

# THE REPRESENTATION OF FUNCTIONS IN TERMS OF THEIR DIVIDED DIFFERENCES AT CHEBYSHEV NODES AND ROOTS OF UNITY

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## ABSTRACT

For the infinite triangular arrays of points whose rows consist of (i) the  $n$ th roots of unity, (ii) the extrema of Chebyshev polynomials  $T_n(x)$  on  $[-1, 1]$ , and (iii) the zeros of  $T_n(x)$ , we consider the corresponding sequences of divided difference functionals  $\{I_n\}_1^\infty$  in the successive rows of these arrays. We investigate the totality of such functionals as well as the convergence of the *generalized Taylor series*  $\sum_1^\infty (I_n f) P_{n-1}(z)$  for a function  $f$ , where the  $P_k$  are basic polynomials satisfying  $I_{j+1} P_k = \delta_{jk}$ . Explicit formulae are given for the basic polynomials involving the Möbius function (of number theory), and examples of non-trivial functions  $f$  for which  $I_n f = 0$ ,  $n = 1, 2, \dots$ , are constructed.

## Introduction

Let  $f$  be a function defined on the distinct complex points  $z_1, \dots, z_k$ . Recall that if  $m_1, \dots, m_k$  are positive integers with  $\sum_{i=1}^k m_i = n$ , there exists a unique  $p \in \mathcal{P}_{n-1}$  ( $\mathcal{P}_k$  denotes the set of polynomials of degree at most  $k$ ),  $p(z) = a_0 + a_1 z + \dots + a_{n-1} z^{n-1}$ , satisfying

$$p^{(i)}(z_j) = f^{(i)}(z_j), \quad i = 0, 1, \dots, m_j - 1; j = 1, \dots, k. \quad (0.1)$$

(An assumption that  $f$  has the required derivatives at  $z_j$  when  $m_j > 1$  is implicit in (0.1).) The leading coefficient of  $p$ , that is,  $a_{n-1}$ , is called the *divided difference of  $f$  with respect to  $z_1, \dots, z_n$*  (where each  $z_j$  appears  $m_j$  times in this sequence). In a more familiar notation we have  $a_{n-1} = f(z_1, \dots, z_n)$ , and it is clear that  $a_{n-1}$  is a symmetric function of  $z_1, \dots, z_n$ . We shall also use the notation

$$I_n f := a_{n-1}.$$

It is obvious that  $I_n$  is a linear functional which satisfies  $I_n q = 0$  if  $q \in \mathcal{P}_m$ ,  $m < n - 1$ ; and  $I_n z^{n-1} = 1$ . Note that if  $z_1 = \dots = z_n = 0$ , then  $I_n f = f^{(n-1)}(0)/(n-1)!$

Let  $\beta$  denote an infinite triangular array of complex numbers whose  $j$ th row,  $j = 0, 1, 2, \dots$ , is  $\beta^{(j)} = (\beta_1^{(j)}, \dots, \beta_{j+1}^{(j)})$  and suppose that  $f$  is a function defined on all the entries in  $\beta$ . It is easy to see that, in view of the elementary properties of the divided difference functionals  $I_n f = f(\beta_1^{(n-1)}, \dots, \beta_n^{(n-1)})$ , which we have just mentioned, there exist unique *basic polynomials*,  $P_k \in \mathcal{P}_k$ ,  $k = 0, 1, 2, \dots$ , that are monic and satisfy

$$I_{j+1} P_k = \delta_{jk}, \quad j, k = 0, 1, 2, \dots \quad (0.2)$$

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Thus  $\{P_k(z), I_{k+1}\}_{k=0}^\infty$  is a normalized biorthogonal system, and, given  $\beta$ , each  $f$  defined on it has the biorthogonal expansion

$$\sum_{j=1}^\infty (I_j f) P_{j-1}(z) \tag{0.3}$$

associated to it. In particular, if all entries in  $\beta$  are zero, (0.3) becomes the Taylor series of  $f$ , and so we call (0.3) the *generalized Taylor series* of  $f$  with respect to  $\beta$ . A study of generalized Taylor series with respect to certain arrays is one of our themes in this work.

Another prominent theme in what follows is the question of the *totality* of the sequence of divided differences  $I_{j,\beta}$ ,  $j = 1, 2, \dots$  (where the notation indicates the underlying triangular array of points) for some specified set of functions. That is, if for each  $f \in X$ ,  $I_j f = 0$ ,  $j = 1, 2, \dots$ , implies that  $f = 0$ , then  $\{I_j\}_{j=1}^\infty$  is called *total* for  $X$ . The totality of  $\{I_{j,\beta}\}$  for functions having convergent biorthogonal expansions, such as generalized Taylor series, or series of orthogonal polynomials will be examined. Background material about divided differences may be found in [3, 7].

Before sketching the contents of the five subsequent sections of this paper we present some of the notation that will be used. We write  $T_n(x)$  and  $U_n(x)$  for the Chebyshev polynomials of degree  $n$ , of the first and second kinds, respectively. We set

$$D_\rho := \{z \in \mathbb{C} : |z| \leq \rho\}, \quad C_\rho := \{z \in \mathbb{C} : |z| = \rho\}, \quad I := [-1, 1], \quad e(x) := e^{2\pi i x};$$

$\xi$  is the infinite triangular array of points of  $I$  with  $\xi_k^{(j-1)} = \cos((2k-1)(\pi/2j))$ ,  $k = 1, \dots, j$ ,  $j \geq 1$  (zeros of  $T_j(x)$ );  $\eta$  is the infinite triangular array of points of  $I$  with  $\eta_k^{(j)} = \cos((k-1)\pi/j)$ ,  $k = 1, \dots, j+1$ ,  $j \geq 1$  (extrema of  $T_j(x)$ ),  $\eta_1^{(0)} = 0$ ;  $\omega$  is the infinite triangular array of points of  $C_1$  with  $\omega_k^{(j)} = e((k-1)/(j+1))$ ,  $k = 1, \dots, j+1$ ,  $j \geq 0$ , (roots of unity);  $\mathbb{N}$  denotes the positive integers. The *Möbius function*  $\mu(n)$  is defined for  $n \in \mathbb{N}$  by

$$\mu(n) := \begin{cases} 1, & n = 1, \\ (-1)^k, & n \text{ is the product of } k \text{ distinct primes,} \\ 0, & \text{all other } n \in \mathbb{N}. \end{cases}$$

The function  $\mu(n)$  is multiplicative, that is,  $\mu(nm) = \mu(n)\mu(m)$  if 1 is the greatest common divisor of  $m$  and  $n$  (written  $(m, n) = 1$ ). The *Möbius inversion formula* says that if  $n \in \mathbb{N}$

$$\sum_{d|n} \mu(d) = \sum_{d|n} \mu\left(\frac{n}{d}\right) = \begin{cases} 1, & n = 1, \\ 0, & n \neq 1, \end{cases} \tag{0.4}$$

where  $d|n$  means that  $d$  is a divisor of  $n$ . Finally,  $d(n) := \sum_{d|n} 1$ ; that is,  $d(n)$  is the number of positive integers that are divisors of  $n$ . If  $\varepsilon > 0$ , then  $d(n) = O(n^\varepsilon)$ , as  $n \rightarrow \infty$ , with a constant that depends on  $\varepsilon$ . Proofs of these number-theoretic results may be found in [8].

In Section 1 we exhibit the connection between the divided differences of a function with respect to points on  $C_1$  and those of a related function with respect to the projections of those points on  $I$ . Expressions for the divided differences, with respect to  $\xi, \eta, \omega$ , in terms of the coefficients of the expansion of the function in Taylor series or Chebyshev series are also given.

Section 2 contains results about the totality of the  $\{I_{j,\beta}\}_1^\infty$  ( $\beta = \xi, \eta, \omega$ ) for various classes of functions, while connections between divided differences and analyticity of the function are examined in Section 3. Section 4 is devoted to the explicit

construction of the basic polynomials for  $\xi$ ,  $\eta$  and  $\omega$ , bounds for these polynomials and convergence of the corresponding biorthogonal expansions. Section 5 presents some counterexamples which delimit the sharpness of the totality results of Section 2.

1. Relationship between divided differences on  $I$  and on  $C_1$

If  $f$  is a complex-valued function defined on a subset of  $\mathbb{C}$ , we define operators  $L_n$  and  $M_n$  as follows:

$$L_n(f, z) := z^{n-1}(z^2 - 1)f(z), \quad M_n(f, z) := z^{n-1}f(z). \tag{1.1}$$

For points  $x_j \in [-1, 1]$  we write

$$x_j = \cos \phi_j, \quad 0 \leq \phi_j \leq \pi, \quad z_j := e^{i\phi_j}. \tag{1.2}$$

**THEOREM 1.1.** *Suppose that*

$$p(x) = \sum_{k=0}^m A_k T_k(x) \quad \text{and} \quad q(z) = \sum_{k=0}^m A_k z^k.$$

If  $\{x_j\}_0^n \subset [-1, 1]$ ,  $n \geq 0$ , then

$$p(x_0, \dots, x_n) = 2^{n-1} L_n q(z_0, \bar{z}_0, \dots, z_n, \bar{z}_n), \tag{1.3}$$

where  $x_j$  and  $z_j$  are related as in (1.2).

