

# On uniform $K$ -homology

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## Outline

- 1  $K$ -homology and Coarse Baum-Connes conjecture
- 2 Uniform  $K$ -homology
- 3 An application: amenability

On uniform  
 $K$ -homology

Ján Špakula

$K$ -homology and  
Coarse  
Baum-Connes  
conjecture

Uniform  
 $K$ -homology

An application:  
amenability

On uniform  
 $K$ -homology

Ján Špakula

$K$ -homology and  
Coarse  
Baum-Connes  
conjecture

Uniform  
 $K$ -homology

An application:  
amenability

# Analytic K-homology

“A space” is always assumed to be  $2^{\text{nd}}$  countable, locally compact, Hausdorff topological space. Let  $X$  be a space.

$K_0$ -cycles for  $X$ : Fredholm modules  $(H, \phi, F)$ :

- $H$  — Hilbert space
- $\phi : C_0(X) \rightarrow \mathcal{B}(H)$  —  $*$ -homomorphism
- $F \in \mathcal{B}(H)$ , such that  $\forall f \in C_0(X)$ :
  - (i)  $(1 - FF^*)\phi(f) \sim 0$  and  $(1 - F^*F)\phi(f) \sim 0$  (Fredholmness)
  - (ii)  $[F, \phi(f)] \sim 0$  (pseudolocality)

Notation:  $T \sim S$  iff  $T - S$  is compact.

Operation: direct sum of Fredholm modules.

To form  $K_0(X)$ , we mod out by a certain equivalence relation.

## K-homology – main example/motivation

Reformulate the defn (“grading”):  $T = \begin{pmatrix} 0 & F^* \\ F & 0 \end{pmatrix} \in \mathcal{B}(H \oplus H)$ .

Requirements now:  $T$  is odd and selfadjoint;

- (i)  $(T^2 - 1)\phi(f) \sim 0$ ;      (ii)  $[T, \phi(f)] \sim 0$ .

Example:

- $M$  a connected Riemannian manifold
- $D$  a essentially self-adjoint, elliptic differential operator acting on sections of a graded vector bundle  $S = S^- \oplus S^+$  over  $M$
- $\chi : \mathbb{R} \rightarrow \mathbb{R}$  a chopping function (odd,  $\chi(\pm\infty) \rightarrow \pm 1$ )
- $H = L^2(M, S)$ ,  $\phi : C_0(M) \rightarrow \mathcal{B}(H)$  by multiplication
- $T = \chi(D) \in \mathcal{B}(H)$
- Then  $(H, \phi, T)$  is a Fredholm module.

On uniform  
K-homology

Ján Špakula

K-homology and  
Coarse  
Baum-Connes  
conjecture

Uniform  
K-homology

An application:  
amenability

On uniform  
K-homology

Ján Špakula

K-homology and  
Coarse  
Baum-Connes  
conjecture

Uniform  
K-homology

An application:  
amenability

## $K$ -homology – properties

Analytic  $K$ -homology is a generalized homology theory on the category of “spaces” (i.e. it satisfies homotopy axiom and has a long exact sequence).

There are only two distinct  $K$ -homology groups  $K_0(X)$  and  $K_1(X)$  (periodicity).

There is a Chern character homomorphism  $\text{ch} : K_*(X) \rightarrow \bigoplus_{\text{even/odd}} H_*^{\text{lf}}(X, \mathbb{R})$ .

### Interpretation of $K$ -homology as $K$ -theory:

One can fix a suitable  $*$ -representation  $\phi : C_0(X) \rightarrow \mathcal{B}(H)$ , and represent any  $K_0(X)$ -element as  $(H, \phi, F)$  for some  $F$  (Voiculescu’s theorem).

Define

$$\mathcal{D}_\phi = \{T \in \mathcal{B}(H) \mid [T, \phi(f)] \sim 0 \ \forall f\}.$$

One can show that  $K_i(X) \cong K_{1-i}(\mathcal{D}_\phi)$ .

## Coarse geometry

Category of (e.g.) metric spaces  $X$ . A function  $f : X \rightarrow Z$  is *coarse*, if

- for every  $r \geq 0$  there is  $R \geq 0$ , such that  $\forall x_1, x_2 \in X$

$$d(x_1, x_2) \leq r \implies d(f(x_1), f(x_2)) \leq R.$$

- $f^{-1}(\{z\})$  is bounded  $\forall z \in Z$ .

