

# On Hankel Operators Associated with Markov Functions

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## Abstract

In this paper some questions related to Hankel operators associated with Markov functions are considered. Let  $G$  be a bounded multiply connected domain with a boundary  $\Gamma$  consisting of closed analytic Jordan curves. We assume that  $G$  is symmetric with respect to the real axis. Let  $\mu$  be a positive Borel measure with the support  $\text{supp } \mu = E \subset \mathbf{R}, E \subset G$ . We investigate a connection between the Hankel operator  $A_f$  constructed from the Markov function

$$f(z) = \frac{1}{2\pi i} \int_E \frac{d\mu(x)}{z-x}$$

and the embedding operator  $J : E_2(G) \rightarrow L_2(\mu, E)$ , where  $E_2(G)$  is the Smirnov class of functions analytic on  $G$ . Moreover, in the case when  $G$  is the open unit disk we state results characterizing the rate of decrease of the sequence of singular numbers of the Hankel operator  $A_f$  constructed from the Markov function with the measure  $\mu$  satisfying the Szegő condition:  $\text{supp } \mu = [a, b] \subset (-1, 1)$  and

$$\int_a^b \frac{\log(d\mu/dx)}{\sqrt{(x-a)(b-x)}} dx > -\infty.$$

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# 1 Hankel and Embedding Operators.

## 1.1 Notation and Statement of Theorem 1

Let  $G \subseteq \mathbf{C}$  be a bounded domain symmetric with respect to the real axis whose boundary  $\Gamma$  consists of finitely many closed analytic Jordan curves. We assume that  $\Gamma$  is positively oriented with respect to  $G$ . Let  $L_p(\Gamma)$ ,  $1 \leq p < \infty$ , be the Lebesgue space of functions  $\varphi$  on  $\Gamma$  such that

$$\|\varphi\|_p = \left( \int_{\Gamma} |\varphi(\xi)|^p |d\xi| \right)^{1/p} < \infty.$$

Denote by  $L_{\infty}(\Gamma)$  the space of essentially bounded functions  $\varphi$ ,

$$\|\varphi\|_{\infty} = \operatorname{ess\,sup}_{\Gamma} |\varphi(\xi)| < \infty.$$

Let  $E_p(G)$ ,  $1 \leq p \leq \infty$ , be the Smirnov class of analytic functions on  $G$ . Here and in what follows we consider  $E_p(G)$  as a subspace of the space  $L_p(\Gamma)$ . The condition

$$\int_{\Gamma} \frac{\varphi(\xi) d\xi}{\xi - z} = 0 \quad \text{for all } z \in \overline{\mathbf{C}} \setminus \overline{G}$$

is necessary and sufficient for a function  $\varphi \in L_1(\Gamma)$  to be the boundary value of a function in the Smirnov class  $E_1(G)$  (see [16] and [19] for more details about the classes  $E_p(G)$ ).

We represent  $L_2(\Gamma)$  as the direct sum  $L_2(\Gamma) = E_2(G) \oplus E_2^{\perp}(G)$ , where  $E_2^{\perp}(G)$  is the orthogonal complement of  $E_2(G)$  in  $L_2(\Gamma)$ . Denote by  $\mathbf{P}_-$  the orthogonal projection from  $L_2(\Gamma)$  onto  $E_2^{\perp}(G)$ . Let  $f$  be continuous on  $\Gamma$ . The Hankel operator  $A_f$  with symbol  $f$  is the map from  $E_2(G)$  to  $E_2^{\perp}(G)$  which takes  $\varphi \in E_2(G)$  to  $A_f\varphi = \mathbf{P}_-(\varphi f)$ . Note that  $A_f$  is a compact operator.

Let  $\mu$  be a positive Borel measure with the support  $\operatorname{supp} \mu = E \subset \mathbf{R}$ ,  $E \subset G$ . We assume that the support of  $\mu$  contains infinitely many points. Denote by  $L_2(\mu, E)$  the Hilbert space with the inner product

$$(\varphi, \psi)_{2,\mu} = \int_E (\varphi \overline{\psi})(x) d\mu(x), \quad \varphi, \psi \in L_2(\mu, E),$$

and the norm  $\|\varphi\|_{2,\mu}$ .

For the Markov function

$$f(z) = \frac{1}{2\pi i} \int_E \frac{d\mu(x)}{z - x} \tag{1.1}$$

there is a connection between the singular numbers  $s_n(A_f)$  of the Hankel operator  $A_f$  and the singular numbers of the *embedding operator*  $J : E_2(G) \rightarrow L_2(\mu, E)$ ,

$$J\varphi = \varphi|_E, \quad \varphi \in E_2(G).$$

The compact operator  $J$  is given by restricting an element  $\varphi \in E_2(G)$  to  $E$ .