*Proof.* Because of the linearity of the divided difference operator, it suffices to prove (1.3) for  $p(x) = T_k(x)$ . Thus  $q(z) = z^k$  and  $L_n(q, z) = z^{k+n-1}(z^2 - 1)$ . If  $k = n = 0$ , then both sides of (1.3) are equal to 1.

Suppose, then, that  $k + n \geq 1$ . If  $\rho > 1$ , we denote by  $\tilde{C}_\rho$  the image of the circle  $C_\rho$  under the Joukowski mapping

$$w = \phi(z) := z + (z^2 - 1)^{\frac{1}{2}}, \quad z = \phi^{-1}(w) = \frac{1}{2}(w + w^{-1}). \tag{1.4}$$

Put

$$h(z) := \prod_{j=0}^n (z - x_j), \quad H(w) := \prod_{j=0}^n (w - z_j)(w - \bar{z}_j).$$

Then

$$h(\frac{1}{2}(w + w^{-1})) = (2w)^{-n-1} H(w),$$

and, by the Hermite formula for divided differences (cf. [7]), we have

$$\begin{aligned} p(x_0, \dots, x_n) &= \frac{1}{2\pi i} \int_{\tilde{C}_\rho} \frac{T_k(z)}{h(z)} dz = \frac{1}{2\pi i} \int_{C_\rho} \frac{T_k(\frac{1}{2}(w + w^{-1}))}{h(\frac{1}{2}(w + w^{-1}))} d(\frac{1}{2}(w + w^{-1})) \\ &= \frac{1}{2\pi i} \int_{C_\rho} \frac{\frac{1}{2}(w^k + w^{-k})}{(2w)^{-n-1} H(w)} \cdot \frac{1}{2}(1 - w^{-2}) dw \\ &= 2^{n-1} \frac{1}{2\pi i} \int_{C_\rho} \frac{w^k w^{n-1}(w^2 - 1)}{H(w)} dw + 0 \\ &= 2^{n-1} L_n q(z_0, \bar{z}_0, \dots, z_n, \bar{z}_n). \end{aligned}$$

Theorem 1.1 allows us to relate the divided differences of two functions  $f$  and  $g$ , defined on  $I$  and  $C_1$ , respectively, whenever they can be simultaneously approximated by corresponding polynomials  $p$  and  $q$ . For example, we have the following.

COROLLARY 1.2. Suppose that  $\{x_j\}_0^n \subset [-1, 1]$  are  $n+1$  distinct points. If  $\sum_{k=0}^{\infty} |A_k| < \infty$ , then

$$f(x) := \sum_{k=0}^{\infty} A_k T_k(x) \in C(I), \quad g(z) := \sum_{k=0}^{\infty} A_k z^k \in C(D_1)$$

and

$$f(x_0, \dots, x_n) = 2^{n-1} L_n g(z_0, \bar{z}_0, \dots, z_n, \bar{z}_n), \quad (1.5)$$

where  $x_j$  and  $z_j$  are related as in (1.2).

*Proof.* The result follows by applying Theorem 1.1 to the polynomials

$$p_m(x) := \sum_{k=0}^m A_k T_k(x), \quad q_m(z) := \sum_{k=0}^m A_k z^k,$$

and then taking the limit as  $m \rightarrow \infty$ . That the limiting process yields (1.5) is obvious for the case of divided differences in distinct points. But, when  $x_0 = 1$  (or  $x_n = -1$ ), we have  $z_0 = \bar{z}_0 = 1$  (or  $z_n = \bar{z}_n = -1$ ), and repeated nodes occur in the divided difference on the right-hand side of (1.5). It is easy to check, however, that these repeated nodes cause no difficulties because  $L_n g$  is differentiable at 1 and  $-1$  whenever  $g$  is continuous.

COROLLARY 1.3. Suppose that  $\{x_j\}_0^n \subset [-1, 1]$  are  $n+1$  distinct points and that

$$g(z) := \sum_{k=0}^{\infty} a_k z^k \in C(D_1),$$

where the  $a_k$  are real. Then (1.5) holds with

$$f(x) := \operatorname{Re} g(e^{i \arccos x}).$$

*Proof.* For each  $\varepsilon > 0$  there exists  $q \in \mathcal{P}_m$  with real coefficients such that  $\|g - q\|_{D_1} < \varepsilon$ . Writing  $q(z) = \sum_{k=0}^m A_k z^k$ , we put

$$p(x) := \sum_{k=0}^m A_k T_k(x).$$

Then  $\|f - p\|_I < \varepsilon$ , and the corollary follows from Theorem 1.1 just as in the preceding proof.

For the case when both 1 and  $-1$  are nodes in the divided difference we have the following alternative relation which involves the operator  $M_n$  of (1.1).

THEOREM 1.4. If  $p(x) = \sum_{k=0}^m A_k T_k(x)$  and  $q(z) = \sum_{k=0}^m A_k z^k$ , then

$$p(1, x_1, \dots, x_{n-1}, -1) = 2^{n-1} M_n q(1, z_1, \bar{z}_1, \dots, z_{n-1}, \bar{z}_{n-1}, -1), \quad (1.6)$$

where  $x_j$  and  $z_j$  are related as in (1.2).

*Proof.* Let  $h(z) := z - a, g(z)$  be any polynomial, and  $y_0, y_1, \dots, y_l$  be arbitrary points of  $\mathbb{C}$ . Then the Leibniz formula for divided differences (cf. [3]) yields

$$(gh)(y_0, \dots, y_l) = g(y_0, \dots, y_l) h(y_l) + g(y_0, \dots, y_{l-1}). \quad (1.7)$$

Putting  $g(z) = (z + 1) M_n(q; z)$ ,  $h(z) = z - 1$ , and  $y_l = 1$  in (1.7) we obtain

$$L_n q(y_0, \dots, y_{l-1}, 1) = ((z + 1) M_n(q, z))(y_0, \dots, y_{l-1}). \tag{1.8}$$

Substituting  $g(z) = M_n(q, z)$ ,  $h(z) = z + 1$ , and  $y_l = -1$  in (1.7) gives

$$((z + 1) M_n(q, z))(y_0, \dots, y_{l-1}, -1) = M_n q(y_0, \dots, y_{l-1}). \tag{1.9}$$

Keeping in mind that a divided difference is a symmetric function of its nodes, we see that (1.3), (1.8) and (1.9) imply (1.6).

We show next that for the special choice of nodes  $\beta = \xi, \eta$ , the relation (1.3) can be simplified further. Suppose that  $\phi_j = j\pi/n$ ,  $j = 0, \dots, n$ , so that  $x_j = \eta_{j+1}^{(n)}$ ,  $j = 0, \dots, n$ . Then we have (cf. (1.2))

$$\{1, z_1, \bar{z}_1, \dots, z_{n-1}, \bar{z}_{n-1}, -1\} = \{e(0), e(1/2n), \dots, e((2n-1)/(2n))\},$$

which is the set of zeros of  $\psi(z) := z^{2n} - 1$ . Hence

$$\begin{aligned} M_n q(1, z_1, \bar{z}_1, \dots, z_{n-1}, \bar{z}_{n-1}, -1) &= \sum_{k=0}^{2n-1} \frac{M_n(q, e(k/2n))}{\psi'(e(k/2n))} \\ &= \frac{1}{2n} \sum_{k=0}^{2n-1} \frac{q(e(k/2n)) (e(k/2n))^{n-1}}{(e(k/2n))^{2n-1}} \\ &= \frac{1}{2n} \sum_{k=0}^{2n-1} (-1)^k q(e(k/2n)). \end{aligned}$$

This identity, Theorem 1.4, and the representation of the divided difference

$$F(e(0), e(1/N), \dots, e((N-1)/N)) = \frac{1}{N} \sum_{k=0}^{N-1} F(e(k/N)) e(k/N) \tag{1.10}$$

now yield the following.

