Polynomial maps over fields and residually finite groups

Mark Sapir

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The talk is based on the following three papers:

Alexander Borisov, Mark Sapir, Polynomial maps over finite fields and residual finiteness of mapping tori of group endomorphisms. Invent. Math. 160 (2005), no. 2, 341–356.

Alexander Borisov, Mark Sapir, Polynomial maps over *p*-adics and residual properties of mapping tori of group endomorphisms, preprint, arXiv, math0810.0443, 2008.

lva Kozáková, Mark Sapir, Almost all one-relator groups with at least three generators are residually finite. preprint, arXiv math0809.4693, 2008.

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Residually finite groups

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finite trees are residually finite.



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Conversely every finitely generated residually finite group acts faithfully on a locally finite rooted tree.

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Linear groups

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Example 2. $BS(1,2) \langle a, t | tat^{-1} = a^2 \rangle$ is metabelian, and linear, so it is residually finite.

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Problem. (Moldavanskii, Kapovich, Wise) Are ascending HNN extensions of free groups residually finite? These three problems are related.

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Example (Magnus procedure). Consider the group $\langle a, b \mid aba^{-1}b^{-1}aba^{-1}b^{-1}a^{-1}b^{-1}a = 1 \rangle$.

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Example (Magnus procedure). Consider the group $\langle a, b_0, b_1 | a^{-1}b_0a = b_1b_0^{-1}b_1b_0^{-1}$, $a^{-1}b_1a = b_0 \rangle$. This is clearly an ascending HNN extension of the free group $\langle b_0, b_1 \rangle$.

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The main result

Theorem.

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- Coherent (that is all finitely generated subgroups are finitely presented).

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▶ Every element in an ascending HNN extension of G can be represented in the form $t^{-k}gt^{\ell}$ for some $k, \ell \in \mathbb{Z}$ and $g \in G$.

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- ► (Geoghegan-Mihalik-S.-Wise) If G is free then HNN_φ(G) is Hopfian i.e. every surjective endomorphism is injective.
- (Wise-S.) An ascending HNNextension of a residually finite group can be non-residually finite (example - Grigorcuk's group and its Lysenok extension).

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Consider the word $aba^{-1} \cdot b^{-1} \cdot aba^{-1} \cdot b^{-1} \cdot a^{-1}b^{-1}a$ and the corresponding walk on the plane:

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 $aba^{-1}b^{-1}aba^{-1}$



Consider the word $aba^{-1} \cdot b^{-1} \cdot aba^{-1} \cdot b^{-1} \cdot a^{-1}b^{-1}a$ and the corresponding walk on the plane:

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 $aba^{-1}b^{-1}aba^{-1}b^{-1}$



Consider the word $aba^{-1} \cdot b^{-1} \cdot aba^{-1} \cdot b^{-1} \cdot a^{-1}b^{-1}a$ and the corresponding walk on the plane:

 $aba^{-1}b^{-1}aba^{-1}b^{-1}a^{-1}$

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Consider the word $aba^{-1} \cdot b^{-1} \cdot aba^{-1} \cdot b^{-1} \cdot a^{-1}b^{-1}a$ and the corresponding walk on the plane:

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aba<sup>-1</sup>b<sup>-1</sup>aba<sup>-1</sup>b<sup>-1</sup>a<sup>-1</sup>b<sup>-1</sup>
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Consider the word $aba^{-1} \cdot b^{-1} \cdot aba^{-1} \cdot b^{-1} \cdot a^{-1}b^{-1}a$ and the corresponding walk on the plane:

Magnus indexes of b's are coordinates of the vertical steps of the walk.

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Consider the word $aba^{-1} \cdot b^{-1} \cdot aba^{-1} \cdot b^{-1} \cdot a^{-1}b^{-1}a$ and the corresponding walk on the plane:

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Magnus indexes of b's are coordinates of the vertical steps of the walk.



Let $G = \langle x_1, ..., x_k \mid R = 1 \rangle$ be a 1-relator group.

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Let $G = \langle x_1, ..., x_k | R = 1 \rangle$ be a 1-relator group. Let w be the corresponding walk in \mathbb{Z}^k , connecting point O with point M.

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Let $G = \langle x_1, ..., x_k | R = 1 \rangle$ be a 1-relator group. Let w be the corresponding walk in \mathbb{Z}^k , connecting point O with point M.

• If k = 2 and one of the two support lines of w that is parallel to \vec{OM} intersects w in a single vertex or a single edge,

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Let $G = \langle x_1, ..., x_k | R = 1 \rangle$ be a 1-relator group. Let w be the corresponding walk in \mathbb{Z}^k , connecting point O with point M.