Let  $X$  and  $Y$  be the Hilbert spaces, and let  $A$  be a compact linear operator from  $X$  to  $Y$ . For any nonnegative integer  $n$  denote by  $s_n(A)$  the  $n$ -th *singular number* of the operator  $A$ :

$$s_n(A) = \inf_K \|A - K\|,$$

where the infimum is taken over the collection of all linear operators  $K : X \rightarrow Y$  of rank at most  $n$ , and  $\|\cdot\|$  is the norm of the corresponding linear operator. It can be seen that the sequence  $\{s_n(A)\}$ ,  $n = 0, 1, 2, \dots$ , coincides with the sequence of eigenvalues (counting multiplicity) of the operator  $(A^*A)^{1/2}$ , where  $A^* : Y \rightarrow X$  is the adjoint of  $A$ , and

$$s_n(A) = \inf_{X_{-n}} \|A|_{X_n}\|,$$

where  $X_{-n}$  runs over all possible subspaces of codimension  $n$  of  $X$  (see [12] for more details about singular numbers).

We now formulate the main result of this section establishing a connection between the operators  $A_f^*A_f$  and  $(J^*J)^2$ .

**Theorem 1** *Let  $G$  be a domain symmetric with respect to real axis and let  $f$  be the Markov function of (1.1), where  $\mu$  is a positive Borel measure with the support  $\text{supp } \mu = E \subset \mathbf{R}$ ,  $E \subset G$ , containing infinitely many points. Then*

$$A_f^*A_f = (J^*J)^2. \tag{1.2}$$

Consequently,

$$s_n(J)^2 = s_n(A_f), \quad n = 0, 1, 2, \dots \tag{1.3}$$

**Remark.** In the case when  $G$  is the open unit disk  $\{z : |z| < 1\}$  and  $E \subset (-1, 1)$  it is not hard to prove (see [4]) that for  $|z| < 1$

$$(A_f^*A_f)(\varphi)(z) = (J^*J)^2(\varphi)(z) = \frac{1}{4\pi^2} \int_E \int_E \frac{\varphi(x)}{(1-yx)(1-yz)} d\mu(x)d\mu(y).$$

## 1.2 Some Properties of $A_f$

In this subsection we investigate some properties of Hankel operators. We first state a result giving necessary and sufficient conditions for a function  $a$  belonging to the space  $L_2(\Gamma)$  to be an element of the subspace  $E_2^\perp(G)$  (see [17]).

*Suppose that  $a \in L_2(\Gamma)$ . Then  $a \in E_2^\perp(G)$  if and only if there exists a function  $b \in E_2(G)$  such that*

$$\bar{a}(\xi) |d\xi| = b(\xi) d\xi \quad \text{a.e. on } \Gamma. \quad (1.4)$$

Let  $\varphi \in E_2(G)$ . Since  $A_f \varphi = \mathbf{P}_-(\varphi f)$ ,

$$A_f \varphi = \varphi f - \psi = u, \quad (1.5)$$

where  $u \in E_2^\perp(G)$  and  $\psi \in E_2(G)$ . On the basis of the fact that  $A_f^* u = \mathbf{P}_+(u\bar{f})$ , where  $\mathbf{P}_+$  is the orthogonal projection of  $L_2(\Gamma)$  onto  $E_2(G)$ , we obtain that

$$A_f^* u = u\bar{f} - v = \omega, \quad (1.6)$$

where  $\omega \in E_2(G)$  and  $v \in E_2^\perp(G)$ . Since  $u, v \in E_2^\perp(G)$ , we can assert (see (1.4)) that there exist  $\alpha, \beta \in E_2(G)$  such that

$$\bar{u}(\xi) |d\xi| = \alpha(\xi) d\xi \quad \text{and} \quad \bar{v}(\xi) |d\xi| = \beta(\xi) d\xi$$

a.e. on  $\Gamma$ . Therefore, by (1.5) and (1.6), we get

$$(\varphi f - \psi)(\xi) d\xi = \overline{\alpha(\xi)} |d\xi|, \quad \text{and} \quad (\alpha f - \beta)(\xi) d\xi = \overline{\omega(\xi)} |d\xi|$$

a.e. on  $\Gamma$ , and so for the Markov function (1.1) we have

$$\left( \varphi(\xi) \frac{1}{2\pi i} \int_E \frac{d\mu(x)}{\xi - x} - \psi(\xi) \right) d\xi = \overline{\alpha(\xi)} |d\xi| \quad \text{a.e. on } \Gamma, \quad (1.7)$$

$$\left( \alpha(\xi) \frac{1}{2\pi i} \int_E \frac{d\mu(x)}{\xi - x} - \beta(\xi) \right) d\xi = \overline{\omega(\xi)} |d\xi| \quad \text{a.e. on } \Gamma. \quad (1.8)$$