**THEOREM 1.5.** Let  $p(x) = \sum_{k=0}^m A_k T_k(x)$ ,  $q(z) = \sum_{k=0}^m A_k z^k$ , and

$$\tilde{q}(z) := \frac{q(z) - q(0)}{z} = \sum_{k=0}^{m-1} A_{k+1} z^k. \tag{1.11}$$

Then for the points  $\eta_j^{(n)} = \cos((j-1)\pi/n)$ ,  $n \geq 1$ ,

$$\begin{aligned} p(\eta_1^{(n)}, \dots, \eta_{n+1}^{(n)}) &= \frac{2^{n-2} 2^{2n-1}}{n} \sum_{k=0}^{2n-1} (-1)^k q(e(k/2n)) \\ &= 2^{n-1} \left[ \tilde{q}\left(e(0), e\left(\frac{1}{n}\right), \dots, e\left(\frac{n-1}{n}\right)\right) - \tilde{q}\left(e(0), e\left(\frac{1}{2n}\right), \dots, e\left(\frac{2n-1}{2n}\right)\right) \right]. \end{aligned} \tag{1.12}$$

When  $x_{j-1} = \xi_j^{(n-1)} = \cos((2j-1)\pi/2n)$ ,  $j = 1, \dots, n$ , the zeros of  $T_n(x)$ , we obtain from Theorem 1.1 in an analogous way the following.

**THEOREM 1.6.** Let  $p(x) = \sum_{k=0}^m A_k T_k(x)$ ,  $q(z) = \sum_{k=0}^m A_k z^k$ . Then, for  $n \geq 1$ ,

$$\begin{aligned} p(\xi_1^{(n-1)}, \dots, \xi_n^{(n-1)}) &= \frac{2^{n-3} i}{n} \sum_{k=1}^{2n} (-1)^k q\left(e\left(\frac{2k-1}{4n}\right)\right) \left[ e\left(\frac{2k-1}{4n}\right) - e\left(-\frac{2k-1}{4n}\right) \right] \\ &= \frac{2^{n-2}}{n} \sum_{k=1}^{2n} (-1)^{k-1} q\left(e\left(\frac{2k-1}{4n}\right)\right) \sin\left(\frac{2k-1}{2n} \pi\right). \end{aligned} \tag{1.13}$$

These results can be extended to functions defined on  $C_1$  and on  $I$  in the same way as Theorem 1.1 yielded Corollaries 1.2 and 1.3. For example, Theorem 1.5 has the following consequence.

**COROLLARY 1.7.** *Suppose that  $g(z) = \sum_{k=0}^{\infty} a_k z^k \in C(D_1)$ , where the  $a_k$  are real, and*

$$f(x) := \operatorname{Re} g(e^{i \arccos x}).$$

*Then*

$$\begin{aligned} f(\eta_1^{(n)}, \dots, \eta_{n+1}^{(n)}) &= \frac{2^{n-2} 2^{n-1}}{n} \sum_{k=0}^{2n-1} (-1)^k g\left(e\left(\frac{k}{2n}\right)\right) \\ &= 2^{n-1} \left[ \frac{1}{n} \sum_{k=0}^{n-1} g\left(e\left(\frac{k}{n}\right)\right) - \frac{1}{2n} \sum_{k=0}^{2n-1} g\left(e\left(\frac{k}{n}\right)\right) \right]. \end{aligned} \tag{1.14}$$

For future reference, we state the following result which is a straightforward consequence of the properties of divided differences.

**PROPOSITION 1.8.** *Suppose that  $g(z) = \sum_{k=0}^{\infty} a_k z^k \in C(D_1)$ , where the  $a_k$  are real, and set*

$$f(x) := \operatorname{Im} g(e^{i \arccos x}), \quad \tilde{f}(x) := f(x)/(1-x^2)^{\frac{1}{2}}.$$

*Then, for  $n \geq 1$ ,*

$$\begin{aligned} \tilde{f}(\xi_1^{(n-1)}, \dots, \xi_n^{(n-1)}) &= \frac{2^{n-1}}{n} \sum_{k=1}^n (-1)^{k-1} f(\xi_k^{(n-1)}) \\ &= \frac{2^{n-2} i}{n} \sum_{k=1}^{2n} (-1)^k g\left(e\left(\frac{2k-1}{4n}\right)\right). \end{aligned} \tag{1.15}$$

We further remind the reader of two elementary formulae (cf. [11]) that hold for any complex-valued function  $f$  defined on  $\eta$  or  $\xi$ :

$$f(\eta_1^{(n)}, \dots, \eta_{n+1}^{(n)}) = \frac{2^{n-1}}{n} \left[ \frac{1}{2} f(1) + \sum_{k=1}^{n-1} (-1)^k f(\eta_{k+1}^{(n)}) + \frac{(-1)^n}{2} f(-1) \right], \tag{1.16}$$

$$f(\xi_1^{(n-1)}, \dots, \xi_n^{(n-1)}) = \frac{2^{n-1}}{n} \sum_{k=1}^n (-1)^{k-1} (1 - (\xi_k^{(n-1)})^2)^{\frac{1}{2}} f(\xi_k^{(n-1)}). \tag{1.17}$$

Now suppose that  $f(x) = \sum_{k=0}^{\infty} A_k T_k(x)$ , where the Chebyshev expansion is uniformly convergent on  $I$ . Applying the respective divided difference functionals term-by-term to the Chebyshev expansion yields

$$f(\eta_1^{(n)}, \dots, \eta_{n+1}^{(n)}) = 2^{n-1} \sum_{j=1}^{\infty} A_{(2j-1)n}, \quad n \geq 1 \tag{1.18}$$

and

$$f(\xi_1^{(n-1)}, \dots, \xi_n^{(n-1)}) = 2^{n-2} \sum_{j=1}^{\infty} (-1)^j (A_{(2j-1)n+1} - A_{(2j-1)n-1}), \quad n \geq 2 \tag{1.19}$$

(cf. [11]). We also mention that the corresponding formula for the divided difference in the  $n$ th roots of unity, derived from (1.10), is

$$f(e(0), e(1/n), \dots, e((n-1)/n)) = \sum_{j=1}^{\infty} a_{jn-1}, \tag{1.20}$$

where  $f(z) = \sum_{j=0}^{\infty} a_j z^j$  and the power series is uniformly convergent on  $C_1$ .

Theorem 1.6 and (1.19) can be somewhat simplified by considering representations in terms of the  $U_k(x)$ , the Chebyshev polynomials of the second kind, rather than the  $T_k(x)$ . Thus we have the following.

**THEOREM 1.9.** *If  $p(x) = \sum_{k=0}^m B_k U_k(x)$  and  $r(z) = z \sum_{k=0}^m B_k z^k$ , then*

$$p(\xi_1^{(n-1)}, \dots, \xi_n^{(n-1)}) = \frac{2^{n-1}}{2n} i \sum_{k=1}^{2n} (-1)^k r \left( e \left( \frac{2k-1}{4n} \right) \right).$$

*Proof.* It is easy to verify that

$$T_i(x) = \frac{1}{2}(U_i(x) - U_{i-2}(x)), \quad i = 0, 1, \dots \quad (U_{-2} = -1, U_{-1} = 0) \quad (1.21)$$

and, therefore, if

$$p(x) = \frac{A_0}{2} + \sum_{k=1}^m A_k T_k(x),$$

we obtain

$$2B_j = A_j - A_{j+2}, \quad j = 0, \dots, m \quad (A_{m+1} = A_{m+2} = 0). \quad (1.22)$$

Put

$$q(z) := \frac{A_0}{2} + \sum_{k=1}^m A_k z^k.$$

Then in view of the identities

$$\sum_{k=1}^{2n} (-1)^k e \left( -\frac{2k-1}{2n} \right) = - \sum_{k=1}^{2n} (-1)^k e \left( \frac{2k-1}{2n} \right),$$

and  $\sum_{k=1}^{2n} (-1)^k = 0$ , we deduce from Theorem 1.6 and (1.22) that

$$\begin{aligned} p(\xi_1^{(n-1)}, \dots, \xi_n^{(n-1)}) &= \frac{2^{n-2}}{2n} i \sum_{k=1}^{2n} (-1)^k \left( \frac{1}{2} A_0 + \sum_{j=1}^m A_j e \left( \frac{(2k-1)j}{4n} \right) \right) \\ &\quad \times \left( e \left( \frac{2k-1}{4n} \right) - e \left( -\frac{2k-1}{4n} \right) \right) \\ &= \frac{2^{n-2}}{2n} i \left[ \frac{1}{2} A_0 \sum_{k=1}^{2n} (-1)^k \left( e \left( \frac{2k-1}{4n} \right) - e \left( -\frac{2k-1}{4n} \right) \right) \right. \\ &\quad \left. - A_1 \sum_{k=1}^{2n} (-1)^k - A_2 \sum_{k=1}^{2n} (-1)^k e \left( \frac{2k-1}{4n} \right) \right. \\ &\quad \left. + \sum_{j=1}^m (A_j - A_{j+2}) \sum_{k=1}^{2n} (-1)^k e \left( \frac{2k-1}{4n} (j+1) \right) \right] \\ &= \frac{2^{n-2}}{2n} i \sum_{k=1}^{2n} (-1)^k \sum_{j=0}^m (A_j - A_{j+2}) e \left( \frac{2k-1}{4n} (j+1) \right) \\ &= \frac{2^{n-1}}{2n} i \sum_{k=1}^{2n} (-1)^k r \left( e \left( \frac{2k-1}{4n} \right) \right). \end{aligned}$$