Note  $f$  might not be continuous. Any local information is irrelevant.

Two functions  $f, g : X \rightarrow Z$  are *close*, if there exists  $C > 0$ , so that  $d(f(x), g(x)) \leq C$ .

$f : X \rightarrow Z$  is a coarse equivalence, if there is a coarse  $g : Z \rightarrow X$ , such that  $f \circ g$  and  $g \circ f$  are close to the identity maps.

Example:  $\mathbb{R}^n$  is coarsely equivalent with  $\mathbb{Z}^n$ .

On uniform  
 $K$ -homology

Ján Špakula

$K$ -homology and  
Coarse  
Baum-Connes  
conjecture

Uniform  
 $K$ -homology

An application:  
amenability

On uniform  
 $K$ -homology

Ján Špakula

$K$ -homology and  
Coarse  
Baum-Connes  
conjecture

Uniform  
 $K$ -homology

An application:  
amenability

# Rips complex

- Switching from “discrete” to “continuous” and back in the coarse category:

Let  $Y$  be a discrete metric space with bounded geometry.

*Rips complex:* For  $d > 0$ , let  $P_d(Y)$  be a (locally finite, finite dimensional) simplicial polyhedron as follows:

- the vertex set is  $Y$ ,
- $(y_0, \dots, y_q)$  span a simplex iff  $\forall i, j: d(y_i, y_j) \leq d$ .

Notation from now on: “ $Y \subset X$  is a discrete model of  $X$ ”:

- $Y$ : a uniformly discrete metric space with bounded geometry
- $X$ : a space (with bounded geometry)
- $Y \subset X$ : a coarse equivalence

# Roe algebras

An operator  $T \in \mathcal{B}(\ell^2 Y \otimes H)$  (a matrix  $(t_{yx})_{x,y \in Y}$ ,  $t_{yx} \in \mathcal{B}(H)$ ) has *propagation at most  $R$* , if  $d(x, y) \geq R$  implies  $t_{yx} = 0$ .

Furthermore  $T$  is said to be *locally compact*, if  $t_{yx} \in \mathcal{K}(H)$ .

Define the *Roe algebra*:

$$C^*Y = \overline{\{T \in \mathcal{B}(\ell^2 Y \otimes H) \mid T \text{ is loc.comp. and has fin.prop.}\}}^{\|\cdot\|}$$

If we consider  $T = (t_{yx})_{x,y \in Y} \in \mathcal{B}(\ell^2 Y)$  (i.e. the entries  $t_{yx} \in \mathbb{C}$ ), we obtain the *uniform Roe algebra*

$$C_u^*Y = \overline{\{T \in \mathcal{B}(\ell^2 Y) \mid T \text{ has fin.prop.}\}}^{\|\cdot\|}$$

On uniform  
K-homology

Ján Špakula

K-homology and  
Coarse  
Baum-Connes  
conjecture

Uniform  
K-homology

An application:  
amenability

On uniform  
K-homology

Ján Špakula

K-homology and  
Coarse  
Baum-Connes  
conjecture

Uniform  
K-homology

An application:  
amenability

## Coarse Baum–Connes conjecture

Coarse assembly map  $\mu: K_i(X) \rightarrow K_i(C^*X)$  for a space  $X$  by example: If  $D$  is a “geometric” elliptic operator on a noncompact manifold  $M$ , then  $\chi(D)$  is invertible modulo  $C^*M$ . So, the index  $\mu(D)$  can be constructed in  $K_*(C^*M)$ .

### Conjecture (Coarse Baum–Connes conjecture)

If  $Y$  is a space with bounded geometry, then

$$\mu: \lim_{d \rightarrow \infty} K_*(P_d(Y)) \rightarrow K_*(C^*Y)$$

is an isomorphism.

- If true for a discrete group  $\Gamma$ , it implies injectivity of the Baum–Connes map, and hence e.g. the Novikov conjecture on invariance of higher signatures.
- Known to be true for spaces which coarsely embed into a Hilbert space [Yu].  
Known to be false for certain expander graphs [Higson].

## Uniform $K$ -homology: motivation

Why uniform Roe algebras instead of Roe algebras?