**Remark 1** It follows immediately from (1.4) and (1.5) that  $\alpha$  and  $\psi$  are uniquely determined by equation (1.7). Namely, if for  $\tilde{\psi}, \tilde{\alpha} \in E_2(G)$  we have

$$\left( \varphi(\xi) \frac{1}{2\pi i} \int_E \frac{d\mu(x)}{\xi - x} - \tilde{\psi}(\xi) \right) d\xi = \overline{\tilde{\alpha}(\xi)} |d\xi|, \quad \text{a.e. on } \Gamma,$$

then  $\tilde{\psi} = \psi$  and  $\tilde{\alpha} = \alpha$ . Analogously,  $\beta$  and  $\omega$  are uniquely determined by (1.8).

### 1.3 The Embedding Operator $J$

Let  $J : E_2(G) \rightarrow L_2(\mu, E)$  be the embedding operator  $J\varphi = \varphi|_E, \varphi \in E_2(G)$ . By the Cauchy formula, for any function  $\varphi \in E_2(G)$  we have

$$(J\varphi)(x) = \frac{1}{2\pi i} \int_{\Gamma} \frac{\varphi(\xi)d\xi}{\xi - x}, \quad x \in E.$$

The adjoint operator  $J^* : L_2(\mu, E) \rightarrow E_2(G)$  of  $J$  can be represented in the form

$$(J^*g)(\xi) = \mathbf{P}_+(\Phi), \quad g \in L_2(\mu, E), \quad (1.9)$$

where

$$\Phi(\xi) = \left( \frac{1}{2\pi i} \int_E \frac{g(x)d\mu(x)}{x - \bar{\xi}} \right) \frac{|d\xi|}{d\xi}.$$

Let  $\varphi \in E_2(G)$ . Equality (1.9) implies that

$$(J^*J)\varphi(\xi) = (\Phi - \Psi)(\xi) = \alpha_1(\xi), \quad \text{a.e. on } \Gamma,$$

where  $\alpha_1 \in E_2(G)$  and  $\Psi \in E_2^\perp(G)$ . By (1.4), there exists a function  $\psi_1 \in E_2(G)$  such that  $\overline{\Psi(\xi)}|d\xi| = \psi_1(\xi)d\xi$  a.e. on  $\Gamma$ . Therefore, we get

$$\left( \frac{1}{2\pi i} \int_E \frac{\overline{\varphi(x)}d\mu(x)}{\xi - x} - \psi_1(\xi) \right) d\xi = \overline{\alpha_1(\xi)}|d\xi| \quad \text{a.e. on } \Gamma. \quad (1.10)$$

Analogously, using the formula  $(J^*J)\alpha_1(\xi) = \omega_1(\xi)$ , where  $\omega_1 \in E_2(G)$ , we obtain that there exists  $\beta_1 \in E_2(G)$  such that

$$\left( \frac{1}{2\pi i} \int_E \frac{\overline{\alpha_1(x)}d\mu(x)}{\xi - x} - \beta_1(\xi) \right) d\xi = \overline{\omega_1(\xi)}|d\xi| \quad \text{a.e. on } \Gamma. \quad (1.11)$$

### 1.4 Proof of Theorem 1

We shall prove that  $(J^*J)^2\varphi = (A_f^*A)\varphi$  for every  $\varphi \in E_2(G)$ . Let us consider equation (1.10). Replacing  $\xi$  by  $\bar{\xi}$  and then taking the conjugate, we get

$$\left( -\frac{1}{2\pi i} \int_E \frac{\varphi(x)d\mu(x)}{\xi - x} - \psi_1^*(\xi) \right) (-d\xi) = \overline{\alpha_1^*(\xi)}|d\xi| \quad \text{a.e. on } \Gamma,$$

where  $\psi^*(\xi) = \overline{\psi_1(\bar{\xi})} \in E_2(G)$  and  $\alpha_1^*(\xi) = \overline{\alpha_1(\bar{\xi})} \in E_2(G)$ . Therefore,

$$\left( \frac{1}{2\pi i} \int_E \frac{\varphi(x)d\mu(x)}{\xi - x} + \psi_1^*(\xi) \right) d\xi = \overline{\alpha_1^*(\xi)}|d\xi| \quad \text{a.e. on } \Gamma. \quad (1.12)$$