Notice that if

$$\sum_{k=0}^{\infty} |B_k| < \infty, \quad (1.23)$$

then  $\sum_{k=0}^{\infty} B_k U_k(x)$  is absolutely convergent on any compact subset of  $(-1, 1)$  since

$$U_k(\cos \phi) = (\sin(k+1)\phi) / \sin \phi, \quad 0 \leq \phi \leq \pi.$$

If (1.23) holds and we set

$$f(x) = \sum_{k=0}^{\infty} B_k U_k(x), \tag{1.24}$$

then Theorem 1.9 and the identity

$$\sum_{k=1}^{2n} (-1)^k e\left(\frac{2k-1}{4n}(s+1)\right) = \begin{cases} i(-1)^j 2n, & s = (2j-1)n-1, \quad j = 1, 2, \dots, \\ 0, & s \neq (2j-1)n-1 \end{cases}$$

yield

$$f(\xi_1^{(n-1)}, \dots, \xi_n^{(n-1)}) = 2^{n-1} \sum_{j=1}^{\infty} (-1)^{j-1} B_{(2j-1)n-1}, \quad n \geq 1, \tag{1.25}$$

since the series in (1.24) is uniformly convergent on  $[\xi_n^{(n-1)}, \xi_1^{(n-1)}]$ .

The simpler representation (1.25) cannot be obtained directly from Theorem 1.6 via (1.19) and (1.22) since the condition  $f(x) = \sum_{k=0}^{\infty} A_k T_k(x)$ ,  $\sum_{k=0}^{\infty} |A_k| < \infty$ , is much stronger than conditions (1.24) and (1.23). The former condition implies that  $f \in C(I)$  while the latter allows  $f$  to tend to infinity at 1 or  $-1$ .

### 2. Totality of divided differences

A sequence of linear functionals  $\mathcal{L}_j, j = 1, 2, \dots$ , acting on a linear space  $F$  is called *total* if  $\mathcal{L}_j f = 0, j = 1, 2, \dots$ , implies that  $f = 0$  for any  $f \in F$ . In this section we show that the divided differences  $\{I_{j, \beta}\}, j = 1, 2, \dots$  (where the notation indicates the underlying triangular array of points), for  $\beta = \omega, \eta, \xi$  are total, each for an appropriately chosen function space.

Suppose that  $\beta_j^{(n)} = e((j-1)/(n+1)), j = 1, \dots, n+1; n = 0, 1, \dots$ , so that  $\beta = \omega$ . The following result is due to Katai [9], but we present an equally brief proof which suggests the approach to the cases  $\beta = \eta, \xi$  that will follow.

**THEOREM 2.1.** *If  $f(z) = \sum_{k=0}^{\infty} a_k z^k, \sum_{k=0}^{\infty} |a_k| < \infty$ , and  $I_{n, \omega} f = 0, n = 1, 2, \dots$ , then  $f = 0$ .*

*Proof.* According to (1.20) we have

$$I_{n, \omega} f = \sum_{j=1}^{\infty} a_{jn-1} = 0, \quad n = 1, 2, \dots \tag{2.1}$$

Let  $p_1, p_2, \dots$  denote the prime numbers in increasing order and let  $N_\nu := p_1 p_2 \dots p_\nu$ . Then

$$\begin{aligned} \sum_{d|N_\nu} \mu(d) I_{na, \omega} f &= \sum_{d|N_\nu} \mu(d) \sum_{j=1}^{\infty} a_{jdn-1} \\ &= \sum_{k=1}^{\infty} a_{kn-1} \sum_{\substack{d|k \\ d|N_\nu}} \mu(d) = \sum_{k=1}^{\infty} a_{kn-1} \sum_{d|(k, N_\nu)} \mu(d) = \sum_{\substack{k=1 \\ (k, N_\nu)=1}}^{\infty} a_{kn-1}, \end{aligned} \tag{2.2}$$

where we use (0.4) to obtain the last equality. In view of the hypothesis that  $I_{n, \omega} f = 0, n = 1, 2, \dots$ , (2.2) yields

$$a_{n-1} = - \sum_{\substack{k > p_\nu \\ (k, N_\nu)=1}} a_{kn-1}, \tag{2.3}$$

since while  $(1, N_\nu) = 1, (k, N_\nu) > 1$  for  $1 < k \leq p_\nu$ .



Suppose that the theorem is false and that  $a_{n-1} \neq 0$  for some  $n$ . Choose  $m$  so large that  $\sum_{k=m}^{\infty} |a_k| < |a_{n-1}|$ . Then, if we put  $\nu = m$ , (2.3) gives

$$|a_{n-1}| = \left| \sum_{\substack{k > p_m \\ (k, N_m) = 1}} a_{kn-1} \right| \leq \sum_{k \geq m} |a_k| < |a_{n-1}|;$$

a contradiction. Thus  $a_n = 0$ ,  $n = 0, 1, \dots$ , and so  $f = 0$ .

When  $\beta = \eta$  a result analogous to Theorem 2.1 is the following.

**THEOREM 2.2.** *If  $f(x) = \sum_{k=0}^{\infty} A_k T_k(x)$ ,  $\sum_{k=0}^{\infty} |A_k| < \infty$  and  $I_{n,\eta} f = 0$ ,  $n = 1, 2, \dots$ , then  $f = 0$ .*

Theorem 2.2 was proved by Éterman [6]. A proof similar to that of Theorem 2.1 also establishes Theorem 2.2 by using (1.18) instead of (1.20) and choosing  $N_\nu$  to be the product of the first  $\nu$  odd primes.

We turn next to the case  $\beta = \xi$  for which the corresponding result is somewhat more elaborate. We require the following result.

**LEMMA 2.3.** *Suppose that  $m = 1, 2, \dots$  and  $s = 2k - 1$ ,  $k = 1, 2, \dots$ . Then*

$$\sigma(s, m) := \sum_{d|(s, m)} \mu(d) (-1)^{\frac{1}{2}(a+(s/d))} = \begin{cases} (-1)^{\frac{1}{2}(s+1)}, & (s, m) = 1, \\ 0, & (s, m) > 1. \end{cases}$$

*Proof.* If  $(s, m) = 1$  the result is obvious. Suppose that  $(s, m) > 1$  and  $q = p_1 \cdot \dots \cdot p_\nu$  is the product of the distinct primes dividing  $(s, m)$ . Then

$$\sigma = \sum_{d|q} \mu(d) (-1)^{\frac{1}{2}(a+(s/d))},$$

since  $\mu(d) = 0$  for all the other divisors of  $(s, m)$ . Fix a prime divisor  $p$  of  $q$ , and put  $r = s/p$ . Then if  $d|q/p$  we obtain  $\mu(dp) = -\mu(d)$  and

$$(-1)^{\frac{1}{2}(r/d)(p-1)} = (-1)^{\frac{1}{2}(p-1)} = (-1)^{\frac{1}{2}d(p-1)},$$

since the numbers  $p$ ,  $d$  and  $r/d$  are odd. Hence

$$\begin{aligned} \sigma &= \sum_{d|(q/p)} \{ \mu(d) (-1)^{\frac{1}{2}(a+(r/d))} + \mu(dp) (-1)^{\frac{1}{2}(ap+(rp/dp))} \} \\ &= \sum_{d|(q/p)} \{ \mu(d) (-1)^{\frac{1}{2}(a+(r/d))} (-1)^{\frac{1}{2}(r/d)(p-1)} - \mu(d) (-1)^{\frac{1}{2}(a+(r/d))} (-1)^{\frac{1}{2}d(p-1)} \} = 0, \end{aligned}$$

since every summand is zero.

Now we are ready to prove the following.