- smaller, more manageable  $C^*$ -algebra; can provide insight for Roe algebras
- its  $C^*$ -algebraic properties relate to coarse geometric properties (e.g. nuclearity, exactness relate to prop. A)
- typically much bigger  $K$ -theory (if nonzero)

Plan: construct “uniform  $K$ -homology”: groups  $K_*^u(X)$ , with an index map  $\mu: K_*^u(X) \rightarrow K_*(C^*_u Y)$ . Further properties:

- homological properties (e.g. Mayer–Vietoris sequence)
- Chern character to  $H_*^{uf}(X)$  of Block and Weinberger (planned)

Benefits:

- a characterization of amenability of  $X$  in terms of  $K_0^u(X)$
- “easier” to detect higher indices in principle

On uniform  
 $K$ -homology

Ján Špakula

$K$ -homology and  
Coarse  
Baum–Connes  
conjecture

Uniform  
 $K$ -homology

An application:  
amenability

On uniform  
 $K$ -homology

Ján Špakula

$K$ -homology and  
Coarse  
Baum–Connes  
conjecture

Uniform  
 $K$ -homology

An application:  
amenability

# Uniform $K$ -homology: preparation

Let  $X$  be a metric space. Fix a Hilbert space  $H$  with  $\phi : C_0(X) \rightarrow \mathcal{B}(H)$ .

Notation: for  $R > 0$ , let

$$C_R(X) = \{f \in C_0(X) \mid \text{diam}(\text{supp}(f)) \leq R, \|f\|_\infty \leq 1\}.$$

An operator  $T \in \mathcal{B}(H)$  is  $(\varepsilon, N)$ -approximable, if there is  $k \in \mathcal{B}(H)$  with  $\text{rank}(k) \leq N$  and  $\|T - k\| < \varepsilon$ .

An expression  $E(f)$  with operators from  $\mathcal{B}(H)$  and  $\phi(f)$  (for instance  $E(f) = [T, \phi(f)]$ , or  $E(f) = T\phi(f)$ ) is *uniformly approximable*, if for any  $\varepsilon, R > 0$ , there exists  $N \geq 0$ , such that for every  $f \in C_R(X)$ ,  $E(f)$  is  $(\varepsilon, N)$ -approximable. We write  $E(f) \sim_{ua} 0$ .

# Uniform $K$ -homology: definition

(An even) *uniform Fredholm module* for  $X$  is a Fredholm module  $(H, \phi, F)$ , such that

- $(1 - F^*F)\phi(f) \sim_{ua} 0$  and  $(1 - FF^*)\phi(f) \sim_{ua} 0$ .
- $[\phi(f), F] \sim_{ua} 0$  ( $F$  is uniformly pseudolocal).

Again, to form  $K_0^u(X)$ , we mod out by the equivalence relation generated by unitary equivalence, (certain) homotopies and adding degenerate modules.

The groups are functorial under continuous, uniformly cobounded maps. ( $f : X \rightarrow Y$  is uniformly cobounded iff for every  $r \geq 0$  there exists  $R \geq 0$ , such that  $\text{diam}(f^{-1}(B(y, r))) \leq R$  for every  $y \in Y$ .)

Note that for a compact  $X$ ,  $K_*^u(X) = K_*(X)$ .

On uniform  
 $K$ -homology

Ján Špakula

$K$ -homology and  
Coarse  
Baum-Connes  
conjecture

Uniform  
 $K$ -homology

An application:  
amenability

On uniform  
 $K$ -homology

Ján Špakula

$K$ -homology and  
Coarse  
Baum-Connes  
conjecture

Uniform  
 $K$ -homology

An application:  
amenability

# Uniform $K$ -homology: examples

If  $X = \mathbb{R}$ ,  $D = i \frac{d}{dx}$ , the  $K$ -homology element associated to it is uniform. (Because it is invariant under translation action, every “piece” has the same approximation properties.)

“The fundamental class”:  $X$  is an infinite graph (for instance a Cayley graph of a finitely generated group) with vertex set  $Y$ . Let  $S \in \mathcal{B}(\ell^2\mathbb{N})$  be the unilateral shift, and let  $\tilde{S} \in \mathcal{B}(\ell^2 Y \otimes \ell^2\mathbb{N})$  be a “ $Y$ -diagonal” operator, with  $S$  on the diagonal. Let  $C_0(X)$  act on  $\ell^2 Y$  by multiplication. Then

$$\mathbf{S} = [(\ell^2 Y \otimes \ell^2\mathbb{N}, \phi, \tilde{S})] \in K_0^u(X).$$

## Properties of uniform $K$ -homology

Getting around Voiculescu’s theorem:

For fixed  $H$  and  $\phi : C_0(X) \rightarrow \mathcal{B}(H)$ , define  $K_*^u(X, \phi)$  (then  $K_*^u(X) = \lim_{\phi} K_*^u(X, \phi)$ ). Similarly, define  $\mathcal{D}_{\phi}^u \subset \mathcal{B}(H)$  as the  $C^*$ -algebra of uniformly pseudolocal operators.