We now note that for  $\varphi \in E_2(G)$  the function

$$\int_E \frac{\varphi(x)d\mu(x)}{\xi - x} - \varphi(\xi) \int_E \frac{d\mu(x)}{\xi - x}$$

belongs to  $E_2(G)$ . Then, from (1.7) and (1.12) we can conclude with the help of Remark 1 that  $\alpha_1^* = \alpha$ . Using (1.11) and the fact  $\overline{\alpha_1(x)} = \alpha_1^*(x)$  for  $x \in E$ , we obtain

$$\left( \frac{1}{2\pi i} \int_E \frac{\alpha(x)d\mu(x)}{\xi - x} - \beta_1(\xi) \right) d\xi = \overline{\omega_1(\xi)} |d\xi| \quad \text{a.e. on } \Gamma.$$

From this, on account of (1.8) and Remark 1, we get  $\omega_1 = \omega$ . Consequently, for any  $\varphi \in E_2(G)$  we have  $(J^*J)^2\varphi = (A_f^*A_f)\varphi$ , which implies (1.2) and (1.3).

□

## 1.5 Connection between the Best Meromorphic Approximation Problem, the Theory of Hankel Operators, and $n$ -widths

There is a connection between the singular numbers  $s_n(A_f)$  of the Hankel operator  $A_f$  with symbol  $f \in C(\Gamma)$  and the error

$$\Delta_{n,\infty} = \Delta_{n,\infty}(f; G) = \inf_{h \in \mathcal{M}_{n,\infty}(G)} \|f - h\|_\infty$$

in best meromorphic approximation of  $f$  in  $L_\infty(\Gamma)$  in the class

$$\mathcal{M}_{n,\infty}(G) = \{h = \alpha/\beta : \alpha \in E_\infty(G), \beta \text{ is a polynomial, } \deg \beta \leq n, \beta \not\equiv 0\}.$$

This connection was first investigated by Adamyan, Arov, and Kreĭn (see [2], [3]) and is known as the AAK method. The Adamyan-Arov-Kreĭn theorem relates to the situation when the Hankel operator is constructed from a function given on the boundary  $\Gamma$  of the unit disk  $G$ .

**Theorem** (Adamyan, Arov, Kreĭn) *Let  $f$  be continuous on the unit circle  $\Gamma = \{z : |z| = 1\}$ . Then*

$$\Delta_{n,\infty}(f; G) = s_n(A_f), \quad n = 0, 1, 2, \dots,$$

*and a unique best approximant  $h_n \in \mathcal{M}_{n,\infty}(G)$  satisfying*

$$\Delta_{n,\infty}(f; G) = \|f - h_n\|_\infty$$

is given by  $h_n = \mathbf{P}_+(Q_n f)/Q_n$ , where  $Q_n$  is the first element of some  $(n+1)$ -st Schmidt pair of  $A_f$ ,  $\|Q_n\|_2 = 1$ .

As shown in [17] we have the following connection between the quantities  $\Delta_n(f; G)$  and the singular numbers  $s_n(A_f)$  in the case when  $G$  is a  $N$ -connected domain.

Let  $G$  be a  $N$ -connected domain with a boundary  $\Gamma$  consisting of closed analytic Jordan curves and let  $f$  be continuous on  $\Gamma$ . Then, for all integers  $n \geq N-1$ ,

$$\Delta_{n+N-1}(f; G) \leq s_n(A_f) \leq \Delta_n(f; G). \quad (1.13)$$

Let  $G$  be a bounded domain whose boundary  $\Gamma$  consists of  $N$  closed analytic Jordan curves. In this case the Smirnov class  $E_p(G)$ ,  $1 \leq p \leq \infty$ , coincides with the Hardy space  $H_p(G)$  of analytic functions on  $G$  (see [11], [13], [20] for more details about the Hardy spaces). Let  $\mu$  be a positive Borel measure with support  $\text{supp } \mu = E \subset G$ . There is a link between the singular numbers  $s_n(J)$  of the embedding operator  $J$  and  $n$ -widths of the unit ball of the Hardy space  $H_2(G)$  in  $L_2(\mu, E)$  as we now describe.