**THEOREM 2.4.** *If  $f(x) = \sum_{k=0}^{\infty} B_k U_k(x)$ ,  $\sum_{k=0}^{\infty} |B_k| < \infty$ , and  $I_{n,\xi} f = 0$ ,  $n = 1, 2, \dots$ , then  $f = 0$ .*

*Proof.* Equation (1.25) yields

$$I_{n,\xi} f = 2^{n-1} \sum_{j=1}^{\infty} (-1)^{j-1} B_{(2j-1)n-1} = 0, \quad n \geq 1. \tag{2.4}$$

Let  $p_1, p_2, \dots$  be the odd primes in increasing order and put  $N_\nu = p_1 \cdot \dots \cdot p_\nu$ . Then

$$\begin{aligned} \sum_{d|N_\nu} (-1)^{\frac{1}{2}(d-1)} \mu(d) 2^{1-nd} I_{n,d,\xi} f &= \sum_{d|N_\nu} (-1)^{\frac{1}{2}(d-1)} \mu(d) \sum_{\substack{m=1 \\ m \text{ odd}}}^{\infty} (-1)^{\frac{1}{2}(m-1)} B_{mdn-1} \\ &= \sum_{\substack{s=1 \\ s \text{ odd}}}^{\infty} B_{sn-1} \sum_{\substack{d|s \\ d|N_\nu}} \mu(d) (-1)^{\frac{1}{2}(d-1)} (-1)^{\frac{1}{2}(s/d-1)} \\ &= \sum_{\substack{s=1 \\ s \text{ odd} \\ (s, N_\nu)=1}}^{\infty} (-1)^{\frac{1}{2}(s-1)} B_{sn-1}, \end{aligned} \tag{2.5}$$

where Lemma 2.3 was used in the last equality. From (2.4) and (2.5) we obtain

$$B_{n-1} = - \sum_{\substack{k=1 \\ (2k+1, N_\nu)=1}}^{\infty} (-1)^k B_{(2k+1)n-1},$$

which, in view of the convergence of  $\sum_{k=0}^{\infty} |B_k|$ , implies that  $B_n = 0, n = 0, 1, 2, \dots$ , as in the proof of Theorem 2.1.

### 3. Analyticity and the asymptotic behaviour of divided differences

If  $\beta = \omega$  and  $f(z)$  has an absolutely convergent power series on  $D_1$ , we show first that the sequence  $I_{n,\omega} f, n = 1, 2, \dots$ , defines the radius of convergence of  $f$  in precisely the same way as the sequence of Taylor coefficients of  $f$  does. This result is then used to provide information about the zeros of the sequence of interpolating polynomials to  $f$  on  $\omega$ .

**THEOREM 3.1.** *If  $f(z) = \sum_{k=0}^{\infty} a_k z^k$  with  $\sum_{k=0}^{\infty} |a_k| < \infty$ , then*

$$\limsup_{k \rightarrow \infty} |a_k|^{1/k} = \limsup_{n \rightarrow \infty} |I_{n,\omega} f|^{1/n}.$$

*Proof.* (i) Suppose that

$$\limsup_{k \rightarrow \infty} |a_k|^{1/k} = \frac{1}{\rho}, \quad \rho > 1.$$

Then given  $\varepsilon > 0$  we have  $a_n = O((\rho - \varepsilon)^{-n})$  as  $n \rightarrow \infty$ , and (1.20) implies that

$$|I_{n,\omega} f - a_{n-1}| = O((\rho - \varepsilon)^{-2n}) \quad \text{as } n \rightarrow \infty.$$

Therefore

$$\limsup_{n \rightarrow \infty} |I_{n,\omega} f|^{1/n} = \frac{1}{\rho}.$$

(ii) Suppose that

$$\limsup_{k \rightarrow \infty} |a_k|^{1/k} = 1,$$

but

$$\limsup_{n \rightarrow \infty} |I_{n,\omega} f|^{1/n} = \frac{1}{\rho}, \quad \rho > 1$$

( $\rho$  cannot be less than 1 since  $\{I_{n,\omega} f\}_1^\infty$  is a bounded sequence). Choose  $q$  such that  $1/\rho < q < 1$ . Then  $|I_{n,\omega} f| < q^n$  for  $n > n_0$ . Next choose  $j > n_0$  so that  $q^j < 1 - \sqrt{q}$  and  $|a_j| > q^j$  and choose  $m$  such that

$$\sum_{k=m}^{\infty} |a_k| < (1 - \sqrt{q}) q^j.$$

Then from (2.2) with  $v = m$  and  $n - 1 = j$  we obtain

$$a_j = \sum_{d|N_m} \mu(d) I_{(j+1)d, \omega} f - \sum_{\substack{k > p_m \\ (k, N_m) = 1}} a_{k(j+1)-1},$$

and so

$$|a_j| \leq \sum_{d=1}^{\infty} q^{(j+1)d} + \sum_{k=m}^{\infty} |a_k| \leq \frac{q^{j+1}}{1 - q^{j+1}} + (1 - \sqrt{q}) q^j < q^j;$$

a contradiction which establishes the theorem.

Theorem 3.1 has the obvious implication that the radius of convergence of the power-series expansion of  $f$  is given by

$$1 / \limsup_{n \rightarrow \infty} |I_{n, \omega} f|^{1/n}$$

( $f$  being entire if the denominator is zero). Moreover, because of the definition of  $I_{n, \omega} f$  as the leading coefficient of  $\mathcal{L}_{n-1}(f, z)$ , the interpolating polynomial of degree  $n - 1$  to  $f$  at the  $n$ th roots of unity, Theorem 3.1 can also be used in examining the behaviour of the zeros of  $\mathcal{L}_{n-1}(f, z)$ . For example, we have the following.

**THEOREM 3.2.** *If  $f(z) = \sum_{k=0}^{\infty} a_k z^k$ ,  $\sum_{k=0}^{\infty} |a_k| < \infty$ , and*

$$\limsup_{k \rightarrow \infty} |a_k|^{1/k} = 1,$$

*then there is a subsequence  $\Lambda \subset \mathbb{N}$  such that the zeros of  $\mathcal{L}_{n-1}(f)$ ,  $n \in \Lambda$ , converge weak-star to the uniform distribution on the unit circle.*

*Proof.* Theorem 3.1 implies the existence of  $\Lambda \subset \mathbb{N}$  such that

$$|I_{n, \omega} f|^{1/n} \rightarrow 1 \quad \text{as } n \rightarrow \infty, n \in \Lambda.$$

Then for the sequence of monic polynomials

$$p_{n-1}(z) := \frac{1}{I_{n, \omega} f} \mathcal{L}_{n-1}(f, z),$$

$n \in \Lambda$  (and  $n$  large enough so that  $I_{n, \omega} f \neq 0$ ), we have

$$\limsup_{n \rightarrow \infty} \|p_{n-1}\|_{D_1}^{1/n} \leq 1,$$

in view of  $\|\mathcal{L}_{n-1}(f)\|_{D_1} \leq n \|f\|_{D_1}$ . The theorem now follows from [2, Theorem 2.1] of Blatt, Saff and Simkani.

We remark that the preceding two theorems improve results of Simkani [12]. The analogues of Theorem 3.1 for Chebyshev nodes are the following.

**THEOREM 3.3.** *If  $f(x) = \sum_{k=0}^{\infty} A_k T_k(x)$ ,  $\sum_{k=0}^{\infty} |A_k| < \infty$ , then*

$$\limsup_{k \rightarrow \infty} |A_k|^{1/k} = \limsup_{n \rightarrow \infty} |I_{n, \eta} f|^{1/n}.$$

**THEOREM 3.4.** *If  $f(x) = \sum_{k=0}^{\infty} B_k U_k(x)$ ,  $\sum_{k=0}^{\infty} |B_k| < \infty$ , then*

$$\limsup_{k \rightarrow \infty} |B_k|^{1/k} = \limsup_{n \rightarrow \infty} |I_{n, \xi} f|^{1/n}.$$

The proofs of Theorems 3.3 and 3.4 follow the proof of Theorem 3.1.

If we know that

$$\limsup_{n \rightarrow \infty} |I_{n,\xi} f|^{1/n} = \frac{1}{\rho} \quad \text{or} \quad \limsup_{n \rightarrow \infty} |I_{n,\eta} f|^{1/n} = \frac{1}{\rho}, \quad \rho > 1,$$

then  $f$  is analytic in an ellipse with foci at  $\pm 1$  and semiminor axis equal to  $\frac{1}{2}(\rho - (1/\rho))$ . When  $f$  is not analytic on  $I$  we have

$$\limsup_{n \rightarrow \infty} |A_n|^{1/n} = \limsup_{n \rightarrow \infty} |B_n|^{1/n} = 1$$

and, just as before, an application of [2, Theorem 2.1] yields the following.

