ker A = (\text{range } A)^\perp = \text{span}(\mathbf{1})$ , where  $\mathbf{1} = (1, 1, \dots, 1)^T$ . Since  $\beta^T \mathbf{1} = \sum_{k=1}^n (\alpha'_k - \alpha_k) = 0$ , the linear system (13) always has a solution  $\Delta$ . Let  $j \in \{1, \dots, n\}$  satisfy  $\Delta_j = \min_{1 \leq k \leq n} \Delta_k$ . Then subtracting  $\Delta_j \mathbf{1}$  from  $\Delta$ , we obtain the desired  $\Delta^*$ . Since  $\ker A = \text{span } \mathbf{1}$ , there is at most one solution of (13) satisfying properties (a) and (b), showing that  $\Delta^*$  is unique.

Part (c) holds as a direct result of the fact that both  $\omega_n$  and  $\omega'_n$  are ordered counterclockwise.  $\square$

**Lemma 6.** *Let  $\Omega_n = (z_1, \dots, z_n)$  be a configuration of  $n$  distinct points on  $S^1$  ordered counterclockwise, and with  $f$  as in Theorem 1, suppose  $\Delta = (\Delta_1, \dots, \Delta_n) \in \mathbb{R}^n$  is such that*

- (a)  $0 \leq \Delta_k \leq (1/2)\text{sep}(\Omega_n)$  for  $k = 1, \dots, n$ , and
- (b) *there is some  $j \in \{1, \dots, n\}$  for which  $\Delta_j = 0$ .*

Let  $\Omega'_n := \tau_\Delta(\Omega_n) = (z'_1, \dots, z'_n)$ . Then  $\widehat{z'_j z'_{j+1}} \subset \widehat{z_j z_{j+1}}$  and

$$(14) \quad U^f(\Omega_n; z) \leq U^f(\Omega'_n; z) \quad (z \in \widehat{z'_j z'_{j+1}}).$$

If  $f$  is strictly convex on  $(0, \pi]$  and  $\Delta_k > 0$  for at least one  $k$ , then the inequality (14) is strict.

We remark that  $\Delta_k = 0$  for all  $k = 1, \dots, n$  is equivalent to saying that the points are equally spaced.

*Proof.* Recalling (12), it follows from condition (a) that  $(z_1^{(\ell)}, \dots, z_n^{(\ell)}) := \omega_{n, \Delta}^{(\ell)}$  are counterclockwise ordered. Since  $\Delta_j = 0$  and  $\Delta_k \geq 0$  for  $k = 1, \dots, n$ , the points  $z_j^{(\ell)}$  and  $z_{j+1}^{(\ell)}$  are moved at most once as  $\ell$  varies from 1 to  $n$  and move toward each other, while remaining in the complement of all other subarcs  $\widehat{z_k^{(\ell)} z_{k+1}^{(\ell)}}$ , i.e.,

$$\widehat{z'_j z'_{j+1}} = \widehat{z_j^{(n)} z_{j+1}^{(n)}} \subseteq \widehat{z_j^{(\ell)} z_{j+1}^{(\ell)}} \subseteq \widehat{z_{k+1}^{(\ell)} z_k^{(\ell)}},$$

for  $k \in \{1, \dots, n\} \setminus \{j\}$  and  $\ell \in \{1, \dots, n\}$ . Lemma 4 implies that, for  $\ell = 1, \dots, n$ , we have  $U^f(\omega_n^{(\ell-1)}; z) \leq U^f(\omega_n^{(\ell)}; z)$  for  $z \in \widehat{z_j^{(\ell)} z_{j+1}^{(\ell)}}$  (where  $\omega_n^{(0)} := \omega_n$ ) and the inequality is strict if  $\Delta_\ell > 0$ . Hence, (14) holds and the inequality is strict if  $f$  is strictly convex and  $\Delta_k > 0$  for some  $k = 1, \dots, n$ .  $\square$