Let  $X$  be a Banach space and  $\mathbf{A}$  be a convex, compact, centrally symmetric subset of  $X$ . The *Kolmogorov  $n$ -width* of  $\mathbf{A}$  in  $X$  is given by

$$d_n(\mathbf{A}, X) := \inf_{X_n} \sup_{\varphi \in \mathbf{A}} \inf_{g \in X_n} \|\varphi - g\|,$$

where the infimum is taken over all  $n$  dimensional subspaces  $X_n$  of  $X$ . The *Gel'fand  $n$ -width* of  $\mathbf{A}$  in  $X$  is defined as follows:

$$d^n(\mathbf{A}, X) := \inf_{X_{-n}} \sup_{x \in X_{-n} \cap \mathbf{A}} \|x\|,$$

where  $X_{-n}$  is an arbitrary subspace of  $X$  of codimension  $n$ . The *linear  $n$ -width* of  $\mathbf{A}$  in  $X$  is given by

$$\delta_n(\mathbf{A}, X) := \inf_K \sup_{\varphi \in \mathbf{A}} \|\varphi - K\varphi\|,$$

where  $K : X \rightarrow X$  varies over all linear operators of rank  $n$  (see [15] for more details about  $n$ -widths).

Let  $A_2$  be the restriction to  $E$  of the closed unit ball of  $H_2(G)$ . We have (see, for example, [10], [15])

$$s_n(J) = d_n(A_2, L_2(\mu, E)) = d^n(A_2, L_2(\mu, E)) \leq \delta_n(A_2, L_2(\mu, E)).$$

It is proved by S.D. Fisher [10] that there is a constant  $C > 0$  depending on  $G$  and  $E$  but not  $n$  or  $\mu$  such that

$$\frac{1}{C}\gamma_n \leq d_n(A_2, L_2(\mu, E)) \leq \delta_n(A_2, L_2(\mu, E)) \leq C\gamma_n,$$

where

$$\gamma_n = \inf_{\{z_1, \dots, z_n\} \subset G} \sup\{\|\varphi\|_{2, \mu} : \varphi \in A_2 \text{ and } \varphi(z_k) = 0, k = 1, \dots, n\}.$$

Moreover, if  $E$  is small enough (see [10, Theorem 4]), then

$$\begin{aligned} s_n(J) &= d_n(A_2, L_2(\mu, E)) = d^n(A_2, L_2(\mu, E)) \\ &= \delta_n(A_2, L_2(\mu, E)) = \gamma_n. \end{aligned}$$

In the case when  $G$  is the open unit disk, S.D. Fisher and C.A. Micchelli (see [8], [9], and [7]) proved that always

$$\begin{aligned} s_n(J) &= d_n(\mathbf{A}_2, L_2(\mu, E)) = d^n(\mathbf{A}_2, L_2(\mu, E)) \\ &= \delta_n(\mathbf{A}_2, L_2(\mu, E)) = \gamma_n. \end{aligned}$$

## 2 Best Meromorphic Approximation of Markov Functions on the Unit Circle

### 2.1 Some Formulas

Here and in what follows we consider the case when  $G$  is the open unit disk with the center at 0. Let  $\mu$  be a positive Borel measure whose support  $\text{supp } \mu = E \subset (-1, 1)$  contains infinitely many points and let  $f$  be the Markov function associated with  $\mu$ . In this case the singular values  $s_n(A_f)$  of  $A_f$  are strictly decreasing (i.e. the eigenspaces are one dimensional). It follows from Theorem 1 and results of S. D. Fisher and C.A. Micchelli (see [8], [9], and [7]), where it is proved that the eigenvalues for  $J^*J : H_2(G) \rightarrow H_2(G)$ ,

$$(J^*J)g(z) = \frac{1}{2\pi} \int_E \frac{g(x)}{1-xz} d\mu(x), \quad g \in H_2(G), \quad |z| < 1,$$

are simple, the corresponding eigenspaces are one dimensional and the  $(n+1)$ -st eigenfunction has exactly  $n$  zeros in  $G$ . Thus (cf. [5]) an eigenfunction



$Q_n = Q_{n,\infty}$ ,  $\|Q_n\|_2 = 1$ , for  $A_f$  corresponding to the singular value  $s_n(A_f)$  satisfies the equation

$$s_n(A_f)Q_n(z) = \frac{1}{2\pi} \int_E \frac{Q_n(x)}{1 - zx} d\mu(x), \quad |z| < 1. \quad (2.1)$$

We remark that  $Q_n$  is unique up to multiplication by a unimodular scalar. As shown in [5], equation (2.1) implies that the polynomial  $w_n^*(z) = \prod_{k=1}^n (z - x_{k,n})$  constructed from the zeros of  $Q_n$  is an orthogonal polynomial with a varying weight function (see Subsection 2.2). It is possible to show further that all  $n$  zeros  $x_{1,n}, \dots, x_{n,n}$  of  $Q_n$  are simple, lie on the smallest closed interval containing the support of  $\mu$  and that  $Q_n$  can be analytically continued from  $G$  to  $\overline{C} \setminus E^{-1}$ , where  $E^{-1} = \{1/x : x \in E\}$  (see [4] for more details about properties of  $Q_n$ ).