**THEOREM 3.5.** *If  $f(x) = \sum_{k=0}^{\infty} A_k T_k(x)$ ,  $\sum_{k=0}^{\infty} |A_k| < \infty$  and*

$$\limsup_{k \rightarrow \infty} |A_k|^{1/k} = 1,$$

*then there is a subsequence  $\Lambda \subset \mathbb{N}$  such that the zeros of the polynomials interpolating  $f$  at the extrema of  $T_n$ ,  $n \in \Lambda$ , converge weak-star to the arcsine distribution on  $I$ .*

**THEOREM 3.6.** *If  $f(x) = \sum_{k=0}^{\infty} B_k U_k(x)$ ,  $\sum_{k=0}^{\infty} |B_k| < \infty$  and*

$$\limsup_{k \rightarrow \infty} |B_k|^{1/k} = 1,$$

*then there is a subsequence  $\Lambda \subset \mathbb{N}$  such that the zeros of the polynomials interpolating  $f$  at the zeros of  $T_n(x)$ ,  $n \in \Lambda$ , converge weak-star to the arcsine distribution on  $I$ .*

#### 4. Basic polynomials for a sequence of divided differences

The basic polynomials  $P_{k,\beta} \in \mathcal{P}_k$  (where the second subscript is the underlying infinite triangular array) were described in the introduction. In particular we recall (0.2):

$$I_{j+1} P_k = \delta_{jk}, \quad j, k = 0, 1, 2, \dots \tag{4.1}$$

As we now show, for  $\beta = \omega, \eta$  and  $\xi$ , the basic polynomials can be obtained explicitly from the formulae (1.20), (1.18), and (1.25), respectively; or their finite inverses, namely (2.2),

$$\sum_{\substack{d|N \\ d \text{ odd}}} \mu(d) 2^{1-nd} I_{n\bar{d}+1,\eta} f = \sum_{\substack{k=1 \\ (2k-1, N)=1}}^{\infty} A_{(2k-1)n}, \tag{4.2}$$

and (2.5), respectively.

We turn first to the case of the roots of unity,  $\beta = \omega$ . Let

$$P_m(z) = a_0 + \dots + a_{m-1} z^{m-1} + z^m.$$

Then (2.2) with  $\nu = m$  and  $f = P_m$  reads

$$a_{n-1} = \sum_{d|N_m} \mu(d) I_{nd,\omega} P_m.$$

If we require that equation (4.1) holds for  $\beta = \omega$ , then  $a_n$  can be non-zero only if  $(n+1)d = m+1$  in which case  $a_n = \mu(d)$ . Thus (cf. [4]) we have obtained the following.

**THEOREM 4.1.** *If*

$$P_{m,\omega}(z) = \sum_{d|(m+1)} \mu(d) z^{((m+1)/d)-1},$$

*then*

$$I_{n+1,\omega} P_{m,\omega} = \delta_{n,m}, \quad n, m = 0, 1, 2, \dots$$

Secondly, let us consider the case of the extrema of the Chebyshev polynomials,  $\beta = \eta$ . Let  $P_{m,\eta}(x) = \sum_{j=0}^m A_j T_j(x)$  satisfy (4.1). Note that  $P_{0,\eta} = 1$  trivially. Suppose that  $m \geq 1$ . If  $1 \leq j \leq m$  then according to (4.2), with  $n = j, f = P_{m,\eta}$ , and  $N$  taken to be the product of the first  $m$  odd primes,

$$A_j = \sum_{d|N} \mu(d) 2^{1-jd} I_{jd+1,\eta} P_{m,\eta},$$

and  $A_j \neq 0$  only if  $jd = m$  for some odd  $d$ , in which case  $A_j = \mu(d) 2^{1-m}$ . Hence

$$P_{m,\eta}(x) = A_0 + 2^{1-m} \sum_{\substack{d|m \\ d \text{ odd}}} \mu(d) T_{m/d}(x).$$

To determine  $A_0$  we observe that, for  $m \geq 1, 0 = I_1 P_{m,\eta} = P_{m,\eta}(0)$ , and obtain the following.

**THEOREM 4.2.**  $P_{0,\eta}(x) \equiv 1$ , and for  $m = 1, 2, \dots$ ,

$$P_{m,\eta}(x) = 2^{1-m} \sum_{\substack{d|m \\ d \text{ odd}}} \mu(d) (T_{m/d}(x) - T_{m/d}(0))$$

are the basic polynomials with respect to  $\eta$ .

Finally, we turn to the case of the zeros of the Chebyshev polynomial,  $\beta = \xi$ . Let  $P_{m,\xi} = \sum_{k=0}^m B_k U_k(x)$  satisfy (4.1). Note that  $P_{0,\xi} = 1$ . Suppose that  $m \geq 1$ . If  $2 \leq k \leq m+1$ , then according to (2.5), with  $v = m, n = k$ , and  $f = P_{m,\xi}$ ,

$$B_{k-1} = \sum_{d|N_m} (-1)^{\frac{1}{2}(d-1)} \mu(d) 2^{1-ka} I_{ka,\xi} P_{m,\xi},$$

and  $B_{k-1} \neq 0$  only if  $kd = m+1$  for some odd integer  $d$ , in which case  $B_{k-1} = (-1)^{\frac{1}{2}(d-1)} \mu(d) 2^{-m}$ . Hence

$$P_{m,\xi}(x) = B_0 + 2^{-m} \sum_{\substack{d|m+1 \\ d < m+1 \\ d \text{ odd}}} (-1)^{\frac{1}{2}(d-1)} \mu(d) U_{((m+1)/d)-1}(x).$$

But for  $m \geq 1, 0 = I_{1,\xi} P_{m,\xi} = P_{m,\xi}(0)$  determines  $B_0$  and we obtain the following.

**THEOREM 4.3.**  $P_{0,\xi}(x) = 1$  and, for  $m = 1, 2, \dots$ ,

$$P_{m,\xi}(x) = 2^{-m} \sum_{\substack{d|m+1 \\ d \text{ odd}}} (-1)^{\frac{1}{2}(d-1)} \mu(d) (U_{((m+1)/d)-1}(x) - U_{((m+1)/d)-1}(0))$$

are the basic polynomials with respect to  $\xi$ .

Theorems 4.1, 4.2 and 4.3 easily give the following estimates for the basic polynomials involved. If we recall that  $d(k)$  denotes the number of positive divisors of  $k, \|T_k\|_I = 1$  and  $\|(1-x^2)^{\frac{1}{2}} U_k(x)\|_I = 1$ , we obtain

$$\|P_{m-1,\omega}\|_{D_1} \leq \sum_{d|m} |\mu(d)| \leq d(m), \tag{4.3}$$

$$2^{m-1} \|P_{m,\eta}\|_I \leq 2d(m), \tag{4.4}$$

and

$$2^{m-1} \|(1-x^2)^{\frac{1}{2}} P_{m-1,\xi}(x)\|_I \leq 2d(m). \tag{4.5}$$

We are now in a position to present some sufficient conditions for the absolute convergence of biorthogonal expansions when  $\beta = \omega, \eta$  and  $\xi$ .

**THEOREM 4.4.** *Let  $f(z) = \sum_{k=0}^{\infty} a_k z^k$ ,  $\sum_{k=0}^{\infty} |a_k| < \infty$  and suppose that*

$$\sum_{m=1}^{\infty} |I_{m,\omega} f| d(m) < \infty. \tag{4.6}$$

*Then*

$$f(z) = \sum_{m=1}^{\infty} (I_{m,\omega} f) P_{m-1,\omega}(z), \quad z \in D_1,$$

*the convergence being uniform and absolute in  $D_1$ .*

*Proof.* In view of (4.3), inequality (4.6) implies that

$$g(z) := \sum_{m=1}^{\infty} (I_{m,\omega} f) P_{m-1,\omega}(z)$$

is analytic in  $|z| < 1$  and continuous in  $D_1$ . Write

$$g(z) = \sum_{k=0}^{\infty} c_k z^k.$$

In order to prove the theorem it suffices to show that  $c_{k-1} = a_{k-1}$ ,  $k \in \mathbb{N}$ . Fix  $k$  and  $\varepsilon > 0$ . Let  $\nu$  be chosen so that  $\sum_{m=k\nu}^{\infty} |a_m| < \varepsilon$  and  $\sum_{m=k\nu}^{\infty} |I_{m,\omega} f| d(m) < \varepsilon$ . Put  $N = \prod_{p \leq \nu} p$ , where  $p$  is prime, and

$$\begin{aligned} g_{\nu}(z) &:= \sum_{m=1}^{k\nu} (I_{m,\omega} f) P_{m-1,\omega}(z) + \sum_{\substack{m=k\nu+1 \\ m|kN}}^{kN} (I_{m,\omega} f) P_{m-1,\omega}(z) \\ &= \sum_{j=0}^{kN-1} \tilde{c}_j z^j. \end{aligned}$$

Then from (4.3) we get

$$\|g - g_{\nu}\|_{D_1} \leq \left\| g - \sum_{m=1}^{k\nu} (I_{m,\omega} f) P_{m-1,\omega} \right\|_{D_1} + \left\| \sum_{\substack{m=k\nu+1 \\ m|kN}} (I_{m,\omega} f) P_{m-1,\omega} \right\|_{D_1} < 2\varepsilon$$

and so

$$|c_{k-1} - \tilde{c}_{k-1}| \leq \frac{1}{2\pi} \int_{|z|=1} |(g(z) - g_{\nu}(z)) z^{-k-1}| |dz| < 2\varepsilon. \tag{4.7}$$