### Proposition

$$K_i^u(X, \phi) \cong K_{1-i}(\mathcal{D}_{\phi}^u).$$

### Proposition

$K_*^u$  has a Mayer–Vietoris sequence.

### Proposition

If  $Y \subset X$  is discrete model of  $X$ , then there is a homomorphism  $\mu : K_*^u(X) \rightarrow K_*(C_u^* Y)$ , the assembly map.

On uniform  
 $K$ -homology

Ján Špakula

$K$ -homology and  
Coarse  
Baum-Connes  
conjecture

Uniform  
 $K$ -homology

An application:  
amenability

On uniform  
 $K$ -homology

Ján Špakula

$K$ -homology and  
Coarse  
Baum-Connes  
conjecture

Uniform  
 $K$ -homology

An application:  
amenability

# Constructing the uniform index/assembly map

Starting with an even uniform Fredholm module  $(H, \phi, F)$  for  $X$ , applying the usual formula, we get an idempotent  $T \in \mathcal{B}(H \oplus H)$ . The task is to prove that we can actually arrange  $[T] - [(\begin{smallmatrix} 1 & 0 \\ 0 & 0 \end{smallmatrix})] \in K_0(C_u^*Y)$ .

For simplicity, take just  $T \in \mathcal{B}(H)$ , which is “uniform” (i.e.  $T\phi(f) \sim_{ua} 0 \sim_{ua} \phi(f)T$ ), and also assume that  $\phi$  is “sufficiently big” (the range of  $\phi$  misses  $\mathcal{K}(H) \setminus \{0\}$ ).

Using a suitable decomposition of the space  $X$  into the pieces corresponding to  $Y$ , we obtain a unitary isomorphism  $U : H \cong \ell^2 Y \otimes \ell^2 \mathbb{N}$ . The point is to use uniformity to choose  $U$  such that for any  $\varepsilon > 0$  there is  $n \in \mathbb{N}$ , so that  $T$  is  $\varepsilon$ -far from an operator in  $\mathcal{M}_n(C_u^*Y) \subset \mathcal{M}_n(\mathcal{B}(\ell^2 Y)) \subset \mathcal{B}(\ell^2 Y \otimes \ell^2 \mathbb{N})$ .

This places  $T \in C_u^*Y \otimes \mathcal{K}(\ell^2 \mathbb{N})$ .

## Amenability: theorem and related results

Let  $X$  be a graph (bounded vertex degree) with vertex set  $Y$ .  $Y$  is said to be *amenable*, if for any  $r, \delta > 0$ , there exists a finite  $U \subset Y$  with  $\frac{\#\{\partial_r U\}}{\#\{U\}} < \delta$ . (aka Følner condition. Works well for groups, not so much for general metric spaces.)

The element  $\mathbf{S} \in K_0^u(X)$  defined before satisfies  $\mu(\mathbf{S}) = \mathbf{1} \in K_0(C_u^*Y)$ .

### Theorem

$Y$  is amenable iff  $\mathbf{S} \neq \mathbf{0} \in K_0^u(X)$ .

### Theorem (G. Elek, '97)

$Y$  is amenable iff  $\mathbf{1} \neq \mathbf{0} \in K_0(C_u^*Y)$ .

### Theorem (J. Block and S. Weinberger, '92)

$Y$  is amenable iff  $H_0^{uf}(Y) \neq \{0\}$ .

On uniform  
K-homology

Ján Špakula

K-homology and  
Coarse  
Baum-Connes  
conjecture

Uniform  
K-homology

An application:  
amenability

On uniform  
K-homology






Ján Špakula

K-homology and  
Coarse  
Baum-Connes  
conjecture

Uniform  
K-homology

An application:  
amenability

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On uniform  
K-homology

Ján Špakula

K-homology and  
Coarse  
Baum-Connes  
conjecture

Uniform  
K-homology

An application:  
amenability