## 2.2 Orthogonality

We can represent  $Q_n$  in the form  $Q_n = B_n \varphi_n$ , where  $\varphi_n$  is an outer function and  $B_n$  is a Blaschke product constructed from the zeros  $Q_n$ :

$$B_n(z) = \prod_{k=1}^n \frac{z - x_{k,n}}{1 - x_{k,n}z} = \frac{w_n^*(z)}{w_n(z)},$$

$$w_n^*(z) = \prod_{k=1}^n (z - x_{k,n}), \quad w_n(z) = \prod_{k=1}^n (1 - x_{k,n}z).$$

By (2.1),

$$\int_E \frac{Q_n(x)}{1 - x_{k,n}x} d\mu(x) = 0 \quad \text{for } k = 1, \dots, n.$$

Thus the following orthogonality relations are valid:

$$\int_E T(x) \frac{Q_n(x)}{w_n(x)} d\mu(x) = 0$$

and

$$\int_E T(x) w_n^*(x) \frac{\varphi_n(x)}{w_n^2(x)} d\mu(x) = 0 \quad (2.2)$$

for any polynomial  $T$ ,  $\deg T \leq n - 1$ .

### 3 Potential Theory

To describe the asymptotic behavior of the singular values and corresponding singular functions for a Hankel operator whose symbol is a Markov function, we need to recall some fundamental quantities from potential theory.

Let  $g(z, \xi)$  be the Green function for the unit disk  $G$  with pole at  $\xi \in G$ :

$$g(z, \xi) = \log \left| \frac{1 - \bar{\xi}z}{z - \xi} \right|.$$

Let  $E \subset G$  be a compact set. Denote by  $\mathcal{P}(E)$  the set of all positive unit Borel measures  $\sigma$  with support  $\text{supp } \sigma \subset E$ . The Green potential of a measure  $\sigma \in \mathcal{P}(E)$  is denoted by

$$V_g^\sigma(z) = \int_E g(z, \xi) d\sigma(\xi).$$

We consider the energy of a measure  $\sigma \in \mathcal{P}(E)$  with respect to the Green potential

$$I_g^\sigma = \int_E V_g^\sigma(z) d\sigma(z) = \int_E \int_E g(z, \xi) d\sigma(\xi) d\sigma(z).$$

It is a well known fact (see, for example, [18]) that if logarithmic capacity  $\text{cap}(E) > 0$ , then there exists a unique measure  $\omega \in \mathcal{P}(E)$  such that

$$I_g^\omega = \inf\{I_g^\sigma : \sigma \in \mathcal{P}(E)\}.$$

Moreover,

$$V_g^\omega(z) = I_g^\omega \quad \text{q.e. on } E,$$

where q.e. (quasi-everywhere) means neglecting sets of zero logarithmic capacity. The measure  $\omega$  is called the *Green equilibrium measure*. The *condenser capacity* is  $C(E, \Gamma) := 1/I_g^\omega$ . Let  $r = e^{-1/C(E, \Gamma)} = e^{-I_g^\omega}$

Let us consider the case when  $E = [a, b] \subset (-1, 1)$ . Then

$$I_g^\omega = \frac{\pi K}{2K'} \quad \text{or} \quad r = \exp\left(-\frac{\pi K}{2K'}\right),$$

where

$$K = \int_0^1 \frac{dx}{\sqrt{(1-x^2)(1-\tau^2 x^2)}}, \quad \tau^2 = \frac{(1-a^2)(1-b^2)}{(1-ab)^2},$$

and  $K'$  is the corresponding elliptic integral for  $\tau' = \sqrt{1 - \tau^2}$  (see [1], [18]). Moreover, the Green equilibrium measure is

$$d\omega(x) = \frac{k dx}{\sqrt{(x-a)(b-x)(1-ax)(1-bx)}}, \quad x \in [a, b],$$

where

$$k = \frac{(1-ab)}{2K'}.$$

## 4 Statements of Convergence Theorems

### 4.1 Szegő Function $D_\psi(z)$

We assume that the support of  $\mu$  is  $E = [a, b] \subset (0, 1)$  and the measure  $\mu$  satisfies the Szegő condition

$$\int_a^b \frac{\log(d\mu/dx)}{\sqrt{(x-a)(b-x)}} dx > -\infty.$$

Let  $E^{-1} = [1/b, 1/a]$ . Let  $\Phi : \overline{\mathbf{C}} \setminus (E \cup E^{-1}) \rightarrow \{r < |z| < 1/r\}$ ,  $\Phi(1) = 1$ , be the conformal mapping of the region  $\overline{\mathbf{C}} \setminus (E \cup E^{-1})$  onto the annulus with the interior radius  $r$  and the exterior radius  $1/r$ . Then  $|\Phi| = r$  on  $E$  and  $r = e^{-1/C(E, \Gamma)}$ , where  $C(E, \Gamma)$  is the capacity of the condenser  $(E, \Gamma)$ .