Since, according to Theorem 4.1,

$$P_{m-1,\omega}(z) = \sum_{d|m} \mu(d) z^{(m/d)-1},$$

we obtain

$$\tilde{c}_{k-1} = \sum_{\substack{d \leq \nu \\ d|N}} \mu(d) I_{kd,\omega} f + \sum_{\substack{d > \nu \\ d|N}} \mu(d) I_{kd,\omega} f = \sum_{d|N} \mu(d) I_{kd,\omega} f = \sum_{\substack{j=1 \\ (j,N)=1}}^{\infty} a_{jk-1},$$

in view of (2.2). Hence

$$|\tilde{c}_{k-1} - a_{k-1}| = \left| \sum_{\substack{j=\nu+1 \\ (j,N)=1}}^{\infty} a_{jk-1} \right| < \varepsilon. \tag{4.8}$$

Now (4.7) and (4.8) imply that  $|a_{k-1} - c_{k-1}| < 3\varepsilon$  and hence, since  $\varepsilon > 0$  is arbitrary,  $a_{k-1} = c_{k-1}$ ,  $k = 1, 2, \dots$

COROLLARY 4.5. If  $f(z) = \sum_{k=0}^{\infty} a_k z^k$ , and  $a_k = O(k^{-1-\delta})$ ,  $\delta > 0$ , as  $k \rightarrow \infty$ , then

$$f(z) = \sum_{m=1}^{\infty} (I_{m,\omega} f) P_{m-1,\omega}(z), \quad z \in D_1,$$

the convergence being absolute in  $D_1$ .

*Proof.* Equation (1.20) yields  $I_{m,\omega} f = O(m^{-1-\delta})$ ,  $m \rightarrow \infty$  and therefore (4.6) holds in view of the bound on  $d(m)$  mentioned in the introduction.

THEOREM 4.6. Let  $f(x) = \sum_{k=0}^{\infty} A_k T_k(x)$ ,  $\sum_{k=0}^{\infty} |A_k| < \infty$ , and

$$\sum_{m=0}^{\infty} 2^{1-m} |I_{m+1,\eta} f| d(m) < \infty. \tag{4.9}$$

Then

$$f(x) = \sum_{m=0}^{\infty} (I_{m+1,\eta} f) P_{m,\eta}(x), \quad x \in I,$$

the convergence being uniform and absolute in  $I$ .

*Proof.* Set

$$g(x) := \sum_{m=0}^{\infty} (I_{m+1,\eta} f) P_m(x).$$

Because of (4.9) and (4.4) the series for  $g$  is absolutely and uniformly convergent in  $I$ , and  $g \in C(I)$ . Let

$$C_k := \frac{2}{\pi} \int_{-1}^1 g(x) T_k(x) \frac{dx}{(1-x^2)^{\frac{1}{2}}}, \quad k = 1, 2, \dots$$

We claim that  $C_k = A_k$ . Fix  $k$  and  $\varepsilon > 0$ . Choose  $\nu$  so that  $\sum_{m-k\nu}^{\infty} |A_m| < \varepsilon$  and  $\sum_{m-k\nu}^{\infty} 2^{1-m} |I_{m+1,\eta} f| d(m) < \varepsilon$ . Put  $N := \prod_{3 \leq p \leq \nu} p$ , where  $p$  is prime, and

$$g_\nu(x) := \sum_{m=0}^{k\nu} (I_{m+1,\eta} f) P_m(x) + \sum_{\substack{m=k\nu+1 \\ m|kN}} (I_{m+1,\eta} f) P_m(x) = \sum_{j=0}^{kN} \tilde{C}_j T_j(x).$$

Then  $\|g - g_\nu\|_I < 2\varepsilon$ , which implies that

$$|C_k - \tilde{C}_k| \leq \frac{2}{\pi} \int_{-1}^1 |g(x) - g_\nu(x)| \frac{dx}{(1-x^2)^{\frac{1}{2}}} < 4\varepsilon. \tag{4.10}$$

But, according to Theorem 4.2, for  $m \geq 1$

$$P_{m,\eta}(x) = 2^{1-m} \sum_{\substack{d|m \\ d \text{ odd}}} \mu(d) (T_{m/d}(x) - T_{m/d}(0)),$$

and so

$$\tilde{C}_k = \sum_{d|N} \mu(d) 2^{1-dk} (I_{dk+1,\eta} f) = \sum_{\substack{j=1 \\ (2j-1, N)=1}}^{\infty} A_{(2j-1)k},$$

because of (4.2). Hence

$$|\tilde{C}_k - A_k| = \left| \sum_{\substack{j=1 \\ (2j-1, N)=1 \\ 2j-1 > \nu}}^{\infty} A_{(2j-1)k} \right| \leq \sum_{m=k\nu}^{\infty} |A_m| < \varepsilon, \tag{4.11}$$

since the only odd number less than  $\nu$  and relatively prime to  $N$  is 1. Note that (4.10) and (4.11) imply that  $C_k = A_k, k \in \mathbb{N}$ . When  $m = 0, g(0) = I_{1,\eta}f = f(0)$  and so  $A_0 - C_0 = f(0) - g(0) = 0$  and  $f = g$ .

**COROLLARY 4.7.** *If  $f(x) = \sum_{k=0}^{\infty} A_k T_k(x), A_k = O(k^{-1-\epsilon}), \epsilon > 0,$  as  $k \rightarrow \infty,$  then*

$$f(x) = \sum_{k=0}^{\infty} (I_{m+1,\eta}f) P_m(x), \quad x \in I,$$

*the convergence being absolute and uniform in  $I$ .*

*Proof.* Equation (1.18) yields  $2^{1-m} I_{m+1,\eta}f = O(\sum_{j=1}^{\infty} (2j-1)^{-1-\epsilon} m^{-1-\epsilon}) = O(m^{-1-\epsilon})$  as  $m \rightarrow \infty,$  which together with  $d(m) = O(m^{\epsilon/2})$  as  $m \rightarrow \infty$  shows that (4.9) is satisfied. The corollary now follows from Theorem 4.6.

**THEOREM 4.8.** *Let  $f(x) = \sum_{k=0}^{\infty} B_k U_k(x), \sum_{k=0}^{\infty} |B_k| < \infty,$  and*

$$\sum_{m=1}^{\infty} 2^{1-m} |I_{m,\xi}f| d(m) < \infty. \tag{4.12}$$

*Then*

$$f(x) = \sum_{m=1}^{\infty} (I_{m,\xi}f) P_{m-1,\xi}(x), \quad x \in (-1, 1),$$

*the convergence being absolute and uniform on any compact subset of  $(-1, 1)$ .*

*Proof.* Set

$$g(x) := \sum_{m=1}^{\infty} (I_{m,\xi}f) P_{m-1,\xi}(x),$$

$$G(x) := g(x) (1-x^2)^{\frac{1}{2}} = \sum_{m=1}^{\infty} (I_{m,\xi}f) (P_{m-1,\xi}(x) (1-x^2)^{\frac{1}{2}})$$

and

$$F(x) := f(x) (1-x^2)^{\frac{1}{2}} = \sum_{k=0}^{\infty} B_k (U_k(x) (1-x^2)^{\frac{1}{2}}).$$

The series for  $F$  and  $G$  converge absolutely in  $I,$  the latter being the case because of (4.5) and (4.12). Next we note that  $G(0) = I_{1,\xi}f = f(0) = F(0)$  and by an argument similar to that given in the proof of Theorem 4.6 we obtain

$$\int_{-1}^1 (F(x) - G(x)) U_k(x) dx = 0, \quad k \in \mathbb{N}.$$

Thus  $F = G$  and  $f = g$ .

**COROLLARY 4.9.** *If  $f(x) = \sum_{k=0}^{\infty} B_k U_k(x), B_k = O(k^{-1-\epsilon}), \epsilon > 0,$  as  $k \rightarrow \infty,$  then*

$$f(x) = \sum_{m=1}^{\infty} (I_{m,\xi}f) P_{m-1,\xi}(x).$$

*Both representations of  $f$  converge absolutely and uniformly on any compact subset of  $(-1, 1)$ .*



5. Counterexamples

The main results in Sections 2 and 3 hold for functions represented as *absolutely* convergent series. This condition cannot be replaced by the assumption that  $f$  is continuous, nor even by the *uniform* convergence of those series, as we shall show.