• If k = 2 and one of the two support lines of w that is parallel to \vec{OM} intersects w in a single vertex or a single edge, then G is an ascending HNN extension of a free group.

 If k > 2 then G is never an ascending HNN extension of a free group. Some small cancelation theory, embedding into 2-generated groups Theorem (Kozáková, S.)

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Theorem (Kozáková, S.) Consider a group

 $G = \langle x_1, x_2, \dots, x_k | R = 1 \rangle$, where R is a word in the free group on $\{x_1, x_2, \dots, x_k\}$, $k \ge 2$.

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Theorem (Kozáková, S.) Consider a group

 $G = \langle x_1, x_2, \dots, x_k | R = 1 \rangle$, where R is a word in the free group on $\{x_1, x_2, \dots, x_k\}$, $k \ge 2$. Assume the sum of exponents of x_k in R is zero and that the maximal Magnus x_k -index of x_1 is unique.

Theorem (Kozáková, S.) Consider a group

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Let C be the space of all continuous functions $f: [0, +\infty] \to \mathbb{R}^k$ with f(0) = 0.

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Let C be the space of all continuous functions $f: [0, +\infty] \to \mathbb{R}^k$ with f(0) = 0. We can define a σ -algebra structure on that space generated by the sets of functions of the form $U(t_1, x_1, t_2, x_2, ..., t_n, x_n)$ where $t_i \in [0, +\infty], x_i \in \mathbb{R}^k$.

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That is Brownian motion is a continuous Markov stationary process with normally distributed increments.

Let P_n^{CR} be the uniform distribution on the set of cyclically reduced random walks of length n in \mathbb{R}^k .

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We are using Rivin's Central Limit Theorem for cyclically reduced walks.

Let again w be the walk in \mathbb{Z}^k corresponding to the relator R. Suppose that it connects O and M.

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Step 1. We prove that the number of vertices of Δ is growing (a.s.) with the length of w (here it is used that $k \ge 3$).

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Step 2. For every vertex of Δ for any 'bad" walk w' or length r we construct (in a bijective manner) a "good" walk w' of length r + 4.

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Step 2. For every vertex of Δ for any 'bad" walk w' or length r we construct (in a bijective manner) a "good" walk w' of length r + 4. This implies that the number of vertices of "bad" walks is bounded if the probability of a "bad" walk is > 0.

Illustration of Step 2

Here is the walk in \mathbb{Z}^3 corresponding to the word

 $cb^{-1}acac^{-1}b^{-1}caca^{-1}b^{-1}aab^{-1}c.$

and its projection onto \mathbb{R}^2



Illustration of Step 2

Here is the walk and its projection corresponding to the word $cb^{-1}acac^{-1}b^{-1}caca^{-1}b^{-1}((b^{-1}cbc^{-1}))aab^{-1}c.$



Consider the following example

$$G = \langle x, y, t \mid txt^{-1} = xy, tyt^{-1} = yx \rangle.$$

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on the "space" $V \times V$.

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So if G is residually finite then for every $w(x, y) \neq 1$, we found a finite group V

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So if G is residually finite then for every $w(x, y) \neq 1$, we found a finite group V and a periodic point (\bar{x}, \bar{y}) of the map

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Key observation. The converse statement is also true (the number of generators and the choice of ϕ do not matter).

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Thus in order to prove that the group $\text{HNN}_{\phi}(F_k)$ is residually finite, we need, for every word $w \neq 1$ in F_k , find a finite group Gand a periodic point of the map $\tilde{\phi} : G^k \to G^k$ Thus in order to prove that the group $\text{HNN}_{\phi}(F_k)$ is residually finite, we need, for every word $w \neq 1$ in F_k , find a finite group Gand a periodic point of the map $\tilde{\phi} \colon G^k \to G^k$ outside the "subvariety" given by the equation w = 1.

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also generate a free subgroup. Now let us iterate the map $\psi: (x, y) \rightarrow (xy, yx)$ starting with $(A, B) \mod 5$. That is we are considering the finite group $SL_2(\mathbb{Z}/5\mathbb{Z})$.