Let  $d\omega$  be the Green equilibrium measure for  $E = [a, b]$ . We can write the Riesz decomposition of  $\mu$

$$d\mu = \psi d\omega + d\mu_s,$$

where  $\psi = d\mu/d\omega$  denotes the Radon-Nikodym derivative of  $\mu$  with respect to  $\omega$ , and  $\mu_s$  is a singular measure. We also need the *geometric mean*  $\mathcal{G}_\omega(\psi)$  of  $\psi$  with respect to  $\omega$ :

$$\mathcal{G}_\omega(\psi) = \exp\left(\int_E \log \psi d\omega\right)$$

and the Szegő function  $D_\psi$  for a doubly-connected region  $\overline{\mathbf{C}} \setminus (E \cup E^{-1})$  (see [14]). The Szegő function  $\mathcal{D}_\psi(z)$  for  $\overline{\mathbf{C}} \setminus (E \cup E^{-1})$  has the following properties:

- 1)  $\mathcal{D}_\psi(z)$  is analytic and non-vanishing in  $\overline{\mathbf{C}} \setminus (E \cup E^{-1})$ ;
- 2) the increment  $\Delta_\Gamma \arg \mathcal{D}_\psi$  of the argument of  $\mathcal{D}_\psi$  along  $\Gamma$  is equal to 0;

- 3)  $|\mathcal{D}_\psi(z)|^2 = \psi(z)$  a.e. on  $E$ ; more precisely, the nontangential limits of  $|\mathcal{D}_\psi(\xi)|^2$  as  $\xi$  approaches  $z \in E$  equal  $\psi(z)$  a.e.;
- 4)  $|\mathcal{D}_\psi(z)|^2 = \mathcal{G}_\omega(\psi)$  on  $\Gamma$ .

We have (cf. [6])

$$\begin{aligned} \mathcal{D}_\psi(z) = & \sqrt{\mathcal{G}_\omega(\psi)} \exp \left( \sqrt{(z-a)(z-b)(1-az)(1-bz)} \right. \\ & \left. \times \frac{1}{2\pi} \int_a^b \frac{\log(\psi(x)/\mathcal{G}_\omega(\psi))(1-2xz+x^2)dx}{\sqrt{(x-a)(b-x)(1-ax)(1-bx)(z-x)(1-xz)}} \right). \end{aligned}$$

## 4.2 Main Results

In this subsection we formulate theorems characterizing the limiting distribution of poles and degree of convergence of best meromorphic approximants  $h_n = h_{n,p}$ ,  $1 \leq p \leq \infty$ , to the Markov function of (1.1) in the space  $L_p(\Gamma)$ . The methods used are based on an investigation of Szegő type asymptotics for the orthogonal polynomials (cf. (2.2)) associated with the poles of  $h_n$ .

Let  $1 \leq p \leq \infty$  and let  $\mathcal{M}_{n,p}(G)$  be the following class of meromorphic functions on the unit disk  $G$ :

$$\mathcal{M}_{n,p}(G) = \{h = \alpha/\beta : \alpha \in H_p(G), \beta \text{ is a polynomial, } \deg \beta \leq n, \beta \not\equiv 0\}.$$

The deviation of  $f \in L_p(\Gamma)$  from the class  $\mathcal{M}_{n,p}(G)$  will be denoted by  $\Delta_{n,p}$ :

$$\Delta_{n,p} = \Delta_{n,p}(f; G) = \inf_{h \in \mathcal{M}_{n,p}(G)} \|f - h\|_p. \quad (4.1)$$

Let  $1/p + 1/q = 1$ . There exists a best approximant  $h_n = h_{n,p}$  in  $\mathcal{M}_{n,p}(G)$  to  $f$  in the space  $L_p(\Gamma)$ :

$$\Delta_{n,p} = \|f - h_n\|_p$$

such that all  $n$  poles of  $h_n$  in  $G$  are simple and belong to  $E$ . The function  $h_n$  can be represented in the form  $h_n = P_n/Q_n$ , where  $P_n = P_{n,p} \in H_p(G)$  and  $Q_n = Q_{n,p} \in H_{2q}(G)$ ,  $\|Q_n\|_{2q} = 1$ . We have  $Q_n = B_n\varphi_n$ , where  $B_n$  is a Blaschke product degree  $n$  constructed from the zeros of  $Q_n$ , and  $\varphi_n \in H_{2q}(G)$ ,  $\|\varphi_n\|_{2q} = 1$ ,  $\varphi_n$  is positive on  $(-1, 1)$ . It is possible to show that