The first example of a non-zero function  $f$ , analytic in  $|z| < 1$ , with *uniformly* convergent Taylor series in  $D_1$ , that satisfies

$$\sum_{k=0}^{n-1} f(e(k/n)) = 0, \quad n = 1, 2, \dots, \tag{5.1}$$

seems to be due to Ching (see [1]). The function is

$$F_1(z) := \sum_{m=1}^{\infty} \frac{\mu(m)}{m} z^m.$$

Observe that  $I_{k,\omega}(F_1(z)/z) = 0$ ,  $k = 1, 2, \dots$ , because of (1.10) and the fact that  $F_1(1) = 0$ . Now Corollary 1.7 implies that  $I_{k,\eta}f_1 = 0$ ,  $k = 1, 2, \dots$ , where

$$f_1(x) := \sum_{m=1}^{\infty} \frac{\mu(m)}{m} T_m(x).$$

A rediscovery of this consequence of the Ching example is due to Newman and Rivlin [10].

The uniform convergence of the series for  $F_1$  on  $C_1$  (and hence of the series for  $f_1$  on  $I$ ) follows by Abel summation from the following remarkable estimate of Davenport [5, Theorem 1]:

$$\sum_{n=1}^m \mu(n) e(n\theta) = O(m(\log m)^{-\sigma}), \quad m \rightarrow \infty, \tag{5.2}$$

uniformly for  $\theta \in [0, 1]$ , where  $\sigma$  is any fixed positive number. As for the proof of (5.1) for  $f = F_1$  we have

$$\begin{aligned} \sum_{k=0}^{n-1} F_1\left(e\left(\frac{k}{n}\right)\right) &= \sum_{m=1}^{\infty} \frac{\mu(m)}{m} \sum_{k=0}^{n-1} e\left(\frac{km}{n}\right) = n \sum_{j=1}^{\infty} \frac{\mu(nj)}{nj} \\ &= \sum_{\substack{j=1 \\ (n,j)=1}}^{\infty} \frac{\mu(nj)}{j} = \mu(n) \sum_{\substack{j=1 \\ (n,j)=1}}^{\infty} \frac{\mu(j)}{j} = 0, \end{aligned}$$

since (see [5, Lemma 12])

$$\sum_{\substack{j=1 \\ (n,j)=1}}^{\infty} \frac{\mu(j)}{j} = 0, \quad n \in \mathbb{N} \tag{5.3}$$

(a generalization of the prime-number theorem).

Next we note that if we put  $f_2(x) := f_1(-x)$  and recall that  $T_m(-x) = (-1)^m T_m(x)$  and  $\eta_k^{(j)} = -\eta_{j+2-k}^{(j)}$ ,  $k = 1, \dots, j+1$ ;  $j \geq 1$  ( $\eta_1^{(0)} = 0$ ), then  $I_{k,\eta}f_2 = 0$ ,  $k = 1, 2, \dots$ , where

$$f_2(x) = \sum_{m=1}^{\infty} (-1)^m \frac{\mu(m)}{m} T_m(x)$$

is uniformly convergent in  $I$ . Additionally the divided differences of  $\frac{1}{2}(f_1+f_2)$  and  $\frac{1}{2}(f_1-f_2)$  at the Chebyshev extrema are all zero.

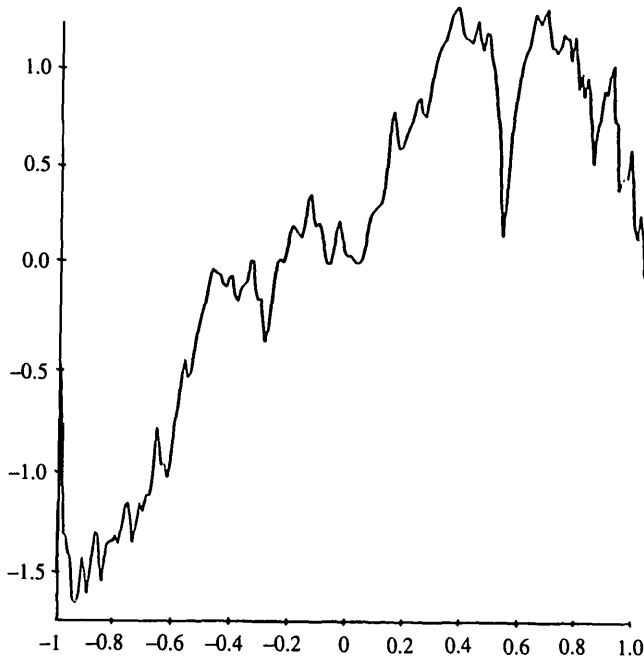


FIG. 1. Graph of the partial sum  $\sum_{m=1}^{200} \frac{\mu(m)}{m} T_m(x)$  of  $f_1(x)$

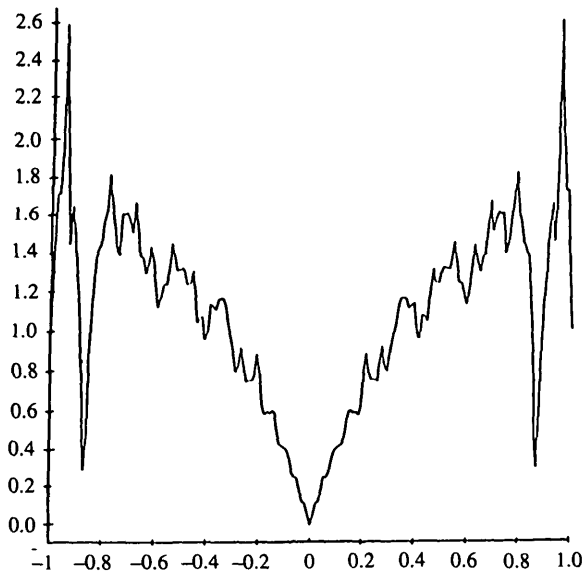


FIG. 2. Graph of the partial sum  $\sum_{j=1}^{200} (-1)^{j-1} \frac{\mu(2j-1)}{2j-1} U_{2(j-1)}(x)$  of  $h(x)$

Finally, we turn to the array of zeros of the Chebyshev polynomials. Put

$$F_2(z) := \sum_{\substack{m=1 \\ m \text{ odd}}}^{\infty} (-1)^{\frac{1}{2}(m-1)} \frac{\mu(m)}{m} z^m.$$

From (5.2) with  $\theta = \phi + \frac{1}{4}$  and  $\theta = \phi + \frac{3}{4}$  we get

$$\begin{aligned} \sum_{\substack{n=1 \\ n \text{ odd}}}^m (-1)^{\frac{1}{2}(n-1)} \mu(n) e(n\phi) &= \frac{1}{2i} \left( \sum_{n=1}^m \mu(n) e(n(\phi + \frac{1}{4})) - \sum_{n=1}^m \mu(n) e(n(\phi + \frac{3}{4})) \right) \\ &= O(m(\log m)^{-\sigma}), \quad m \rightarrow \infty, \end{aligned}$$

and hence the series for  $F_2$  is uniformly convergent on  $C_1$ .

Therefore,

$$\begin{aligned} \sum_{k=1}^{2n} (-1)^k F_2 \left( e \left( \frac{2k-1}{4n} \right) \right) &= \sum_{\substack{m=1 \\ m \text{ odd}}}^{\infty} (-1)^{\frac{1}{2}(m-1)} \frac{\mu(m)}{m} \sum_{k=1}^{2n} (-1)^k e \left( \frac{(2k-1)m}{4n} \right) \\ &= \sum_{\substack{m=1 \\ m \text{ odd}}}^{\infty} (-1)^{\frac{1}{2}(m-1)} \frac{\mu(m)}{m} e \left( -\frac{m}{4n} \right) \sum_{k=1}^{2n} e \left( \frac{k(m+n)}{2n} \right) \\ &= \sum_{s=1}^{\infty} (-1)^{s-1} \frac{\mu(n(2s-1))}{n(2s-1)} i(-1)^s 2n \\ &= -2i \sum_{s=1}^{\infty} \frac{\mu(n(2s-1))}{2s-1} = -2i\mu(n) \sum_{\substack{j=1 \\ (j, 2n)=1}}^{\infty} \frac{\mu(j)}{j} = 0, \end{aligned}$$

in view of (5.3). Now Proposition 1.8 implies that

$$h(x) := \frac{1}{(1-x^2)^{\frac{1}{2}}} \text{Im} F_2(e^{i \arccos x}) = \sum_{\substack{m=1 \\ m \text{ odd}}}^{\infty} (-1)^{\frac{1}{2}(m-1)} \frac{\mu(m)}{m} U_{m-1}(x) \tag{5.4}$$

satisfies  $I_{n,\zeta} h = 0, n \in \mathbb{N}$ .

The function  $h$  is continuous in  $(-1, 1)$ . We do not know whether  $h \in C(I)$  or even whether  $h$  is bounded. But, of course, the series for  $h$  converges uniformly on every compact subset of  $(-1, 1)$ . The accompanying Figures 1 and 2 are computer generated graphs of the first 200 terms in series representations of  $f_1(x)$  and  $h(x)$ .

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