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$$\left(\left[\begin{array}{cc} 5 & 2 \\ 2 & 1 \end{array} \right], \left[\begin{array}{cc} 1 & 2 \\ 2 & 5 \end{array} \right] \right) \rightarrow \left(\left[\begin{array}{cc} 4 & 0 \\ 4 & 4 \end{array} \right], \left[\begin{array}{cc} 4 & 4 \\ 0 & 4 \end{array} \right] \right) \rightarrow$$

$$\left(\begin{bmatrix} 5 & 2 \\ 2 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 2 \\ 2 & 5 \end{bmatrix} \right) \rightarrow \left(\begin{bmatrix} 4 & 0 \\ 4 & 4 \end{bmatrix}, \begin{bmatrix} 4 & 4 \\ 0 & 4 \end{bmatrix} \right) \rightarrow \left(\begin{bmatrix} 1 & 1 \\ 1 & 2 \end{bmatrix}, \begin{bmatrix} 2 & 1 \\ 1 & 1 \end{bmatrix} \right) \rightarrow \left(\begin{bmatrix} 3 & 2 \\ 4 & 3 \end{bmatrix}, \begin{bmatrix} 3 & 4 \\ 2 & 3 \end{bmatrix} \right) \rightarrow \left(\begin{bmatrix} 3 & 2 \\ 4 & 3 \end{bmatrix}, \begin{bmatrix} 3 & 4 \\ 2 & 3 \end{bmatrix} \right) \rightarrow \left(\begin{bmatrix} 3 & 2 \\ 4 & 3 \end{bmatrix}, \begin{bmatrix} 3 & 4 \\ 2 & 3 \end{bmatrix} \right) \rightarrow \left(\begin{bmatrix} 3 & 2 \\ 4 & 3 \end{bmatrix}, \begin{bmatrix} 3 & 4 \\ 2 & 3 \end{bmatrix} \right) \rightarrow \left(\begin{bmatrix} 3 & 2 \\ 4 & 3 \end{bmatrix}, \begin{bmatrix} 3 & 4 \\ 2 & 3 \end{bmatrix} \right) \rightarrow \left(\begin{bmatrix} 3 & 2 \\ 4 & 3 \end{bmatrix}, \begin{bmatrix} 3 & 4 \\ 2 & 3 \end{bmatrix} \right) \right) \rightarrow \left(\begin{bmatrix} 3 & 2 \\ 4 & 3 \end{bmatrix}, \begin{bmatrix} 3 & 4 \\ 2 & 3 \end{bmatrix} \right) \right) \rightarrow \left(\begin{bmatrix} 3 & 2 \\ 4 & 3 \end{bmatrix}, \begin{bmatrix} 3 & 4 \\ 2 & 3 \end{bmatrix} \right) \right)$$

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Thus the point (A, B) is periodic in $SL_2(\mathbb{Z}/5\mathbb{Z})$ with period 6.

Replace 5 by 25, 125, etc. It turned out that (A, B) is periodic in $SL_2(\mathbb{Z}/25\mathbb{Z})$ with period 30, in $SL_2(\mathbb{Z}/125\mathbb{Z})$ with period 150, etc.

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Therefore our group $\langle a, b, t | tat^{-1} = ab, tbt^{-1} = ba \rangle$ is residually finite In fact it is virtually residually (finite 5-)group.

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Thus the idea for proving that ascending HNN extensions of free groups are virtually residually (finite p-)groups is the following.

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Thus the idea for proving that ascending HNN extensions of free groups are virtually residually (finite *p*-)groups is the following. For a given prime *p*, we have to find a collection of *k* 2×2 -matrices that generate a free group and such that modulo p^n (n = 1, 2, ...) they form a periodic point of the map ϕ with period of the form ap^{n-1} where *a* is a constant.

Thus the idea for proving that ascending HNN extensions of free groups are virtually residually (finite *p*-)groups is the following. For a given prime *p*, we have to find a collection of k 2×2 -matrices that generate a free group and such that modulo p^n (n = 1, 2, ...) they form a periodic point of the map ϕ with period of the form ap^{n-1} where *a* is a constant. In fact one is not able to find these matrices in $SL_2(\mathbb{Z})$. One needs a bigger ring.

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where *a* is fixed and *n* is arbitrary. In particular, the point *X* is uniformly recurrent for Φ in the *p*-adic topology on $V(\mathbb{Z}_q)$. Dense free subgroups, a result of Breuillard and Gelander

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Dense free subgroups, a result of Breuillard and Gelander

The theorem gives only a part of what we need. We also need that the k matrices forming the uniformly recurrent point x generate a free subgroup of $SL_2(Z_p)$.

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The theorem gives only a part of what we need. We also need that the k matrices forming the uniformly recurrent point x generate a free subgroup of $SL_2(Z_p)$. This follows from a strong recent result of Breuillard and Gelander saying that $SL_2(Z_p)$ has a free k-generated subgroup that is dense in the p-adic topology.

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About the proof

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Part 2: n > 1. We need to lift the periodic point mod p to a uniformly recurrent point over p-adics.

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We have a polynomial map P with integer coefficients on $V(\mathbb{F}_p^{alg})$. Need to prove that the set of periodic points is dense.

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Deligne conjecture, proved by Fujiwara and Pink: If P is dominant and quasi-finite then the set of quasi-fixed points is Zariski dense.

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