We now proceed with the proof of Theorem 1. Let  $\omega_n = (z_1, \dots, z_n)$  be a non-equally spaced configuration of  $n$  (not necessarily distinct) points on  $\mathbb{S}^1$  ordered counterclockwise. By Lemma 5, there is some equally spaced configuration  $\omega'_n$  (i.e.,  $\alpha'_k = 2\pi/n$  for  $k = 1, \dots, n$ ) and some  $\Delta^* = (\Delta_1^*, \dots, \Delta_n^*)$  such that (a)  $\omega'_n = \tau_{\Delta^*}(\omega_n)$ , (b)  $\Delta_k^* \geq 0$  for  $k = 1, \dots, n$ , and (c)  $\Delta_j^* = 0$  for some  $j \in \{1, \dots, n\}$ . Then (10) holds with  $\alpha_k := \gamma(\widehat{z_k, z_{k+1}})$  and  $\alpha'_k := 2\pi/n$ . Since  $\omega_n$  is not equally spaced, we have  $\Delta_k^* > 0$  for at least one value of  $k$ .

For  $0 \leq t \leq 1$ , let  $\omega_n^t := \tau_{(t\Delta^*)}(\omega_n) = (z_1^t, \dots, z_n^t)$  and, for  $k = 1, \dots, n$ , let  $\alpha_k^t := \gamma(\widehat{z_k^t, z_{k+1}^t})$ . Recalling (10), observe that

$$\begin{aligned} \alpha_k^t &= \alpha_k - t(\Delta_{k-1} + 2\Delta_k - \Delta_{k+1}) \\ &= \alpha_k + t(2\pi/n - \alpha_k) \\ &= (1-t)\alpha_k + t(2\pi/n), \end{aligned}$$

for  $0 \leq t \leq 1$  and  $k = 1, \dots, n$ , and so  $\text{sep}(\omega_n^t) \geq t(2\pi/n)$ . Now let  $0 < t < s < \min(1, t(1 + \pi/(nD)))$ , where  $D := \max\{\Delta_k : 1 \leq k \leq n\}$ . Then Lemma 6 (with  $\Omega_n = \omega_n^t$ ,  $\Delta = (s-t)\Delta^*$ , and  $\Omega'_n = \tau_{\Delta}(\Omega_n) = \omega_n^s$ ) implies that  $\widehat{z_j^s, z_{j+1}^s} \subseteq \widehat{z_j^t, z_{j+1}^t}$  and that

$$(15) \quad U^f(\omega_n^t; z) \leq U^f(\omega_n^s; z) \quad (z \in \widehat{z_j^s, z_{j+1}^s}),$$

where the inequality is sharp if  $f$  is strictly convex.

Consider the function

$$h(t) := \min \{U^f(\omega_n^t; z) : z \in \widehat{z_j^t, z_{j+1}^t}\}, \quad (0 \leq t \leq 1).$$

Observe that

$$h(t) \leq \min \{U^f(\omega_n^t; z) : z \in \widehat{z_j^s, z_{j+1}^s}\} \leq \min \{U^f(\omega_n^s; z) : z \in \widehat{z_j^s, z_{j+1}^s}\} = h(s),$$

for  $0 < t < s < \min(1, t(1 + \pi/(nD)))$ . It is then easy to verify that  $h$  is non-decreasing on  $(0, 1)$ . Since  $\omega_n^t$  depends continuously on  $t$ , the function  $h$  is continuous on  $[0, 1]$  and thus  $h$  is non-decreasing on  $[0, 1]$ .

We then obtain the desired inequality

$$M^f(\omega_n; \mathbb{S}^1) \leq h(0) \leq h(1) = M^f(\omega'_n; \mathbb{S}^1),$$

where the last equality is a consequence of the fact that  $\omega'_n$  is an equally spaced configuration and so the minimum of  $U^f(\omega'_n; z)$  over  $\mathbb{S}^1$  is the same as the minimum over  $\widehat{z'_j, z'_{j+1}}$ . If  $f$  is strictly convex, then  $h(0) < h(1)$  showing that any optimal  $f$ -polarization configuration must be equally spaced. This completes the proof of Theorem 1.  $\square$

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