$\varphi_n^q$  and  $B_n\varphi_n^{2-q}(f-h_n)$  can be extended analytically to  $\overline{\mathbf{C}} \setminus E^{-1}$  and  $\overline{\mathbf{C}} \setminus E$ , respectively (see [4]).

Let  $\varphi^* \in H_{2q}(G)$ ,  $\varphi^*(0) > 0$ , satisfy

$$\mathcal{G}_\omega(|\varphi^*|^2) = \sup_{\varphi \in H_{2q}(G), \|\varphi\|_{2q}=1} \mathcal{G}_\omega(|\varphi|^2).$$

It is easy to prove that  $\varphi^*(z) \equiv 1$  for  $p = 1$ . In the case when  $1 < p \leq \infty$  we have

$$\varphi^*(z) = \frac{k_1}{\sqrt[2q]{(1-az)(1-bz)}}, \quad z \in G,$$

where  $k_1 = \sqrt[2q]{k/2}$ .

We now state a theorem characterizing the degree of convergence of  $\Delta_{n,p}$  to zero as  $n \rightarrow \infty$  and the limiting behavior of  $B_n$  and  $\varphi_n$  (see [4]). In the following theorems we assume that  $f$  is a Markov function generated by a measure  $\mu$  satisfying the Szegő condition in Subsection 4.1.

**Theorem 2** *We have for  $1 \leq p \leq \infty$ ,*

(i)

$$\frac{\Delta_{n,p}}{2r^{2n}\mathcal{G}_\omega(\psi)\mathcal{G}_\omega((\varphi^*)^2)} \rightarrow 1 \quad \text{as } n \rightarrow \infty. \quad (4.2)$$

*In particular, for  $p = \infty$ , (4.2) describes the asymptotic behavior of the singular values  $s_n(A_f) = \Delta_{n,\infty}$ .*

(ii) *For  $1 < p \leq \infty$ , the sequence  $B_n^2\varphi_n^2 d\mu/\Delta_{n,p}$  converges weak\* to  $d\omega$  :*

$$\frac{B_n^2\varphi_n^2}{\Delta_{n,p}} d\mu \xrightarrow{*} d\omega \quad \text{as } n \rightarrow \infty.$$

*Moreover,*

$$\frac{(B_n \mathcal{D}_\psi \mathcal{D}_{(\varphi^*)^2})(z)}{\Phi^n(z) \sqrt{\mathcal{G}_\omega(\psi)\mathcal{G}_\omega(\varphi^*)}} \rightarrow 1$$

*uniformly on compact subsets of  $\overline{\mathbf{C}} \setminus (E \cup E^{-1})$  as  $n \rightarrow \infty$ , and*

$$\varphi_n(z) \rightarrow \varphi^*(z)$$

*and*

$$\varphi_n^q(z) \rightarrow (\varphi^*)^q(z)$$

*uniformly on compact subsets of  $G$  and  $\overline{\mathbf{C}} \setminus E^{-1}$ , respectively, as  $n \rightarrow \infty$ .*

The next theorem describes the convergence of  $h_n$  to  $f$  (see [4]).

**Theorem 3** *Let  $1 < p \leq \infty$ . We have for  $z \in G \setminus E$ ,*

$$\frac{(f - h_n)(z)}{\frac{2(\mathcal{D}_\psi^2 \mathcal{D}_{\varphi^* 2}^2)(z)r^{2n}}{i\Phi^{2n}(z)} \sqrt{\frac{(1-az)(1-bz)}{(z-a)(z-b)} \left(\frac{(1-az)(1-bz)}{k/2}\right)^{(1-q)/q}}} \rightarrow 1,$$

and for  $z \in \overline{\mathbf{C}} \setminus (E \cup E^{-1})$

$$\frac{(\varphi_n^{2-q}(f - h_n))(z)}{\frac{2(\mathcal{D}_\psi^2 \mathcal{D}_{\varphi^* 2}^2)(z)r^{2n}}{\Phi^{2n}(z)}} \rightarrow \frac{\sqrt{k/2}}{i\sqrt{(z-a)(z-b)}},$$

where both limits as  $n \rightarrow \infty$  are locally uniform.

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